



UNIVERSIDADE  
ESTADUAL DE LONDRINA

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MARIA EDUARDA TESSER

**EFEITOS DE NANOPARTÍCULAS METÁLICAS BIOGÊNICAS  
(AG, TIO<sub>2</sub>, FE) PARA DUAS ESPÉCIES DULCÍCOLAS:  
O BIVALVE ANODONTITES TRAPESIALIS E O TELEÓSTEO  
PROCHILODUS LINEATUS**

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Londrina  
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Dissertação apresentada ao Programa de Pós-Graduação em Ciências Fisiológicas da Universidade Estadual de Londrina, como requisito parcial para a obtenção do título de Mestre.

Orientadora: Prof.<sup>a</sup> Dr.<sup>a</sup> Claudia Bueno dos Reis Martinez

Londrina  
2021

Ficha de identificação da obra elaborada pelo autor, através do Programa de Geração Automática do Sistema de Bibliotecas da UEL

T338e Tesser, Maria Eduarda.  
Efeitos de nanopartículas metálicas biogênicas (Ag, TiO<sub>2</sub>, Fe) para duas espécies dulcícolas: o bivalve *Anodontites trapesialis* e o teleósteo *Prochilodus lineatus* / Maria Eduarda Tesser. - Londrina, 2021.  
112 f.

Orientador: Claudia Bueno dos Reis Martinez.  
Dissertação (Mestrado em Ciências Fisiológicas) - Universidade Estadual de Londrina, Centro de Ciências Biológicas, Programa de Pós-Graduação em Ciências Fisiológicas, 2021.  
Inclui bibliografia.

1. Fisiologia animal comparada - Tese. 2. Ecotoxicologia de agrotóxicos alternativos - Tese. 3. Ecotoxicologia de nanopartículas metálicas - Tese. 4. Biomarcadores de contaminação aquática - Tese. I. Martinez, Claudia Bueno dos Reis. II. Universidade Estadual de Londrina. Centro de Ciências Biológicas. Programa de Pós-Graduação em Ciências Fisiológicas. III. Título.

CDU 574

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Londrina, 31 de agosto de 2021.

## AGRADECIMENTOS

À Universidade Estadual de Londrina (UEL).

Ao Programa de Pós-Graduação em Ciências Fisiológicas (PGCIF).

À Coordenação de Aperfeiçoamento de Pessoal de Nível Superior (CAPES), pela concessão da bolsa de Mestrado.

Ao Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq) e à *Fundação de Amparo à Pesquisa do Estado de São Paulo* (FAPESP), pelo financiamento desta pesquisa.

À Dr.<sup>a</sup> Jimena Cazenave e à Dr.<sup>a</sup> Susana Loureiro, pela disponibilidade em participar da minha banca examinadora. Ao Dr. Carlos Eduardo Delfino Vieira (Universidade Estadual do Norte do Paraná, UENP) e à Dr.<sup>a</sup> Mariana Machado Lauer (UEL), por também se disponibilizarem como membros suplentes.

À minha banca de qualificação, Dr. Halley Caixeta de Oliveira (UEL) e Dr.<sup>a</sup> Renata de Lima (Universidade de Sorocaba, UNISO), pelas contribuições a este trabalho.

À Dr.<sup>a</sup> Renata de Lima, pela parceria científica para a avaliação ecotoxicológica das nanopartículas sintetizadas pelo seu grupo de pesquisa, e por ter sido sempre solícita. À Dr.<sup>a</sup> Mariana Guilger Casagrande, à Dr.<sup>a</sup> Tatiane Pasquoto Stigliani e à M.<sup>a</sup> Nathalia Bilesky-José, também da UNISO, pela síntese e caracterização das nanopartículas utilizadas neste trabalho, e pelo suporte quando precisei.

À Dr.<sup>a</sup> Claudia Bueno dos Reis Martinez, pela orientação acadêmica e pessoal. Claudia, é uma honra ser orientada por você, que ao mesmo tempo que é uma pesquisadora de excelência, é humana, ética, e acredita no potencial dos seus alunos e se preocupa com eles. Agradeço pela convivência leve e por ter conhecido você, que sempre será um exemplo para mim.

Ao Dr. Wagner Ezequiel Risso (UEL) e Dr. Adalto Bianchini (Universidade Federal do Rio Grande, FURG), pela ajuda com as análises de metais.

Ao Laboratório de Ecofisiologia Animal (LEFA), pelo imenso aprendizado e oportunidade de conhecer a pesquisa científica.

Aos integrantes do LEFA, pela ajuda nas amostragens e análises.

Tesser, Maria Eduarda. **Efeitos de nanopartículas metálicas biogênicas (Ag, TiO<sub>2</sub>, Fe) para duas espécies dulcícolas: o bivalve *Anodontites trapesialis* e o teleósteo *Prochilodus lineatus***. 2021. 112 f. Dissertação (Mestrado em Ciências Fisiológicas) – Universidade Estadual de Londrina, Londrina, 2021.

## RESUMO

Nanopartículas de prata, de dióxido de titânio e de ferro, sintetizadas a partir do fungo *Trichoderma harzianum*, possuem uso agrícola promissor. No entanto, possíveis efeitos devem ser avaliados em animais aquáticos, os quais podem entrar em contato com contaminantes agrícolas. Assim, o objetivo deste trabalho foi avaliar os efeitos subletais da exposição de curto período (24 h) a nanopartículas de prata (AgNP), de dióxido de titânio (TiO<sub>2</sub>NP) e de ferro (FeNP), sintetizadas com *T. harzianum*, para o bivalve *Anodontites trapesialis* e para o teleósteo *Prochilodus lineatus*, ambas espécies dulcícolas e nativas. Foram realizados experimentos independentes para cada espécie. Bivalves adultos ou teleósteos juvenis foram divididos em quatro grupos: grupo controle, mantido apenas em água; grupo exposto às AgNP; grupo exposto às TiO<sub>2</sub>NP; e grupo exposto às FeNP. Os bivalves expostos às AgNP acumularam prata e apresentaram diminuição de sódio e cloreto na hemolinfa, enquanto as exposições às TiO<sub>2</sub>NP e às FeNP levaram ao acúmulo de titânio e de ferro, respectivamente, sem evidências de efeitos adicionais. Para teleósteos, as AgNP provocaram acúmulo de prata, alterações histológicas nas brânquias, aumento de glicose, lactato e potássio plasmáticos, diminuição de cálcio plasmático, diminuição na contagem de leucócitos circulantes e redução da concentração de glutatona (GSH) e da atividade da glutatona S-transferase (GST) no fígado. As TiO<sub>2</sub>NP provocaram acúmulo de titânio, diminuição de cálcio plasmático, diminuição da GST e aumento de glicogênio no fígado. As FeNP provocaram acúmulo de Fe em todos os tecidos e fluidos avaliados (brânquias, fígado, rim posterior, cérebro, músculo, plasma e bile), aumento na contagem de leucócitos circulantes, diminuição de GSH e aumento de lipoperoxidação (LPO) nas brânquias, diminuição da GST e aumento de glicogênio no fígado. As alterações histológicas nas brânquias se relacionam com o aumento de glicose, lactato e K<sup>+</sup> plasmáticos, indicando o comprometimento das trocas gasosas associado às AgNP. Nesse grupo, a diminuição de GSH pode estar relacionada à ligação da prata com grupamentos sulfidrílica. Por outro lado, nos teleósteos expostos às FeNP, a diminuição de GSH e aumento da LPO evidencia estresse oxidativo nas brânquias, e o acúmulo de Fe em diversos tecidos indica biodisponibilidade do Fe quando na forma de FeNP. Todas as NPs levaram à diminuição da GST no fígado, o que pode comprometer a biotransformação. Entre os tecidos, as brânquias foram o principal órgão-alvo da toxicidade das AgNP e FeNP, enquanto que o fígado foi o principal órgão-alvo das TiO<sub>2</sub>NP. Todas as nanopartículas provocaram acúmulo de metal no rim posterior, plasma, e hemolinfa, o que aponta para a sensibilidade desse tecido e fluidos ao acúmulo. O bivalve *A. trapesialis* apresentou potencial de bioacumulação, mas se mostrou menos sensível às nanopartículas do que o teleósteo *P. lineatus*. Os resultados indicam que as nanopartículas não se mostraram seguras nas concentrações avaliadas. As AgNP provocaram o maior número de efeitos, o que sugere que são mais prejudiciais do que as TiO<sub>2</sub>NP e FeNP, dentro das condições avaliadas. Mais estudos são necessários para o uso seguro de nanopartículas

biológicas na agricultura.

**Palavras-chave:** ecotoxicologia; agrotóxico; síntese verde; biomarcadores; estresse oxidativo; osmorregulação; histopatologia; mexilhão; peixe.

Tesser, Maria Eduarda. **Effects of biogenic metallic nanoparticles (Ag, TiO<sub>2</sub>, Fe) for two freshwater species: the bivalve *Anodontites trapesialis* and the teleost *Prochilodus lineatus***. 2021. 112 p. Master's Dissertation (Masters in Physiological Sciences) – State University of Londrina, Londrina, 2021.

## ABSTRACT

Silver, titanium dioxide and iron nanoparticles synthesized from the fungus *Trichoderma harzianum* have promising agricultural use. However, possible effects should be evaluated in aquatic animals, which may encounter agricultural contaminants. Thus, the objective of this work was to evaluate the sublethal effects of short-term exposure (24 h) to silver (AgNP), titanium dioxide (TiO<sub>2</sub>NP) and iron (FeNP) nanoparticles synthesized with *T. harzianum* on the bivalve *Anodontites trapesialis* and on the teleost *Prochilodus lineatus*, both freshwater and native species. Independent experiments were carried out for each species. Adult bivalves or juvenile teleosts were divided into four groups: control group, kept only in water; group exposed to AgNP; group exposed to TiO<sub>2</sub>NP; and group exposed to FeNP. Bivalves exposed to AgNP accumulated silver and showed a decrease in sodium and chloride in the hemolymph, while exposures to TiO<sub>2</sub>NP and FeNP led to the accumulation of titanium and iron, respectively, without evidence of additional effects. For teleosts, AgNP caused silver accumulation, histological changes in the gills, increased plasma glucose, lactate and potassium, decreased plasma calcium, decreased circulating white blood cell count and reduced glutathione (GSH) and glutathione S-transferase (GST) activity in the liver. TiO<sub>2</sub>NP caused titanium accumulation, decreased plasma calcium, decreased GST and increased liver glycogen. FeNP caused Fe accumulation in all tissues and fluids evaluated (gill, liver, posterior kidney, brain, muscle, plasma, and bile), increased circulating leukocyte count, decreased glutathione and increased lipoperoxidation (LPO) in the gills, decreased GST and increased liver glycogen. Histological changes in the gills were related to the increase in plasma glucose, lactate and K<sup>+</sup>, indicating impairment in gas exchange associated with AgNP. In this group, the decrease in GSH may be related to silver binding with sulfhydryl groups. On the other hand, in teleosts exposed to FeNP, the decrease in GSH and increase in LPO evidence oxidative stress in the gills, and the accumulation of Fe in various tissues indicate bioavailability of Fe as FeNP. All NPs lead to a decrease in GST in the liver, which can compromise biotransformation. Among tissues, the gills were the main target organ for AgNP and FeNP toxicity, while the liver was the main target organ for TiO<sub>2</sub>NP. All nanoparticles caused metal accumulation in the posterior kidney, plasma, and hemolymph, which points to the sensitivity of this tissue and fluids to bioaccumulation. The bivalve *A. trapesialis* showed bioaccumulation potential, but was less sensitive to nanoparticles than the teleost *P. lineatus*. The results indicate that nanoparticles were not safe at the concentrations evaluated. AgNP caused most effects, which suggests that they are more harmful than TiO<sub>2</sub>NP and FeNP, under the conditions evaluated. More studies are needed for the safe use of biological nanoparticles in agriculture.

**Key words:** ecotoxicology; pesticide; green synthesis; biomarkers; oxidative stress; osmoregulation; histopathology; mussel; fish.

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## 1 INTRODUÇÃO GERAL

### 1.1 EXPANSÃO AGRÍCOLA E O USO DE AGROTÓXICOS

1                   Segurança alimentar designa a situação em que todas as pessoas,  
2 em todos os momentos, têm acesso físico, social e econômico à comida suficiente,  
3 segura e nutritiva, que atenda suas necessidades nutricionais e preferências  
4 alimentares (FAO, 2003). Entretanto, atualmente 2 bilhões de pessoas não possuem  
5 segurança alimentar (FAO, 2020).

6                   A produção mundial de alimentos é suficiente para 10 bilhões de  
7 pessoas (HOLT-GIMÉNEZ et al., 2012), muito mais do que a população mundial  
8 atual de 7,8 bilhões. No entanto, o desperdício de alimentos e sua má distribuição  
9 fazem com que a alta produção não resulte em alimento para todos (FAO, 2020;  
10 GODFRAY et al., 2010). Aliado a isso, a mudança climática impacta negativamente  
11 a produtividade agrícola (NASTIS; MICHAILIDIS; CHATZITHEODORIDIS, 2012) e, à  
12 medida que o século 21 avança em direção a uma população de 11 bilhões de  
13 pessoas, avança a pressão para a produção de mais alimentos.

14                   Uma maior expansão da agricultura deve ocorrer na América do Sul  
15 e na África Subsaariana. Entretanto, a expansão da agricultura ameaça os  
16 ecossistemas, a biodiversidade e a obtenção de serviços ecossistêmicos  
17 (LAURANCE; SAYER; CASSMAN, 2014). Nesse cenário, a agricultura deve ser  
18 ainda mais eficiente para que a quantidade de terra adicional necessária seja menor.  
19 Em nações em desenvolvimento, muitas áreas apresentam agricultura relativamente  
20 ineficiente por falta de acesso a tecnologias agrícolas já existentes (SAYER;  
21 CASSMAN, 2013). O acesso a essas tecnologias, o desenvolvimento de novas  
22 tecnologias e políticas que promovam uma produção de alimentos eficiente e  
23 sustentável são cruciais para que calamidades ambientais sejam evitadas  
24 (LAURANCE; SAYER; CASSMAN, 2014).

25                   As tecnologias para agricultura são diversas (TILMAN et al., 2002),  
26 mas o uso de agrotóxicos se destaca. No Brasil, a venda total de agrotóxicos  
27 totalizou 620 mil toneladas em 2019, o que representa um aumento de 12,97% nas  
28 vendas internas em relação ao ano de 2018, e um aumento de 282% em relação ao  
29 ano de 2000 (IBAMA, 2021a). Em 2019, os agrotóxicos mais comercializados foram

1 formulados à base dos seguintes ingredientes ativos, em ordem decrescente de  
2 vendas: glifosato, 2,4-D, mancozebe, acefato, atrazina, clorotalonil, dicloreto de  
3 paraquate, malationa, enxofre e corpirifós.

4 O uso de agrotóxicos se reflete na sua detecção nas águas  
5 superficiais (BRODEUR et al., 2020; PÉREZ et al., 2021; VIEIRA et al., 2016, 2019).  
6 Os agrotóxicos chegam ao ambiente aquático pela aplicação direta para o controle  
7 de plantas aquáticas, pelo descarte inadequado, ou após serem aplicados nas  
8 culturas agrícolas, por processos que dissipam essas substâncias do local de  
9 aplicação. Esses processos consistem, em linhas gerais, na deriva de gotículas,  
10 escoamento superficial, lixiviação e absorção pelos organismos (SOLOMON et al.,  
11 2013). A proximidade de zonas agrícolas aos corpos d'água, muitas vezes sem a  
12 presença das matas ciliares e das vegetações protetoras de nascentes, também  
13 favorece a chegada de agrotóxicos ao ambiente aquático (TOMITA; BEYRUTH,  
14 2002).

15 O risco ecológico de agrotóxicos para a biota aquática pode ser  
16 avaliado a partir do conhecimento da concentração de determinados agrotóxicos no  
17 corpo de água de interesse, e dos efeitos tóxicos que esses agrotóxicos exercem  
18 sobre organismos aquáticos, avaliados a partir de estudos em laboratório. A partir de  
19 metodologias de análise de risco ecológico, Pérez et al. (2021) não encontraram  
20 risco agudo, mas encontraram uma faixa de baixo a alto risco crônico para a biota  
21 aquática em uma bacia na região dos Pampas, na Argentina, sendo que a atrazina e  
22 seus metabólitos, o glifosato e o acetocloro foram os principais resíduos de  
23 agrotóxicos que contribuiriam para o aumento do risco.

24 Além da avaliação de risco ecológico, outra ferramenta para a  
25 análise dos efeitos da contaminação aquática consiste no biomonitoramento. O  
26 biomonitoramento ativo é capaz de esclarecer os efeitos de misturas de agrotóxicos  
27 em situações reais de exposição, em que há variações físico-químicas da água e na  
28 concentração de contaminantes ao longo do tempo. Nesse sentido, Vieira et al.  
29 (2019) confinaram indivíduos de *Prochilodus lineatus*, uma espécie de teleósteo  
30 dulcícola da região Neotropical, em um local de referência, menos suscetível à  
31 entrada de contaminantes, e em um local experimental, localizado próximo a culturas  
32 agrícolas. A partir de uma análise de múltiplos biomarcadores ao longo de 120 dias,  
33 os autores descobriram que o estado de saúde geral dos peixes confinados no local  
34 experimental diminuiu em relação aos peixes confinados no local de referência, o

1 que pôde ser associado à presença de agrotóxicos.

2 A partir dos estudos citados, evidencia-se que os agrotóxicos  
3 ameaçam os ecossistemas aquáticos, e seu uso deve ser substituído, ou pelo  
4 menos reduzido, com urgência. Nesse contexto, torna-se necessário o  
5 desenvolvimento de formulações que aumentem a eficiência agrícola ao mesmo  
6 tempo que apresentem menor impacto ecológico do que os agrotóxicos  
7 convencionais. Um crescente número de pesquisas tem buscado o desenvolvimento  
8 de tais formulações (BONOMO et al., 2020; RODRIGUES et al., 2021).

9

## 1.2 USO DE *TRICHODERMA* SPP. COMO UMA ESTRATÉGIA PARA A AGRICULTURA SUSTENTÁVEL

10 *Trichoderma* constitui um gênero cosmopolita de fungos  
11 filamentosos, de vida-livre e decompositores, os quais habitam solos, raízes e folhas  
12 (HARMAN et al., 2004; KREDICS et al., 2014). Além de apresentarem vida-livre,  
13 também podem estabelecer mutualismo facultativo (ou oportunista) com diversas  
14 plantas. Esses fungos beneficiam a planta hospedeira de muitas formas, incluindo  
15 proteção contra patógenos, tais como fungos parasitas (HOWELL, 2003), indução de  
16 resistência a doenças (SALDAJENO et al., 2014), aumento da imunidade  
17 (CONTRERAS-CORNEJO et al., 2014), promoção do crescimento (STEWART;  
18 HILL, 2014), aumento da disponibilidade e absorção de nutrientes (SINGH et al.,  
19 2014), e degradação de xenobióticos (COCAIGN et al., 2013; SMITH, 1995). Devido  
20 a esses benefícios, os fungos do gênero *Trichoderma* são comercializados e  
21 utilizados na agricultura como biofungicidas e biofertilizantes (CUMAGUN, 2014). De  
22 fato, os produtos microbiológicos mais produzidos e comercializados no Brasil em  
23 2019, para aplicação na agricultura e em outros setores, foram os fungos do gênero  
24 *Trichoderma* (*T. asperellum*, *T. harzianum* e *T. koningiopsis*), com uma produção de  
25 109.310,32 kg (IBAMA, 2021a).

26 As espécies de *Trichoderma* atuam contra doenças fúngicas em  
27 plantas pelos mecanismos de competição, antibiose e micoparasitismo. A  
28 competição se dá pela ocupação do substrato e obtenção de nutrientes; a antibiose  
29 consiste no antagonismo entre um organismo e as substâncias metabólicas  
30 produzidas por outro; e o micoparasitismo é descrito como a interação parasita com

1 um fungo hospedeiro (HARMAN et al., 2004; HOWELL, 2003). A antibiose ocorre  
2 pela produção, por *Trichoderma*, de antimicrobianos (KESWANI et al., 2014), ao  
3 passo que o micoparasitismo se dá pela síntese de enzimas líticas como as  
4 quitinases, as quais clivam as ligações glicosídicas da quitina, um componente da  
5 parede celular de fungos (LORITO et al., 1993), bem como celulases (degradam a  
6 celulose),  $\beta$ -glucanases (degradam o polissacarídeo glucano) e proteases (SINGH et  
7 al., 2014).

8 Assim, o uso de fungos do gênero *Trichoderma* como forma de  
9 controle biológico representa uma alternativa ao uso de agrotóxicos convencionais, e  
10 constitui uma importante ferramenta para a agricultura sustentável. Contudo, o uso  
11 de *Trichoderma spp.* apresenta algumas limitações, como sua sensibilidade à luz  
12 ultravioleta, necessidade de alta umidade e baixa estabilidade térmica, o que resulta  
13 na diminuição de sua biodisponibilidade e biopersistência nas lavouras (FRACETO  
14 et al., 2019). Pesquisas recentes têm mostrado que tais limitações podem ser  
15 contornadas com o uso de novas tecnologias de aplicação, como a  
16 microencapsulação (MARUYAMA et al., 2020) e a síntese de nanopartículas  
17 metálicas (GUILGER et al., 2017).

18

### 1.3 NANOTECNOLOGIA E NANOPARTÍCULAS METÁLICAS BIOGÊNICAS

19 A Organização Internacional para Padronização (*International*  
20 *Organization for Standardization*, ISO) define nanomaterial como o “material com  
21 qualquer dimensão externa na nanoescala ou tendo estrutura interna ou estrutura de  
22 superfície na nanoescala”, e nanoescala como “a faixa de comprimento de 1 nm a  
23 100 nm aproximadamente” (ISO, 2015a, tradução nossa). Assim, a própria definição  
24 de nanoescala reconhece que a faixa de comprimento pode estar fora dos limites  
25 precisos. O documento citado também escreve que “os efeitos biológicos  
26 dependentes do tamanho, especificamente as interações célula-partícula e as  
27 interações ambientais relacionadas à nanotecnologia, envolvem estruturas abaixo de  
28 1 nm e acima de 100 nm” (ISO, 2015a, tradução nossa), além de ressaltar a  
29 influência de outras propriedades físicas e químicas, além do tamanho, sobre as  
30 interações biológicas e ambientais de nanomateriais. A definição de termos possui o  
31 intuito de facilitar a comunicação. À medida que a nanotecnologia evolui, seus

1 termos e definições também evoluirão (ISO, 2015a).

2 Ainda de acordo com a ISO, os nanomateriais incluem os nano-  
3 objetos e os materiais nano-estruturados. Os nano-objetos são materiais com uma,  
4 duas ou três dimensões externas na nanoescala, ao passo que os materiais nano-  
5 estruturados possuem uma estrutura interna ou superficial em nanoescala (ISO,  
6 2015a). As nanopartículas são nano-objetos que possuem as três dimensões  
7 externas em nanoescala (ISO, 2015b).

8 As nanopartículas podem ser constituídas por apenas carbono ou  
9 por moléculas orgânicas mais complexas, bem como podem ser formadas por  
10 metais e óxidos de metais (EALIAS; SARAVANAKUMAR, 2017). As nanopartículas  
11 metálicas são o foco deste trabalho, e por isso serão discutidas a seguir.

12 A síntese de nanopartículas metálicas pode ser dividida em *top-*  
13 *down* e *bottom-up*. A abordagem *top-down* reduz o tamanho de um material, ao  
14 passo que a abordagem *bottom-up* consiste na construção de nanopartículas a partir  
15 de elementos menores, como átomos e moléculas (WANG; XIA, 2004). Nesta última,  
16 podem ser utilizados agentes redutores e estabilizantes. Esses agentes podem ser  
17 processos físicos (como altas pressões e temperaturas), químicos (pelo uso de  
18 reagentes) (GAWANDE et al., 2016), ou tais agentes podem ser obtidos de extratos  
19 de organismos vivos, como plantas, bactérias e fungos, o que constitui a síntese  
20 biogênica ou biológica. A síntese biogênica possui vantagens em relação à síntese  
21 física e química por apresentar menor custo e menor geração de resíduos tóxicos  
22 (FRACETO et al., 2019; SINGH et al., 2016).

23 O uso de fungos na síntese biogênica de nanopartículas metálicas  
24 apresenta como vantagens a sua fácil manipulação, possibilidade de produção em  
25 larga escala e baixo custo de produção (GUILGER et al., 2017). Os fungos  
26 produzem nanopartículas por mecanismos intracelulares, no qual o metal precursor  
27 é internalizado pelo organismo, ou extracelulares, no qual o metal precursor é  
28 adicionado ao filtrado aquoso contendo biomoléculas derivadas do fungo  
29 (GUILGER-CASAGRANDE; LIMA, 2019). A síntese extracelular é a mais  
30 comumente empregada (GUILGER-CASAGRANDE; LIMA, 2019), e é também o  
31 método utilizado neste trabalho.

32 Diversas biomoléculas foram sugeridas como agentes redutores na  
33 síntese extracelular de nanopartículas metálicas. Em especial, aponta-se o papel da  
34 enzima nitrato redutase, dependente de NADPH/NADH (GUILGER-CASAGRANDE;

1 LIMA, 2019; KUMAR; MAMIDYALA, 2011). Contudo, a síntese de nanopartículas de  
2 prata já foi observada com a utilização de apenas NADPH, sem a participação da  
3 enzima nitrato redutase. Ainda, a adição de nitrato redutase causou uma diminuição  
4 na taxa de reação e aumento na distribuição de tamanho das partículas  
5 (HIETZSCHOLD et al., 2019). Outras enzimas citadas são as redutases  
6 dependentes de  $\alpha$ -NADPH (ANIL KUMAR et al., 2007) e moléculas quinonas  
7 transportadoras de elétrons (*electron shuttle quinones*) (KAUSHAL, 2018; PRASAD,  
8 2019).

9 Além de agentes redutores, algumas biomoléculas fúngicas  
10 encontradas no filtrado agem como estabilizantes (GUILGER-CASAGRANDE; LIMA,  
11 2019). Durante a síntese biogênica, a formação de nanopartículas ocorre  
12 simultaneamente com a formação de um *capping*, isto é, um recobrimento da  
13 superfície da nanopartícula. Esse *capping* é formado por biomoléculas derivadas do  
14 organismo vivo utilizado durante a síntese, e atua como um estabilizante, bem como  
15 pode alterar a atividade e toxicidade das nanopartículas (GUILGER et al., 2017).  
16 Essas biomoléculas podem ser proteínas ou resíduos de aminoácidos que se ligam  
17 ao metal e à superfície por resíduos de cisteína ou por grupos amino e carboxila  
18 livres (GUILGER-CASAGRANDE et al., 2019).

#### 1.4 NANOPARTÍCULAS METÁLICAS SINTETIZADAS UTILIZANDO-SE *TRICHODERMA HARZIANUM*

19 Nanopartículas de prata foram sintetizadas utilizando-se filtrados  
20 aquosos de *T. harzianum* com o objetivo de controlar o fitopatógeno *Sclerotinia*  
21 *sclerotiorum*, fungo causador do mofo branco da soja. Em seu primeiro trabalho  
22 (GUILGER et al., 2017), os autores avaliaram os efeitos provocados pelas  
23 nanopartículas biogênicas, nanopartículas de prata comerciais e por *T. harzianum*  
24 sobre o crescimento micelial e sobre a formação de novos escleródios em meios de  
25 cultura contendo *S. sclerotiorum*. As nanopartículas biogênicas controlaram o  
26 crescimento micelial do fitopatógeno com maior eficiência quando comparado às  
27 nanopartículas comerciais e ao tratamento com *T. harzianum*. Ainda, na maior  
28 concentração ( $3,1 \times 10^{11}$  NPs mL<sup>-1</sup>), as nanopartículas biogênicas impediram a  
29 formação de novos escleródios, ao passo que novos escleródios foram formados  
30 nas culturas tratadas com nanopartículas comerciais e *T. harzianum*. Finalmente,

1 este trabalho também expôs *T. harzianum* às nanopartículas biogênicas, tendo  
2 concluído que as nanopartículas não afetam o desenvolvimento do fungo, e, assim,  
3 podem ser utilizadas em conjunto com formulações de *T. harzianum* na agricultura.

4 Em um trabalho posterior (GUILGER-CASAGRANDE et al., 2019),  
5 os autores sintetizaram nanopartículas de prata utilizando *T. harzianum* cultivado  
6 com e sem o estímulo enzimático da parede celular de *S. sclerotiorum* (AgNP-TS e  
7 AgNP-T, respectivamente). Ambas as nanopartículas impediram o crescimento  
8 micelial e a formação de novos escleródios, porém as culturas expostas às AgNP-TS  
9 apresentaram o menor diâmetro micelial em relação às culturas expostas às AgNP-  
10 T. Guilger et al. (2019) assinalam que a maior eficiência das AgNP-TS sobre o  
11 crescimento micelial de *S. sclerotiorum* pode estar relacionado ao menor tamanho  
12 encontrado para essas nanopartículas em relação às AgNP-T, bem como devido ao  
13 estímulo da parede celular de *S. sclerotiorum*. Os autores suspeitaram que tal  
14 estímulo faria com que *T. harzianum* secretasse enzimas hidrolíticas com ação  
15 inibitória sobre o desenvolvimento de *S. sclerotiorum*. Devido a isso, avaliaram e  
16 detectaram a atividade das enzimas hidrolíticas  $\beta$ -1,3-glucanase, N-  
17 acetilglucosaminidase (NAGase), quitinase e protease ácida nos filtrados de *T.*  
18 *harzianum* com e sem estímulo, bem como nas dispersões finais de nanopartículas  
19 de prata sintetizadas em ambos os filtrados (AgNP-TS e AgNP-T). Em todas as  
20 situações, encontraram a maior atividade para a enzima NAGase, seguida pela  $\beta$ -  
21 1,3-glucanase, quitinase e protease ácida. Quando comparadas em cada situação,  
22 as atividades das enzimas NAGase e a quitinase apresentaram maior atividade nas  
23 dispersões finais de AgNP-TS em relação às dispersões finais de AgNP-T. Nesse  
24 sentido, destaca-se um possível papel de ambas as enzimas no controle de *S.*  
25 *sclerotiorum*.

26 É importante notar que, no trabalho recém citado (GUILGER-  
27 CASAGRANDE et al., 2019), a concentração de nanopartículas foi reduzida 100  
28 vezes em relação ao trabalho anterior (GUILGER et al., 2017), sendo, agora,  $3 \times 10^9$   
29 NPs mL<sup>-1</sup>. Visto que a menor concentração ainda proporcionou o controle do  
30 fitopatógeno, os autores sugerem que a concentração de  $3 \times 10^9$  NPs mL<sup>-1</sup> seja a  
31 utilizada no campo.

32 Se as nanopartículas biogênicas são capazes de controlar o micro-  
33 organismo desejado, qual será o seu efeito sobre organismos não-alvo suscetíveis  
34 ao entrar em contato com as nanopartículas, como, por exemplo, a microbiota do

1 solo? Para responder a essa pergunta, Guilger et al. (2017) trataram amostras de  
2 solo com as nanopartículas de prata biogênicas e, após 15, 30, 90 e 180 dias,  
3 quantificaram genes específicos de bactérias envolvidas no ciclo do nitrogênio. Os  
4 autores encontraram diferenças evidentes no número total de bactérias e em sua  
5 distribuição somente após 90 e 180 dias. Esses achados foram interpretados como  
6 um efeito da liberação de íons prata ( $\text{Ag}^+$ ), os quais teriam afetado a microbiota do  
7 solo de forma mais tardia devido à proteção fornecida pelo *capping* presente nas  
8 nanopartículas. De fato, quando as nanopartículas foram analisadas por  
9 espectroscopia de raios X por dispersão em energia (EDS), acoplada à microscopia  
10 eletrônica de varredura (MEV), verificou-se um pico característico da prata,  
11 confirmando a presença do metal nas nanopartículas (GUILGER et al., 2017). Assim,  
12 constatou-se que as nanopartículas de prata sintetizadas a partir de *T. harzianum*  
13 são formadas por um interior metálico constituído por prata elementar ( $\text{Ag}^0$ ), e  
14 estabilizadas por um *capping* de biomoléculas secretas pelo fungo empregado,  
15 dentre as quais já foram identificadas as enzimas hidrolíticas  $\beta$ -1,3-glucanase,  
16 NAGase, quitinase e protease ácida.

17                 Se os íons de prata foram sugeridos como uma fonte potencial de  
18 toxicidade das nanopartículas, é possível utilizar outros metais precursores? As  
19 nanopartículas formadas por outros metais promoveriam menos efeitos em  
20 organismos não-alvo, em relação às nanopartículas de prata biogênicas? A partir  
21 dessas questões, nanopartículas de titânio e de ferro foram sintetizadas utilizando-se  
22 *T. harzianum*.

23                 As nanopartículas de titânio foram sintetizadas a partir de três  
24 diferentes precursores: óxido de titânio (II), óxido de titânio (IV) anatase e óxido de  
25 titânio (IV) rutilo. Cada precursor foi adicionado a dois tipos de filtrado aquoso de *T.*  
26 *harzianum*: um em que o fungo foi cultivado com o estímulo da parede celular de *S.*  
27 *sclerotiorum*, e outro sem o estímulo. Assim, foram sintetizadas seis dispersões  
28 finais de nanopartículas. Ao final da síntese, foi detectada a existência de conídios e  
29 micélios de *T. harzianum* em todas as dispersões (PASQUOTO-STIGLIANI, 2019).

30                 Em seguida, o crescimento do micélio e a formação de novos  
31 escleródios foram avaliados em meios de cultura de *S. sclerotiorum* tratados com as  
32 nanopartículas na concentração de  $1 \times 10^8$  NPs mL<sup>-1</sup>. As nanopartículas sintetizadas  
33 a partir do óxido de titânio (II) e óxido de titânio (IV) rutilo sem estímulo tiveram  
34 melhor atividade no controle do fitopatógeno. Em relação aos efeitos em organismos

1 não-alvo, a germinação, crescimento e vigor de plantas de feijão não foram afetados  
2 pela exposição às nanopartículas. Por outro lado, raízes de cebola (*Allium cepa*)  
3 expostas a nanopartículas de óxido de titânio (IV) rutilo sem estímulo apresentaram  
4 aumento no índice de alterações cromossômicas.

5 Diferente das nanopartículas de prata, a formação das  
6 nanopartículas de titânio não ocorre por mecanismos de oxirredução. Os autores  
7 propõem que o mecanismo para a síntese das nanopartículas de titânio biogênicas  
8 consista na dispersão do óxido de titânio em conteúdo enzimático de *T. harzianum*, e  
9 posterior adsorção de proteínas do fungo na superfície das partículas (PASQUOTO-  
10 STIGLIANI, 2019).

11 Por fim, as nanopartículas de ferro foram sintetizadas a partir de  
12 cloreto de ferro ( $\text{FeCl}_3$ ). Após a síntese, a análise por difração de raios-X indicou que  
13 as nanopartículas consistiam principalmente em hematita ( $\alpha\text{-Fe}_2\text{O}_3$ ). As  
14 nanopartículas não alteraram a viabilidade celular e não levaram a alterações no  
15 índice mitótico. Na concentração de  $5 \times 10^7$  NPs  $\text{mL}^{-1}$ , foram capazes de aumentar a  
16 proliferação de *T. harzianum*, o que levou à inibição do patógeno *S. sclerotiorum*,  
17 sem afetar a germinação das sementes (BILESKEY-JOSÉ et al., 2021).

## 18 1.5 EFEITOS DA POLUIÇÃO PARA ANIMAIS AQUÁTICOS

19 A partir do momento em que as nanopartículas biológicas discutidas  
20 neste trabalho passarem a serem utilizadas na agricultura, é possível que uma  
21 parcela desse produto chegue aos corpos de água. Estima-se que a concentração  
22 de nanopartículas de prata e de ferro em águas superficiais esteja na ordem de  
23 nanogramas por litro, enquanto que a concentração de nanopartículas de dióxido de  
24 titânio chega a  $1,6 \mu\text{g L}^{-1}$  em rios (GOTTSCHALK; SUN; NOWACK, 2013; WANG et  
25 al., 2016).

26 No Brasil, a avaliação ambiental de agrotóxicos é uma exigência  
27 para seu registro, sendo composta pela avaliação do potencial de periculosidade  
28 ambiental (PPA) e avaliação de risco ambiental (ARA) (IBAMA, 2021b). Apesar do  
29 mérito dessas ferramentas e do avanço que a ARA representa no contexto nacional,  
30 o presente trabalho se preocupa com *endpoints* em níveis de organização biológica  
31 mais baixos do que os adotados pela ARA.

1                   Adicionalmente, o Brasil não dispõe de legislação acerca de  
2 nanomateriais. O entendimento dos impactos de nanomateriais nos ecossistemas e  
3 na saúde humana é um dos tópicos de pesquisa prioritários para alcançar a  
4 sustentabilidade ambiental na América Latina (FURLEY et al., 2018). Nesse  
5 contexto, a compreensão dos efeitos biológicos de nanopartículas é essencial para  
6 sua regulamentação.

7                   Diante do exposto, os subtópicos a seguir descrevem alguns dos  
8 principais efeitos, no nível de indivíduo, que podem decorrer da exposição a  
9 poluentes no ambiente aquático, com ênfase em teleósteos e bivalves dulcícolas.  
10 Esses efeitos consistem em mudanças bioquímicas, celulares, fisiológicas e  
11 comportamentais, as quais podem ser consideradas biomarcadores da  
12 contaminação aquática, por serem mensuráveis e indicarem exposição ou efeitos da  
13 contaminação (DEPLEDGE, 1994). Os biomarcadores são medidos em nível  
14 individual, mas podem ser preditivos de efeitos no nível populacional (COSSU-  
15 LEGUILLE; VASSEUR, 2013).

### 1.5.1 Efeitos Hematológicos

16                   As análises hematológicas e plasmáticas são ferramentas de rotina  
17 utilizadas para monitorar o estado fisiológico de peixes. O sangue é um tecido  
18 composto principalmente pelas células vermelhas (eritrócitos), células brancas  
19 (leucócitos), e pelo líquido extracelular que circunda essas células, o plasma. Os  
20 peixes possuem quatro principais tipos de leucócitos no sangue: os linfócitos,  
21 envolvidos na imunocompetência; os trombócitos, responsáveis pela coagulação  
22 sanguínea; os granulócitos, como os eosinófilos, neutrófilos e basófilos, cuja função  
23 não está bem estabelecida; e os monócitos, os quais são células fagocíticas  
24 (FARRELL, 2011). As análises hematológicas podem incluir a quantificação de  
25 hemoglobina, determinação do hematócrito e contagem do número de eritrócitos,  
26 bem como o cálculo de índices hematimétricos obtidos a partir da relação entre  
27 essas variáveis. Além disso, é possível incluir a contagem total e diferencial de  
28 leucócitos. Os poluentes podem afetar as variáveis hematológicas de muitas formas,  
29 por exemplo, em decorrência de sua ação citotóxica sobre as células sanguíneas,  
30 como é o caso de nanopartículas de óxido de cobre (CHEN; KANG; LING, 2013),

1 pelo comprometimento da hematopoiese, como após exposição à dioxina (BELAIR;  
2 PETERSON; HEIDEMAN, 2001), e como uma resposta compensatória ao estresse  
3 respiratório, como após a exposição ao cobre (MAZON et al., 2002).

4 Adicionalmente, como variáveis plasmáticas tais como pH,  
5 composição eletrolítica (concentração de  $\text{Na}^+$ ,  $\text{Cl}^-$ ,  $\text{Ca}^{+2}$ ,  $\text{K}^+$ , dentre outros) e  
6 pressões parciais de oxigênio e dióxido de carbono estão sobre controle  
7 homeostático, sua alteração é indicativa de perda da homeostase. Condições em  
8 que há perda da homeostase incluem patologias, a exposição a poluentes e a  
9 resposta de estresse (FARRELL, 2011).

### 1.5.2 Resposta de Estresse

10 A resposta de estresse é uma adaptação que permite ao animal  
11 responder imediatamente de forma generalizada à uma ameaça ou situação  
12 desafiadora (HILL; WYSE; ANDERSON, 2008). Em vertebrados, a resposta de  
13 estresse pode ser dividida em três categorias, de acordo com os níveis de  
14 organização biológica afetados. Durante a resposta primária, há aumento na  
15 concentração plasmática de catecolaminas (adrenalina e noradrenalina) e de  
16 corticoides (como o cortisol). A resposta secundária compreende os efeitos  
17 fisiológicos desses hormônios, e a resposta terciária inclui alterações fisiológicas e  
18 comportamentais que podem ser restaurativas, adaptativas ou patológicas. Somente  
19 a iniciação de cada resposta é temporalmente sequencial, ao passo que a duração  
20 de cada resposta resulta em sobreposição temporal (BOUYOUCOS et al., 2021;  
21 WENDELAAR BONGA, 2011). Assim como os vertebrados, os invertebrados  
22 também experienciam a resposta de estresse pela liberação de fatores  
23 neuroendócrinos. Em moluscos, a resposta de estresse se inicia com a liberação de  
24 noradrenalina na hemolinfa. Em ostras, a noradrenalina é liberada por células  
25 semelhantes a cromafins localizadas no coração. Assim como em vertebrados, os  
26 fatores neuroendócrinos liberados em invertebrados durante a resposta de estresse  
27 também atuam na mobilização de reservas energéticas (ADAMO, 2012).

28 O efeito da resposta de estresse sobre o metabolismo energético é  
29 uma de suas principais características adaptativas. Em vertebrados, as  
30 catecolaminas fornecem substratos energéticos pela quebra do glicogênio em

1 glicose no fígado e músculo, e pela liberação de ácidos graxos a partir do tecido  
2 adiposo. Os corticoides reforçam as ações das catecolaminas e têm efeitos  
3 metabólicos adicionais que facilitam a liberação de fontes de energia na circulação.  
4 Esses efeitos incluem o catabolismo de proteínas, a gliconeogênese, e o  
5 catabolismo de gorduras (HILL; WYSE; ANDERSON, 2008). A quantificação de  
6 cortisol na circulação sanguínea é o parâmetro de estresse mais utilizado em peixes  
7 (WENDELAAR BONGA, 2011). Além disso, a resposta de estresse pode ser  
8 compreendida por análises relacionadas ao metabolismo energético, como a  
9 quantificação de glicose na circulação e quantificação de glicogênio em tecidos.

10 Ademais, as catecolaminas otimizam a função cardiorrespiratória e  
11 asseguram o fornecimento adequado de oxigênio aos tecidos. Em peixes, a  
12 adrenalina aumenta o volume sistólico, a frequência cardíaca, o fluxo sanguíneo no  
13 cérebro, músculos e brânquias, e a permeabilidade branquial. A noradrenalina  
14 aumenta o número de células vermelhas por meio da contração esplênica e a  
15 afinidade da hemoglobina pelo O<sub>2</sub>. No entanto, o aumento da permeabilidade  
16 branquial acarreta a diluição do plasma em teleósteos dulcícolas. Em peixes, o  
17 cortisol atua duplamente como glicocorticoide e mineralocorticoide. O cortisol  
18 restaura o balanço hidromineral pelo estímulo da proliferação e diferenciação de  
19 células ricas em mitocôndrias (CRM) nas brânquias, e pelo aumento da atividade da  
20 enzima Na<sup>+</sup>/K<sup>+</sup>-ATPase em brânquias, intestino (teleósteos marinhos) e rim  
21 (WENDELAAR BONGA, 2011).

### 1.5.3 Captação Iônica, Osmorregulação e Neurotoxicidade

22 A água doce é um ambiente hiposmótico em relação aos animais  
23 que nela habitam. Essa condição faz com que os animais dulcícolas constantemente  
24 ganhem água por osmose, ao mesmo tempo que perdem íons passivamente. A  
25 osmolaridade do líquido extracelular é mantida pela absorção de íons da água por  
26 transporte ativo e eliminação de água por meio de uma urina diluída (EVANS, 2011).

27 A osmolaridade nos fluidos corporais de teleósteos e bivalves varia  
28 conforme a espécie (EVANS, 2011; PORTO MARTINS MEDEIROS, 2019), mas, em  
29 geral, os bivalves dulcícolas mantêm valores mais baixos de osmolaridade em  
30 relação a outros invertebrados e teleósteos dulcícolas (CUMMINGS; GRAF, 2015;

1 GRIFFITH, 2017). Assim como para os animais dulcícolas em geral, a osmolaridade  
2 do líquido extracelular de teleósteos e bivalves é conferida principalmente pelos íons  
3 sódio ( $\text{Na}^+$ ) e cloreto ( $\text{Cl}^-$ ). A absorção desses íons se dá principalmente por células  
4 especializadas localizadas nas brânquias, denominadas ionócitos, células ricas em  
5 mitocôndrias (CRM), ou células de cloreto. Os ionócitos possuem uma membrana  
6 apical (em contato com a água), e uma membrana basolateral (em contato com o  
7 sangue ou hemolinfa). A captação de  $\text{Na}^+$  depende da atividade da enzima  $\text{Na}^+/\text{K}^+$ -  
8 ATPase, presente na membrana basolateral da célula. Essa enzima ativamente  
9 transporta  $\text{Na}^+$  para o fluido circulatório em troca de íons potássio ( $\text{K}^+$ ) criando o  
10 gradiente eletroquímico para a absorção apical de  $\text{Na}^+$  por canais de  $\text{Na}^+$ . O excesso  
11  $\text{K}^+$  é regulado por canais de vazamento de  $\text{K}^+$ . A captação de  $\text{Na}^+$  também depende  
12 da atuação da enzima  $\text{H}^+$ -ATPase, que, ao bombear  $\text{H}^+$  para o meio externo,  
13 aumenta o gradiente elétrico que favorece a entrada de  $\text{Na}^+$  por canais de  $\text{Na}^+$ . A  
14 captação de  $\text{Na}^+$  também se dá pelo antiporte  $\text{Na}^+/\text{H}^+$  (CUMMINGS; GRAF, 2015;  
15 GRIFFITH, 2017; HWANG, 2011).

16 A absorção de  $\text{Cl}^-$  ocorre em troca de bicarbonato ( $\text{HCO}_3^-$ ). Tanto o  
17  $\text{HCO}_3^-$  quanto o  $\text{H}^+$  são produtos do metabolismo do animal, resultantes da atuação  
18 da enzima anidrase carbônica, que catalisa a hidrólise do gás carbônico ( $\text{CO}_2$ ).  
19 Assim, a absorção de  $\text{Na}^+$  pelo antiporte  $\text{Na}^+/\text{H}^+$  e a absorção de cloreto pelo  
20 antiporte  $\text{Cl}^-/\text{HCO}_3^-$  estão ligadas à atividade da enzima anidrase carbônica, e ao  
21 balanço ácido-base (GRIFFITH, 2017; HWANG, 2011).

22 A absorção de cálcio ( $\text{Ca}^{+2}$ ) em animais dulcícolas também depende  
23 de transporte ativo. Em teleósteos, a tomada desse íon ocorre nas brânquias e  
24 intestino, ao passo que, para bivalves, ainda que transportadores de  $\text{Ca}^{+2}$  tenham  
25 sido encontrados nas brânquias e no manto, o papel de cada tecido na absorção  
26 desse íon ainda não está bem estabelecido (PONDER; LINDBERG; PONDER,  
27 2019). A absorção de  $\text{Ca}^{+2}$  é particularmente substancial em bivalves, os quais  
28 utilizam esse mineral na formação das conchas. A formação da concha também  
29 depende da atividade da enzima anidrase carbônica, a qual afeta o equilíbrio entre o  
30 íon carbonato ( $\text{CO}_3^{-2}$ ) e o  $\text{HCO}_3^-$ , o que afeta a conversão entre carbonato de cálcio  
31 ( $\text{CaCO}_3$ ) e o  $\text{Ca}^{+2}$  (GRIFFITH, 2017).

32 Nos ionócitos branquiais de teleósteos, a captação de  $\text{Ca}^{+2}$  ocorre  
33 através de um canal de  $\text{Ca}^{+2}$  na membrana apical, seguida pelo transporte ativo de  
34  $\text{Ca}^{+2}$  através da membrana basolateral pela  $\text{Ca}^{+2}$ -ATPase e pelo antiporte  $\text{Na}^+/\text{Ca}^{+2}$ ,

1 o qual opera a partir do gradiente eletroquímico gerado pela  $\text{Na}^+/\text{K}^+$ -ATPase. Em  
2 bivalves, o transporte de  $\text{Ca}^{+2}$  também depende de canais de  $\text{Ca}^{+2}$  e da  $\text{Ca}^{+2}$ -  
3 ATPase, porém há também um antiporte  $\text{H}^+/\text{Ca}^{+2}$ , o qual depende de  $\text{H}^+$  resultante  
4 da ação da anidrase carbônica (GRIFFITH, 2017).

5 A captação de íons pode ser afetada por poluentes, sendo um alvo  
6 frequente de metais. Muitos metais são captados pelo organismo por vias de  
7 absorção iônica, por meio do mimetismo iônico. Alguns exemplos são o cobre e a  
8 prata, os quais mimetizam o  $\text{Na}^+$ , e o zinco, chumbo, cádmio e cobalto, que  
9 mimetizam o  $\text{Ca}^{+2}$ . A captação desses metais pode resultar em morte pela  
10 deficiência de  $\text{Na}^+$  e  $\text{Ca}^{+2}$ , por exemplo (WOOD, 2011). A captação iônica também  
11 pode ser comprometida pela inibição das enzimas de transporte. A  $\text{Na}^+/\text{K}^+$ -ATPase  
12 pode ser inibida por metais e agrotóxicos em concentrações ambientalmente  
13 relevantes (NIKINMAA, 2014a). Em alguns casos, a inibição da enzima  $\text{Na}^+/\text{K}^+$ -  
14 ATPase é o principal mecanismo de toxicidade aguda (GROSELL, 2011; WOOD,  
15 2012).

16 A inibição do transporte ativo através das membranas pode ocorrer  
17 em outros órgãos além dos envolvidos na captação iônica, se o poluente for  
18 absorvido e distribuído. Essa inibição afeta todos os processos fisiológicos que  
19 dependem das diferenças de gradientes iônicos, como a propagação dos impulsos  
20 nervosos. Adicionalmente, poluentes podem afetar a transmissão sináptica  
21 modificando a atividade da enzima acetilcolinesterase (AChE) (NIKINMAA, 2014a). A  
22 inibição dessa enzima é considerada um biomarcador de efeito específico da  
23 exposição a poluentes neurotóxicos, sendo inicialmente descrita para os agrotóxicos  
24 organofosforados e carbamatos (COSSU-LEGUILLE; VASSEUR, 2013). No entanto,  
25 alterações na atividade da AChE também foram descritas após exposição de  
26 teleósteos a nanopartículas (MIRANDA et al., 2016).

#### 1.5.4 Desintoxicação, Estresse Oxidativo e Genotoxicidade

27 Após sua absorção, um poluente pode ser modificado, redistribuído  
28 e eliminado (NEWMAN; CLEMENTS, 2008). A biotransformação é um processo  
29 enzimático de duas fases nas quais moléculas orgânicas lipofílicas são  
30 transformadas em moléculas hidrofílicas, o que permite sua eliminação do

1 organismo pela excreção. A fase 1 de biotransformação adiciona grupos polares a  
2 moléculas orgânicas ou expõe aqueles já contidos na molécula por meio de reações  
3 de oxidação, redução ou hidrólise. O grupo mais importante de enzimas da fase 1  
4 são as enzimas do citocromo P450 (abreviado como CYP). Na fase 2, a polaridade  
5 da molécula é aumentada pela conjugação com moléculas polares endógenas,  
6 geralmente para formar íons orgânicos que podem ser excretados em solução  
7 aquosa. As glutathione S-transferases (GSTs) são um exemplo de família de enzimas  
8 da fase 2. As GSTs catalisam a conjugação da glutathione (GSH) com moléculas  
9 eletrofílicas, o que faz com que algumas isoformas da enzima também atuem como  
10 defesas antioxidantes. Os metabólitos da fase 2 são geralmente menos tóxicos do  
11 que a molécula original, mas as reações de fase 1 podem resultar em metabólitos  
12 mais tóxicos, um processo denominado bioativação. Além da bioativação, outros  
13 fatores impedem a desintoxicação, como a produção de espécies reativas de  
14 oxigênio (ERO) durante a reação enzimática (NIKINMAA, 2014b).

15 Além da possibilidade de ser um subproduto da biotransformação, a  
16 produção de ERO também pode ser induzida pelo xenobiótico em si. Metais com  
17 valências variadas, como ferro, cobre, manganês e cromo, induzem a produção de  
18 ERO pela reação de Fenton (MARTINEZ; SIMONATO ROCHA; MELETTI, 2021).  
19 Além da produção de ERO, poluentes podem afetar o estado redox das células pela  
20 inibição de enzimas antioxidantes, alteração na cadeia transportadora de elétrons e  
21 diminuição na concentração de glutathione (GROSELL, 2011).

22 O estado em que a produção de ERO excede a sua eliminação,  
23 levando a um aumento na concentração de ERO em estado estacionário, denomina-  
24 se estresse oxidativo. O estresse oxidativo causa danos a componentes celulares,  
25 como lipídeos, proteínas e o DNA, e afeta o metabolismo celular, bem como os  
26 mecanismos normais de sinalização celular. O estado redox normal é mantido pela  
27 regulação da produção e eliminação das ERO, sendo que a eliminação se dá pela  
28 atuação de sistemas antioxidantes. Exemplos de antioxidantes não enzimáticos são  
29 a vitamina C (ácido ascórbico) e vitamina E (tocoferol), polifenóis e a glutathione  
30 (GSH). Os antioxidantes enzimáticos e outras enzimas envolvidas no metabolismo  
31 da glutathione incluem a superóxido dismutase (SOD), catalase, glutathione peroxidase  
32 (GPx) e glutathione redutase (GR). A SOD catalisa a dismutação do radical  
33 superóxido ( $O_2^{\cdot-}$ ) em oxigênio molecular ( $O_2$ ) e peróxido de hidrogênio ( $H_2O_2$ ). Por  
34 sua vez, a catalase catalisa a decomposição do  $H_2O_2$  em  $H_2O$  e  $O_2$ , e a GPx utiliza a

1 GSH para reduzir  $\text{H}_2\text{O}_2$  em  $\text{H}_2\text{O}$ . O *pool* de glutathiona (GSH) é mantido pela GR, que  
2 reduz a glutathiona oxidada (GSSG) em GSH usando NADPH. O *pool* de NADPH é  
3 mantido pela redução do  $\text{NADP}^+$  por diversas enzimas (LUSHCHAK, 2016).

4 Os poluentes podem diretamente ou indiretamente (alterando o  
5 estado redox) provocar danos ao DNA, induzindo a formação de micronúcleos e de  
6 alterações nucleares. Micronúcleo é um corpo extranuclear formado por fragmentos  
7 de cromossomos danificados ou por cromossomos inteiros. A presença de  
8 micronúcleos em esfregaços sanguíneos é considerada um biomarcador de  
9 genotoxicidade, e sua análise pode ser complementada pela identificação de  
10 alterações eritrocíticas nucleares (CARRASCO; TILBURY; MYERS, 1990;  
11 CARROLA et al., 2014).

#### 1.5.5 Efeitos Histológicos

12 Os efeitos em níveis bioquímicos e celulares podem culminar em  
13 efeitos teciduais. Não obstante, alterações teciduais também podem provocar  
14 mudanças bioquímicas e fisiológicas. No contexto da Ecotoxicologia, a  
15 histopatologia, isto é, o exame microscópico do tecido, é uma ferramenta sensível  
16 para acessar os efeitos tóxicos da exposição a poluentes (CAMARGO; MARTINEZ,  
17 2007; PEREIRA NAVARRO LINS et al., 2017; WINKALER et al., 2001). Esses  
18 efeitos podem inclusive serem relacionados à saúde e aptidão dos indivíduos, o que  
19 permite uma maior extrapolação de efeitos para populações e comunidades. De fato,  
20 a histopatologia é considerada um elo entre os ensaios moleculares, bioquímicos e  
21 celulares, e estudos que envolvem organismos ou populações inteiras (CHIANG;  
22 AU, 2013).

23 Em peixes, os tecidos mais comumente utilizados para avaliação  
24 histopatológica são as brânquias, fígado e rim (WOLF; WOLFE, 2005). A análise  
25 desses três tecidos é capaz de fornecer informações valiosas, no entanto, como as  
26 brânquias estão em contato direto com o ambiente aquático, elas são mais  
27 vulneráveis. As brânquias possuem múltiplas funções: respiração, osmorregulação,  
28 equilíbrio ácido-base e excreção. Alterações histológicas podem tanto comprometer  
29 esses processos, quanto serem respostas adaptativas que os possibilitam, frente a  
30 desafios impostos por poluentes (CAMARGO; MARTINEZ, 2007; CARMO et al.,  
31 2018).

### 1.5.6 Bioacumulação

1 Quando um agente tóxico não é suficientemente excretado pelo  
2 organismo, este pode acumular-se em diversos órgãos, processo denominado de  
3 bioacumulação. A análise da concentração de um contaminante em diferentes  
4 órgãos pode fornecer bases para a compreensão dos mecanismos de absorção,  
5 distribuição e eliminação do contaminante em um organismo (NEWMAN;  
6 CLEMENTS, 2008).

### 1.6 MODELOS BIOLÓGICOS

7 Os moluscos bivalves são bons modelos biológicos porque são  
8 encontrados em abundância e são facilmente coletados e mantidos em laboratório.  
9 Além disso, esses animais são frequentemente citados e utilizados pela sua  
10 capacidade de filtração da água e potencial de bioacumulação de compostos,  
11 resultante do hábito alimentar de filtração de suspensões, presente na maioria das  
12 espécies de bivalves (FARRIS; HASSEL, 2007). Esse hábito alimentar faz com que  
13 os bivalves filtrem grandes volumes de água e entrem em contato com o material  
14 dissolvido, partículas em suspensão, sedimento e micro-organismos, como bactérias  
15 e microalgas. As brânquias são ciliadas e direcionam as partículas de alimento para  
16 a boca, o que faz com que o alimento entre no sistema digestório e seja digerido na  
17 glândula digestiva (CUMMINGS; GRAF, 2015). Nesse processo, nanopartículas  
18 podem ser internalizadas por células da glândula digestiva, as quais são adaptadas  
19 para a endocitose de partículas de alimento na dimensão de nanoescala, visto que  
20 esse é o principal mecanismo pelo qual os bivalves absorvem nutrientes. Devido a  
21 isso, os bivalves têm sido descritos como organismos-alvo para a toxicidade de  
22 nanopartículas (CANESI et al., 2012; ROCHA et al., 2015).

23 Por outro lado, os teleósteos são modelos utilizados e  
24 recomendados desde o início da Ecotoxicologia, em meados do século XX. Naquele  
25 momento, o principal foco de estudo era o processo de biomagnificação, e alguns  
26 peixes foram considerados modelos de topo da cadeia trófica (VASSEUR, 2021).  
27 Além de ocuparem diferentes níveis tróficos, outras características os fazem modelos  
28 biológicos adequados até a atualidade, tais como o conhecimento de sua biologia,  
29 sua sensibilidade a mudanças no ambiente aquático, e possibilidade de entrar em

1 contato com contaminantes por diferentes vias de exposição (água e alimento)  
2 (YANCHEVA et al., 2015).

3                   Diante da relevância do uso de bivalves e teleósteos em estudos de  
4 Ecotoxicologia, e considerando a preferência pelo uso de espécies nativas para a  
5 proteção dos nossos ecossistemas (FURLEY et al., 2018), o mexilhão *Anodontites*  
6 *trapesialis* e o teleósteo *Prochilodus lineatus* (Figura 1), ambos dulcícolas e nativos,  
7 podem ser considerados modelos biológicos adequados ao presente estudo. Além  
8 disso, ambas as espécies já se mostraram sensíveis a metais (LOAYZA-MURO;  
9 ELÍAS-LETTS, 2007; OLIVEIRA et al., 2018a, 2018b).

10                   A espécie *A. trapesialis* (Lamarck, 1819) possui a seguinte  
11 classificação sistemática:

**Reino:** Animalia

**Filo:** Mollusca

**Classe:** Bivalvia

**Subclasse:** Autobranchia

**Infraclasse:** Heteroconchia

**Subterclasse:** Palaeoheterodonta

**Ordem:** Unionida

**Superfamília:** Etherioidea

**Família:** Mycetopodidae

**Subfamília:** Anodontitinae

**Gênero:** *Anodontites*

**Espécie:** *Anodontites trapesialis*

12                   A espécie *P. lineatus* (Valenciennes, 1837) possui a seguinte  
13 classificação sistemática:

**Reino:** Animalia

**Filo:** Chordata

**Classe:** Actinopterygii

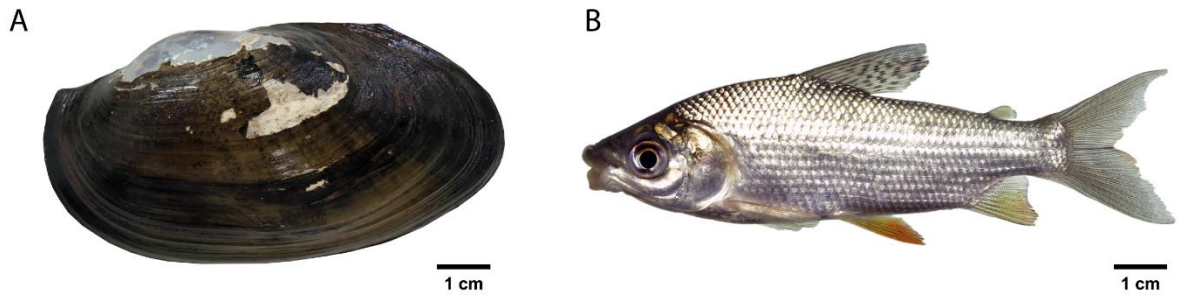
**Ordem:** Characiformes

**Família:** Prochilodontidae

**Gênero:** *Prochilodus*

**Espécie:** *Prochilodus lineatus*

Figura 1 – (A) Adulto de *Anodontites trapesialis* e (B) juvenil de *Prochilodus lineatus*.



Fonte: (A) O próprio autor. (B) Museu de Zoologia da UEL (MZUEL).

## 2 HIPÓTESES DESTE ESTUDO

- 1) As nanopartículas metálicas biogênicas (AgNP, TiO<sub>2</sub>NP e FeNP) provocarão efeitos subletais nas duas espécies avaliadas.
- 2) Os efeitos provocados por cada tipo de nanopartícula (AgNP, TiO<sub>2</sub>NP ou FeNP) apresentarão similaridades e discrepâncias entre si, sendo as discrepâncias relacionadas à toxicidade do metal que compõe a nanopartícula.
- 3) Os efeitos provocados pelas nanopartículas apresentarão similaridades e discrepâncias entre as espécies de bivalve e de teleósteo. No entanto, não será possível distinguir a espécie mais suscetível às nanopartículas, pois serão observados efeitos em ambas.
- 4) Os animais acumularão prata após exposição às AgNP, com base em estudos na literatura com concentrações similares, mesmo que com nanopartículas de prata distintas.
- 5) Os animais não acumularão titânio após exposição às TiO<sub>2</sub>NP, pois mesmo que as TiO<sub>2</sub>NP estejam biodisponíveis, seria necessária uma concentração maior para resultar em bioacumulação.
- 6) Os animais não acumularão ferro após exposição às FeNP, pois mesmo que as FeNP estejam biodisponíveis, seria necessária uma concentração maior para resultar em bioacumulação.

### 3 OBJETIVOS

#### 3.1 OBJETIVO GERAL

Avaliar os efeitos subletais da exposição de curto período a nanopartículas de prata, de dióxido de titânio rutilo e de ferro, sintetizadas com o fungo *T. harzianum*, para animais aquáticos.

#### 3.2 OBJETIVOS ESPECÍFICOS

a) Verificar se as nanopartículas são seguras, nas concentrações e tempo de exposição proposto, para o bivalve *A. trapesialis* e para o teleósteo *P. lineatus*.

b) Avaliar o potencial e padrão de bioacumulação de metais nas espécies estudadas, após exposição às nanopartículas.

c) Obter uma visão integrada, em nível de organismo, dos efeitos biológicos das nanopartículas.

d) Identificar os tecidos mais suscetíveis, em ambas as espécies, aos efeitos das nanopartículas, no curto tempo de exposição proposto.

e) Indicar semelhanças e diferenças entre as respostas de cada espécie estudada à exposição às nanopartículas.

f) Comparar a sensibilidade de cada espécie às nanopartículas, considerando-se as concentrações propostas.

g) Definir *endpoints* adequados para a exposição de bivalves e teleósteos às nanopartículas estudadas.

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#### 4 MANUSCRITO I

### **Biogenic metallic nanoparticles (Ag, TiO<sub>2</sub>, Fe) as potential fungicides for agriculture: are they safe for the freshwater mussel *Anodontites trapesialis*?**

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## ABSTRACT

1 Silver (Ag), titanium dioxide (TiO<sub>2</sub>), and iron (Fe) nanoparticles (NPs) synthesized  
2 using the fungus *Trichoderma harzianum* are effective against the agriculture  
3 pathogen *Sclerotinia sclerotiorum*. However, their potential effects should be  
4 evaluated in aquatic organisms, as agriculture practices can contaminate the aquatic  
5 environment. Thus, this work aimed to evaluate sublethal effects of the short-term  
6 exposure (24 h) to silver nanoparticles (AgNP), rutile titanium dioxide nanoparticles  
7 (TiO<sub>2</sub>NP) and iron nanoparticles (FeNP), all synthesized with *T. harzianum*, on the  
8 Neotropical freshwater bivalve *Anodonta trapesialis*, considering the hypothesis  
9 that suspension-feeding bivalves would be more susceptible to NPs toxicity.  
10 Individuals of *A. trapesialis* were divided into four groups (n = 8/group): a control  
11 group, kept in water only; a group exposed to AgNP (3 x 10<sup>9</sup> NPs mL<sup>-1</sup> - 355.96 µg  
12 Ag L<sup>-1</sup>); a group exposed to TiO<sub>2</sub>NP (1 x 10<sup>8</sup> NPs mL<sup>-1</sup> - 38.94 µg Ti L<sup>-1</sup>); and a group  
13 exposed to FeNP (3 x 10<sup>7</sup> NPs mL<sup>-1</sup> - 123.98 µg Fe L<sup>-1</sup>). After the exposure, the  
14 bioaccumulation of Ag, Ti, and Fe was evaluated in the gills, hemolymph, mantle,  
15 digestive gland, and muscle (foot). Lipoperoxidation, activities of the glutathione S-  
16 transferase, catalase, and superoxide dismutase, and glycogen concentration were  
17 quantified in the gills, mantle, and digestive gland. The concentration of Na<sup>+</sup>, K<sup>+</sup>, Cl<sup>-</sup>,  
18 Ca<sup>+2</sup>, and Mg<sup>+2</sup> ions, and glucose concentration were quantified in the hemolymph.  
19 Na<sup>+</sup>/K<sup>+</sup>-ATPase, H<sup>+</sup>-ATPase, Ca<sup>+2</sup>-ATPase, and carbonic anhydrase activities were  
20 assessed in the gills and mantle. Acetylcholinesterase activity was determined in the  
21 foot and adductor muscle. The mussels exposed to AgNP accumulated Ag in the  
22 gills, hemolymph, and foot, and showed a decrease in the concentrations of Na<sup>+</sup> and  
23 Cl<sup>-</sup> ions in the hemolymph, which was associated with the action of Ag ion (Ag<sup>+</sup>). The  
24 exposures to TiO<sub>2</sub>NP and FeNP led to the accumulation of Ti and Fe, respectively, in  
25 the hemolymph of mussels, but these increases in metal concentration did not  
26 promote additional effects. Thus, *A. trapesialis* showed bioaccumulation potential and  
27 susceptibility to AgNP, but was not susceptible to TiO<sub>2</sub>NP and FeNP. Based on the  
28 results, the preferential agricultural use of TiO<sub>2</sub>NP and FeNP over AgNP is  
29 highlighted. In addition, the effects of the NPs presented should be tested with other  
30 species, concentrations, and exposure times, to further verify their safe use in  
31 agriculture.

**Key-words:** Ecotoxicology. Green synthesis. Pesticides. Ionoregulation. Bivalve.

#### 4.1 INTRODUCTION

1 Latin America is expected to face great agricultural expansion and  
2 intensification in the 21st century (Dias et al., 2016; Laurance et al., 2014; Pimenta et  
3 al., 2021). For decades now, the expansion and intensification of agriculture have  
4 been accompanied by pesticide use (Carrquiriborde et al., 2014; de Almeida et al.,  
5 2017). Brazil consumed 620,000 tons of pesticides in 2019, which is 12.97% more  
6 than 2018, and 282% more than 2000 (IBAMA, 2020). The detection of pesticides in  
7 surface waters reflects their intensive use, and the impact on resident biota raises  
8 concerns (Brodeur et al., 2020; Pérez et al., 2021; Vieira et al., 2019, 2017). In light  
9 of this, research has focused on developing formulations that increase agricultural  
10 efficiency while having a less ecological impact than the most commonly used  
11 pesticides (Bonomo et al., 2020; Fraceto et al., 2016). This is the case of biogenic  
12 (also known as biological or green) metallic nanoparticles (NPs) synthesized using  
13 the filamentous fungus *Trichoderma harzianum* (Fraceto et al., 2018).

14 In general, the biological route for synthesis of NPs uses living  
15 organisms, or their extracts, as reducing and stabilizing agents (Zhu et al., 2019).  
16 This approach is considered to be less hazardous to the environment and more cost-  
17 effective than traditional physical-chemical methods (Singh et al., 2016; Taheriniya  
18 and Behboodi, 2016). Besides that, the organism employed for the synthesis may  
19 alter the composition of NPs, influencing their activity and toxicity (Guilger et al.,  
20 2017). The synthesis of NPs mediated by *T. harzianum* used silver (Ag), titanium  
21 dioxide (TiO<sub>2</sub>), and iron (Fe) as metal precursors, forming silver nanoparticles  
22 (AgNP), titanium dioxide nanoparticles (TiO<sub>2</sub>NP), and iron nanoparticles (FeNP),  
23 respectively.<sup>1</sup> These NPs are effective in the control of the agriculture pathogen  
24 *Sclerotinia sclerotiorum* (Bilesky-José et al., 2021; Guilger et al., 2017, Pasquoto-  
25 Stigliani et al., to be published), a fungus that causes white mold disease in soybean  
26 and several others plant species (Bolton et al., 2006).

27 AgNP, TiO<sub>2</sub>NP, and FeNP have a metallic core composed of,  
28 respectively, elemental silver (Ag<sup>0</sup>), TiO<sub>2</sub>, and hematite ( $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>), although the  
29 additional presence of elemental iron (Fe<sup>0</sup>) and maghemite ( $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>) is possible in

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<sup>1</sup> For the comprehension of this text, NPs from other studies will be referred as nano-Ag, nano-TiO<sub>2</sub>, and nano-Fe.

1 the case of FeNP. The metallic core is stabilized by a capping of biomolecules  
2 secreted by the fungus, among which the hydrolytic enzymes  $\beta$ -1,3-glucanase, N-  
3 acetylglucosaminidase (NAGase), chitinase and acid protease have already been  
4 identified (Bilesky-José et al., 2021; Guilger-Casagrande et al., 2019; Pasquoto-  
5 Stigliani et al., to be published). Therefore, the activity and toxicity of NPs can be  
6 associated with the metal, the capping of biomolecules, or the synergy of both. In  
7 fact, Casagrande et al. (2021) demonstrated that the capping is essential for AgNP  
8 action against *S. sclerotiorum*, and hypothesized that its mechanism of toxic action  
9 results from the synergy between the metal and the capping. Another difference  
10 among the suspensions of NPs is that *T. harzianum* reproductive structures are not  
11 present in AgNP suspension, but remains in TiO<sub>2</sub>NP and FeNP suspensions.  
12 Furthermore, FeNP stimulate *T. harzianum* proliferation, which contributes to the  
13 control of the pathogenic fungus, in addition to nanoparticle action (Bilesky-José et  
14 al., 2021).

15           The concentrations of AgNP, TiO<sub>2</sub>NP, and FeNP that are intended to  
16 be applied on crops are, respectively,  $3 \times 10^9$ ,  $1 \times 10^8$ , and  $3 \times 10^7$  NPs mL<sup>-1</sup>. At  
17 these concentrations, AgNP ( $3 \times 10^9$  NPs mL<sup>-1</sup>) showed low cytotoxicity but were  
18 genotoxic to human and rodent cell lines, while TiO<sub>2</sub>NP ( $1 \times 10^8$  NPs mL<sup>-1</sup>) and FeNP  
19 ( $5 \times 10^7$  NPs mL<sup>-1</sup>) were not cytotoxic or genotoxic to the same cell lines. AgNP,  
20 TiO<sub>2</sub>NP, and FeNP did not affect the germination and growth of common bean seeds  
21 (*Phaseolus vulgaris*), but AgNP and TiO<sub>2</sub>NP had effects on the mitotic index and the  
22 chromosomal alteration index of onion (*Allium cepa*) roots (Bilesky-José et al., 2021;  
23 Guilger-Casagrande et al., 2019; Guilger et al., 2017; Pasquoto-Stigliani et al., to be  
24 published).

25           Once applied on crops, NPs may reach water bodies and interact  
26 with aquatic organisms. Suspension-feeding bivalves have been described as  
27 potential targets of NPs toxicity, because these animals process particles as part of  
28 their feeding habit (Canesi et al., 2012). The Neotropical freshwater mussel  
29 *Anodontites trapesialis* is a suitable biological model for the present work, because it  
30 is abundant, easy to sample, and sensitive to metals (Loayza-Muro and Elías-Letts,  
31 2007; Oliveira et al., 2018). In addition, the effects of NPs to bivalves are mostly  
32 studied in seawater species, with few studies conducted in the freshwater (Rocha et  
33 al., 2015).

34           For aquatic animals, metal toxicity is often associated with

1 ionoregulatory disturbances (e.g.: copper, Chowdhury et al., 2016; silver, Morgan et  
2 al., 2004) and the induction of oxidative stress (Stohs and Bagchi, 1995). Ag is a  
3 non-essential metal that can be an ionoregulatory toxicant, as its acute toxicity to  
4 freshwater animals results from an impairment of Na<sup>+</sup> uptake (Bianchini et al., 2002).  
5 Both nano-sized and ionic Ag are absorbed and accumulated by freshwater mussels  
6 (Zimmermann et al., 2017), and similar effects caused by both forms have been  
7 reported (Volker et al., 2014). Titanium (Ti) is a non-essential metal most commonly  
8 found in nature as titanium dioxide (TiO<sub>2</sub>) and rarely as an ion (Antignano and  
9 Manning, 2008). For some time, TiO<sub>2</sub> was considered biologically inert, but with the  
10 development of nanotechnology and the increasing use of TiO<sub>2</sub> in the nano-size  
11 range, research has been focusing on the potential adverse effects of nano-TiO<sub>2</sub>,  
12 with emphasis in the induction of oxidative stress (Girardello et al., 2016; Skocaj et  
13 al., 2011). Fe is an essential metal that can induce oxidative stress at higher  
14 concentrations, because it participates in the Fenton reaction (Bury et al., 2011). For  
15 bivalves, the immunotoxicity of nano-Fe<sub>2</sub>O<sub>3</sub> has been assessed (Zha et al., 2019).  
16 However, nano-sized Fe has been also used for environmental remediation. For  
17 instance, recoverable magnetic ferroferric oxide nanoparticles (nano-Fe<sub>3</sub>O<sub>4</sub>) are an  
18 environmentally friendly alternative to mitigate mussel biofouling (Li et al., 2021).

19           Taking into account that studies assessing the effects of biogenic  
20 nanoparticles on freshwater animals are incipient, and that the NPs presented in this  
21 work have a promising use in agriculture, this work aimed to evaluate potential  
22 sublethal effects on the freshwater mussel *A. trapesialis* after short-term exposure to  
23 AgNP, TiO<sub>2</sub>NP, and FeNP, synthesized using the fungus *T. harzianum*.

## 4.2 MATERIAL AND METHODS

### 4.2.1 Biogenic Synthesis and Physiochemical Characterization of Nanoparticles

24           Nanoparticles were synthesized as described by Guilger et al.  
25 (2017). For the culture of *T. harzianum*, Ecotrich™ was plated onto Potato Dextrose  
26 Agar (PDA) medium and maintained for 6 days in the dark, at room temperature.  
27 Then, mycelium discs were transferred to Potato-Dextrose Broth (PD) and stirred at  
28 150 rpm for 12 days. The biomass was filtered, transferred to ultrapure water, and

1 kept under agitation for 72 h. After this period, it was vacuum filtered, and the dry  
2 material was discarded. Silver nitrate (1 mM), titanium dioxide rutile (1 mM) or iron  
3 (III) chloride (1 mM) were added to the filtrate for the formation of silver, titanium and  
4 iron nanoparticles, respectively.

5           The hydrodynamic diameters and polydispersities of the  
6 nanoparticles were measured by dynamic light scattering (DLS), and the zeta  
7 potentials were determined by microelectrophoresis, using a ZS90 particle analyzer  
8 (Malvern Panalytical). The concentrations (NPs mL<sup>-1</sup>) of the nanoparticles were  
9 obtained by nanoparticle tracking analysis (NTA), using a NanoSight LM10 cell  
10 coupled to a camera and controlled with NanoSight v. 2.3 software (Malvern  
11 Panalytical). The pH was measured using a pH meter (HMMPB-210).

12           Suspensions were also analyzed through atomic absorption  
13 spectrophotometry coupled to a graphite furnace (GFAAS) (AAAnalyst, 700, Perkin  
14 Elmer, USA), against reference standard solutions (Specsol, Brazil), to determine the  
15 concentration of Ag, Ti and Fe, considering that metal concentration could decrease  
16 from the nominal concentration, if metals were sequestered by the fungus. After  
17 nanoparticles synthesis, suspensions were not filtered, as intended for agricultural  
18 use.

#### 4.2.2 Collection and Acclimation of Mussels

19           Individuals of *A. trapesialis* (n = 32, mass: 182.8 ± 26.4 g, length:  
20 128.7 ± 12.3 mm, width: 63.6 ± 3.5 mm, mean ± SD) were manually collected from  
21 the bottom of a fishing pond (located in Londrina, Parana State, Brazil) and  
22 transported to the laboratory inside plastic bags containing water from the pond. The  
23 mussels were acclimated for 8 days in a tank containing dechlorinated tap water  
24 under continuous aeration, constant temperature and a 12h:12h light/dark  
25 photoperiod. Every day, 40% of the water was renewed. Throughout acclimation, the  
26 mussels were fed every two days with microalgae of the species *Raphidocelis*  
27 *subcapitata* (~10.000 cells mL<sup>-1</sup>). Water parameters were measured using a multi-  
28 parameter meter (HoribaU52), and corresponded to (n = 6, mean ± SD):  
29 temperature, 26.4 ± 0.2 °C; dissolved oxygen, 5.9 ± 0.5 mg L<sup>-1</sup>; pH, 7.2 ± 0.3;  
30 turbidity, 0.5 ± 0.8 NTU.

### 4.2.3 Experimental Design

1                   Following acclimation, mussels were randomly divided into four  
2 groups (n = 8 individuals/group): a control group, kept in water only; a group exposed  
3 to silver nanoparticles (AgNP) at the concentration of  $3 \times 10^9$  NPs mL<sup>-1</sup> (355.96 µg Ag  
4 L<sup>-1</sup>); a group exposed to titanium dioxide nanoparticles (TiO<sub>2</sub>NP) at the concentration  
5 of  $1 \times 10^8$  NPs mL<sup>-1</sup> (38.94 µg Ti L<sup>-1</sup>); and a group exposed to iron nanoparticles  
6 (FeNP) at the concentration of  $3 \times 10^7$  NPs mL<sup>-1</sup> (123.98 µg Fe L<sup>-1</sup>). All nanoparticles  
7 were biologically synthesized with the fungus *T. harzianum*, as previously described.  
8 The concentrations chosen are those that control the target organism, and therefore  
9 are intended to be applied on crops. The animals were kept individually in beakers  
10 containing 1 L of the exposure medium, and the exposure occurred for 24 h.

11                   At initial (0 h) and final (24 h) times, water samples from each beaker  
12 were collected to determine total (15 mL of not filtered water) and dissolved (15 mL of  
13 water filtered with a 0.45 µm mesh filter) concentrations of Ag, Ti and Fe. Samples  
14 were acidified with HNO<sub>3</sub> 1% (final concentration) and analyzed through GFAAS. The  
15 dissolved fraction encompasses metal ions, complexes, nanoparticles, and other  
16 colloids, while the total fraction includes the dissolved fraction in addition to forms of  
17 metal bounded to organic matter and larger aggregates/agglomerates of  
18 nanoparticles, but excludes sedimentation.

19                   The same samples were also used for the determination of the  
20 electrolyte composition of water. The concentration of Cl<sup>-</sup> was measured by the  
21 mercury thiocyanate method using a commercial kit (Quibasa-Bioclin, Brazil) at 470  
22 nm. The concentrations of Na<sup>+</sup> and K<sup>+</sup> were measured using a flame photometer  
23 (DM-62, Digmed, Brazil), and the concentration of Ca<sup>+2</sup> was measured using flame  
24 atomizer AAS. Temperature, pH, dissolved oxygen, and turbidity were measured  
25 using a multi-parameter meter (HoribaU52). Water hardness was determined by  
26 titration.

### 4.2.4 Mussels Handling After Exposure and Tissue Sampling

27                   Following exposure, individual samples of hemolymph were collected  
28 from the adductor muscle of the animals and stored at -20°C. Then the mussels were

1 killed by sectioning the adductor muscle. Individual samples of gills, mantle, digestive  
2 gland, foot and adductor muscle were collected and stored at  $-80^{\circ}\text{C}$  for the assays  
3 described below.

#### 4.2.5 Bioaccumulation of Ag, Ti and Fe

4 Samples of gills, mantle, digestive gland and foot were proceeded as  
5 described by Alves and Wood (2006). Tissues were dried completely at  $60^{\circ}\text{C}$ , and  
6 then submitted to acidic digestion in suprapure nitric acid (5 N) at  $60^{\circ}\text{C}$  for 48 h.  
7 Tissue digests and the hemolymph were analyzed for Ag, Ti, and Fe concentrations  
8 through GFAAS.

#### 4.2.6 Ions in the Hemolymph and Activity of Related Enzymes

9 The concentrations of  $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Cl}^-$  and  $\text{Ca}^{+2}$  was determined in  
10 hemolymph samples as described for the quantification of the electrolyte composition  
11 of the exposure medium (Topic 3.2.3). Additionally,  $\text{Mg}^{+2}$  was measured using flame  
12 atomizer AAS.

13 Samples of gills and mantle were homogenized (1 g: 5 mL) in SEID  
14 buffer (150 mM sucrose, 10 mM EDTA, 50 mM imidazole, 2.4 mM sodium  
15 deoxycholate, pH 7.5), centrifuged (10000 g, 20 min,  $4^{\circ}\text{C}$ ), and the supernatants  
16 were used for determination of the activities of  $\text{Na}^+/\text{K}^+$ -ATPase and  $\text{H}^+$ -ATPase  
17 (Gibbs and Somero, 1989),  $\text{Ca}^{2+}$ -ATPase (Vijayavel et al., 2007), and carbonic  
18 anhydrase (Vitale et al., 1999). Adaptations of protocols are described in de Paula et  
19 al. (2021).

#### 4.2.7 Antioxidant Defenses and Oxidative Damage

20 Samples of gills, mantle and digestive gland were homogenized in a  
21 potassium phosphate buffer (0.1 M, pH = 7.0, 1 g:8 mL), centrifuged (16000 g, 20  
22 min,  $4^{\circ}\text{C}$ ), and the supernatants were used for determining lipoperoxidation (LPO)

1 and the activities of the enzymes glutathione S-transferase (GST), catalase (CAT),  
2 and superoxide dismutase (SOD). LPO was determined by the thiobarbituric acid  
3 reactive substances (TBARS) assay, according to Camejo et al. (1998). Before  
4 TBARS assay, samples of digestive gland were treated with TCA (Oliveira et al.,  
5 2016). GST activity was determined according to Keen et al. (1976), with adaptations  
6 for a microplate reader (Gagné, 2014). CAT activity was determined according to  
7 Beutler (1972), and SOD activity was determined according to McCord and Fridovich  
8 (1969). Data was normalized by the protein concentration (Bradford, 1976) in each  
9 supernatant.

#### 4.2.8 Glycogen and Glucose Concentrations

10 Glycogen was quantified in gills, mantle and digestive gland.  
11 Samples were prepared as described by Bidinotto et al. (1997), with a reduction in  
12 the volumes used. Samples (100 mg) were dissolved with 500  $\mu$ L of KOH 6 N in a  
13 boiling water bath for five minutes. After that, a 125  $\mu$ L aliquot was transferred to  
14 microtubes in duplicate, and mixed with 1.5 mL of ethanol 100% and 50  $\mu$ L of K<sub>2</sub>SO<sub>4</sub>  
15 10%. The mixture was centrifugated (8500 g, 3 min, 25°C), and the supernatant was  
16 discarded. Ultrapure water (1.250 mL) was added to re-suspend the precipitate. The  
17 final reaction was prepared according to Dubois et al. (1956), using 250  $\mu$ L of the  
18 sample, 250  $\mu$ L phenol 4.1%, and 1 mL H<sub>2</sub>SO<sub>4</sub>. Readings were performed in a  
19 microplate at 480 nm.

20 Hemolymph glucose was determined by the glucose oxidase method  
21 using a commercial kit (Laborclin, Brazil) at 505 nm.

#### 4.2.9 Acetylcholinesterase (AChE) Activity

22 Samples of foot and adductor muscle were homogenized in a  
23 potassium phosphate buffer (0.1 M, pH 8.0, 1 g:8 mL) and centrifugated (16000 g, 20  
24 min, 4°C). Supernatants were used for the determination of AChE activity as  
25 described in Oliveira et al. (2016), following the method described by Ellman et al.  
26 (1961) and modified by Mora et al. (1999).

#### 4.2.10 Statistical Analysis

1                    Normality and homoscedasticity of variance were verified using  
 2 Shapiro-Wilk and Levene's test, respectively. For bioaccumulation analysis,  
 3 experimental groups (AgNP, TiO<sub>2</sub>NP, and FeNP) were compared to control group  
 4 using t-test or Mann-Whitney test. For analysis of biological effects and water  
 5 physiochemical parameters, data obtained for control, AgNP, TiO<sub>2</sub>NP, and FeNP  
 6 groups were compared using ANOVA or Kruskal-Wallis test, followed by the Student-  
 7 Newman-Keuls (SNK) or Dunn's test, respectively, when it was necessary to locate  
 8 the differences. The intend of comparing the differences of biological effects among  
 9 groups, and not only compared to control, was to determine which type of biogenic  
 10 nanoparticle would be less harmful to mussels and thus safer to use in agriculture.  
 11 Water physiochemical parameters were also compared at initial and final times of  
 12 exposure using paired t-test or Wilcoxon Signed Rank Test. Values of P < 0.05 were  
 13 considered significant.

### 4.3 RESULTS

#### 4.3.1 Physiochemical Characterization of Nanoparticles

14                    AgNP were the smallest NPs, followed by FeNP and TiO<sub>2</sub>NP. The  
 15 PDI of AgNP and FeNP were similar, and the PDI of TiO<sub>2</sub>NP was the lowest,  
 16 indicating greater homogeneity of sizes for TiO<sub>2</sub>NP. The zeta potentials obtained for  
 17 AgNP and TiO<sub>2</sub>NP were negative, while the zeta potential of FeNP was positive. The  
 18 pH for AgNP and TiO<sub>2</sub>NP suspension was approximately neutral, but acid for FeNP  
 19 suspension. The concentrations obtained in NPs mL<sup>-1</sup> were used to calculate the  
 20 dilutions for the exposures (Table 1).

**Table 1** – Physiochemical characterization of silver (AgNP), titanium dioxide (TiO<sub>2</sub>NP), and iron (FeNP) nanoparticles synthesized with *Trichoderma harzianum*.

	AgNP	TiO <sub>2</sub> NP	FeNP
Diameter (nm)	50.11 ± 0.34	233.13 ± 6.38	138.17 ± 2.00
PDI	0.32 ± 0.00	0.17 ± 0.02	0.35 ± 0.00
Zeta potential (mV)	-19.47 ± 1.15	-10.42 ± 4.98	3.37 ± 0.28

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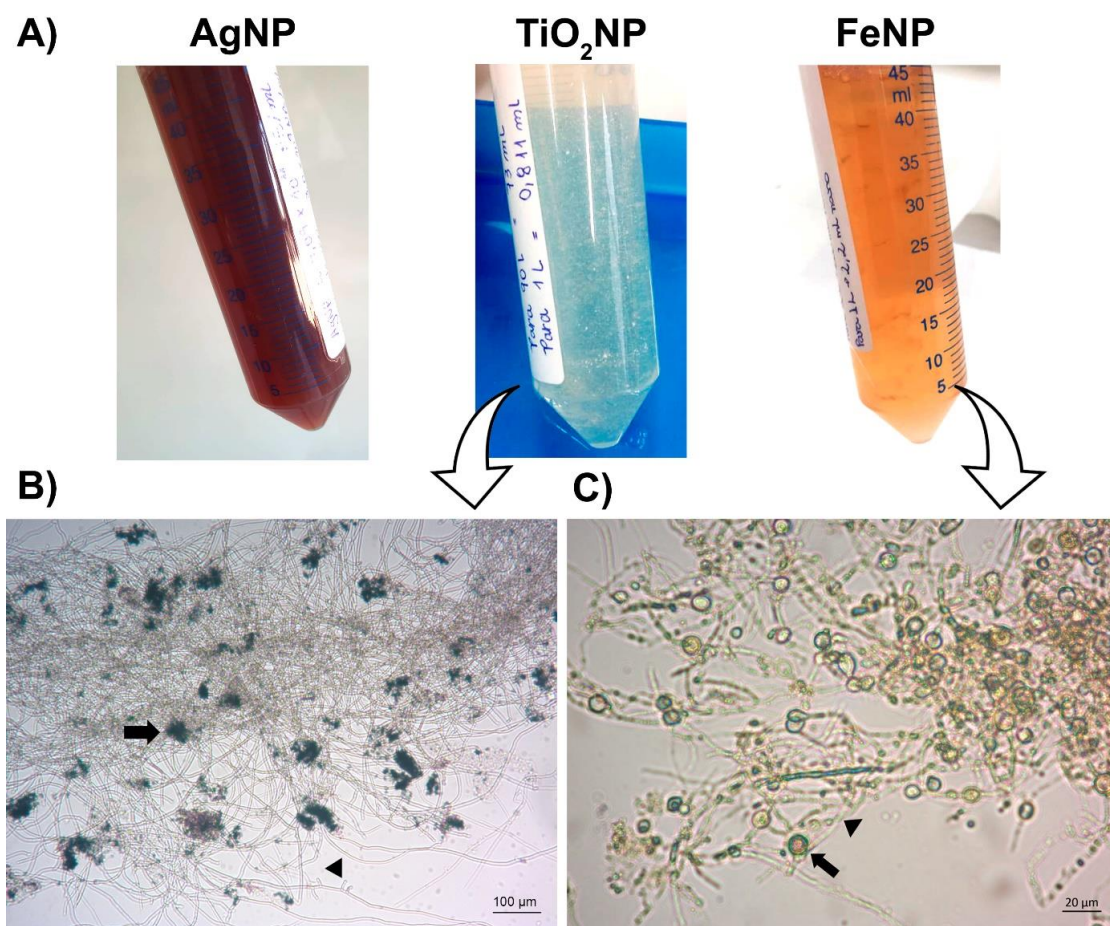
pH	7.3	7.4	5.0
Concentration (NPs mL <sup>-1</sup> )	9.09 x 10 <sup>11</sup>	1.23 x 10 <sup>11</sup>	1.35 x 10 <sup>10</sup>

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Data are expressed as mean  $\pm$  SD (n = 3). PDI = polydispersity index.

#### 4.3.2 Metal Concentration and Physiochemical Conditions of the Exposure

1                   The concentration of Ag quantified in the stock suspension of AgNP  
2 through GFAAS (92.05 mg L<sup>-1</sup>) was consistent with the nominal concentration (1 mM  
3 = 107.87 mg L<sup>-1</sup>). The same occurred for FeNP stock suspension (measured: 49.40  
4 mg L<sup>-1</sup>; nominal: 1 mM = 55.845 mg L<sup>-1</sup>). However, the concentration of Ti measured  
5 in the TiO<sub>2</sub>NP stock suspension (13.09 mg Ti L<sup>-1</sup>) was lower than expected (nominal:  
6 1 mM = 47.9 mg Ti L<sup>-1</sup>). The presence of *T. harzianum* mycelia on the suspensions  
7 could have modified the concentration of Ti measured, due to the absorption and/or  
8 adsorption of the metal by *T. harzianum*. Indeed, it was possible to see *T. harzianum*  
9 and micron-sized TiO<sub>2</sub> in the TiO<sub>2</sub>NP stock suspension under a light microscope,  
10 while the stock suspension of FeNP revealed only *T. harzianum* (Figure 1).



**Figure 1** – **A)** Stock suspensions of AgNP (homogenous appearance), TiO<sub>2</sub>NP (visible mycelia of *T. harzianum* and TiO<sub>2</sub>), and FeNP (visible mycelia of *T. harzianum*). **B)** TiO<sub>2</sub>NP stock suspension under the light microscope. Arrow: TiO<sub>2</sub> particles. Arrowhead: Hyphae. **C)** FeNP stock suspensions under the light microscope. Arrow: conidia. Arrowhead: hyphae.

1                    Ag, Ti and Fe concentrations in the exposure medium are shown in  
 2 Table 2. At 0 h, the total ( $374.9 \pm 90.4 \mu\text{g L}^{-1}$ ) and dissolved ( $157.3 \pm 19.5 \mu\text{g L}^{-1}$ )  
 3 concentrations of Ag measured in the exposure medium of AgNP group represented  
 4 a recovery of 105.3% and 44.2%, respectively, of the nominal concentration ( $355.96$   
 5  $\mu\text{g L}^{-1}$ ). After 24 h, total and dissolved Ag decreased 50.0% and 24.9%, respectively,  
 6 from 0 h.

7                    At 0 h, total Ti measured in the exposure medium of TiO<sub>2</sub>NP ( $32.78 \pm$   
 8  $10.14 \mu\text{g L}^{-1}$ ) represented a recovery of 84.2% from the nominal concentration ( $38.94$   
 9  $\mu\text{g L}^{-1}$ ). Dissolved Ti was not detected. At 24 h, total Ti was not measured due to  
 10 technical reasons. However, dissolved Ti was detected and corresponded to  $13.95 \pm$   
 11  $3.57 \mu\text{g L}^{-1}$ .

12                    The total ( $54.61 \pm 14.44 \mu\text{g L}^{-1}$ ) and dissolved ( $23.63 \pm 0.92 \mu\text{g L}^{-1}$ )  
 13 concentrations of Fe measured in the exposure medium of FeNP, at 0 h, represented

1 recoveries of, respectively, 44.0% and 19.1% from the nominal concentration (123.98  
2  $\mu\text{g Fe L}^{-1}$ ). After 24 h, total and dissolved Fe decreased 26.9% and 38.6%,  
3 respectively.

**Table 2** – Silver (Ag), titanium (Ti), and iron (Fe) concentrations ( $\mu\text{g L}^{-1}$ ) measured in the exposure medium of control and experimental groups at initial (0 h) and final (24 h) times of the exposure.

	0 h	0 h	24 h
	<b>Control</b>		<b>AgNP</b>
<b>Ag total</b>	< DL	374.9 $\pm$ 90.4 <sup>a</sup>	187.6 $\pm$ 60.2 <sup>a*</sup>
<b>Ag dissolved</b>	< DL	157.3 $\pm$ 19.5 <sup>b</sup>	118.1 $\pm$ 31.4 <sup>b*</sup>
	<b>Control</b>		<b>TiO<sub>2</sub>NP</b>
<b>Ti total</b>	< DL	32.78 $\pm$ 10.14	not measured
<b>Ti dissolved</b>	< DL	< DL	13.95 $\pm$ 3.57
	<b>Control</b>		<b>FeNP</b>
<b>Fe total</b>	2.37 $\pm$ 1.72	54.61 $\pm$ 14.44 <sup>a</sup>	39.89 $\pm$ 10.81 <sup>a*</sup>
<b>Fe dissolved</b>	< DL	23.63 $\pm$ 0.92 <sup>b</sup>	14.50 $\pm$ 4.82 <sup>b*</sup>

Data are expressed as mean  $\pm$  SD (n = 8). DL means detection limit. DL for each metal correspond to Ag: 0.005  $\mu\text{g L}^{-1}$ , Ti: 0.1  $\mu\text{g L}^{-1}$ , Fe: 0.06  $\mu\text{g L}^{-1}$ .

4 Water temperature and dissolved oxygen were similar among groups  
5 at initial and final times, corresponding to 26.0  $\pm$  0.1  $^{\circ}\text{C}$  and 6.5  $\pm$  0.7  $\text{mg L}^{-1}$  (n = 64,  
6 mean  $\pm$  SD). Turbidity, pH, and electrolyte composition varied among groups and  
7 between times (Table 3). At 0 h, the pH in the exposure medium of experimental  
8 groups was higher than control due to the addition of NPs. Also, at 0h, turbidity was  
9 higher in FeNP group in relation to control, AgNP, and TiO<sub>2</sub>NP groups, which could  
10 be due to the presence of *T. harzianum*. After 24 h, the pH and Ca<sup>+2</sup> concentration  
11 increased in the exposure medium of all groups, which is related to the presence of  
12 the mussels. In AgNP group, turbidity, Na<sup>+</sup>, and Cl<sup>-</sup> increased after 24 h (Table 2).  
13 Hardness corresponded to 29.3  $\pm$  1.5  $\text{CaCO}_3\cdot\text{L}^{-1}$  at 0 h.

**Table 3** – Water parameters measured in the exposure medium of control and experimental groups at initial (0 h) and final (24 h) times of the exposure.

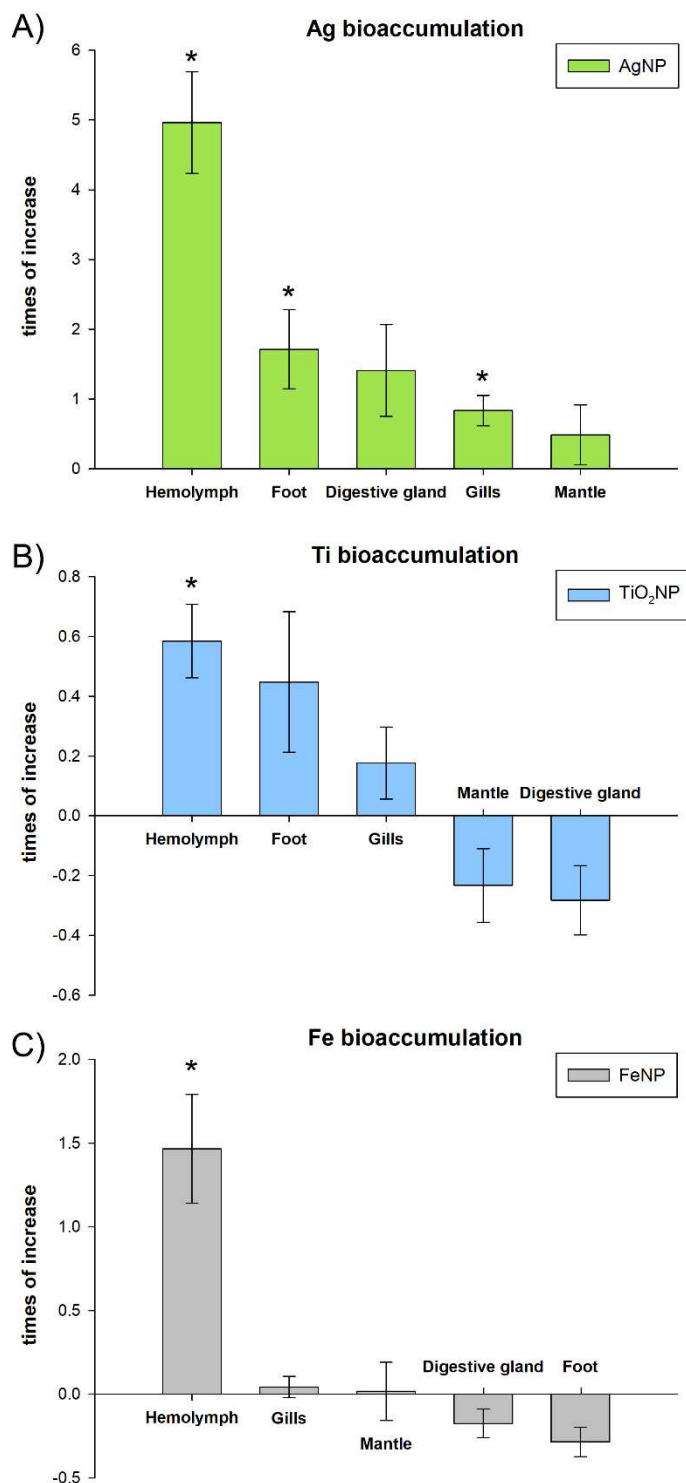
	C	AgNP	TiO <sub>2</sub> NP	FeNP
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<i>pH</i>	<b>0 h</b>	7.1 ± 0.2 <sup>a</sup>	7.4 ± 0.2 <sup>b</sup>	7.5 ± 0.3 <sup>b</sup>	7.7 ± 0.3 <sup>b</sup>
	<b>24 h</b>	8.2 ± 0.3 <sup>a**</sup>	8.2 ± 0.1 <sup>a**</sup>	8.2 ± 0.2 <sup>a**</sup>	8.3 ± 0.2 <sup>a**</sup>
<i>Turbidity (NTU)</i>	<b>0 h</b>	1.9 ± 1.0 <sup>ab</sup>	0.8 ± 1.4 <sup>a</sup>	3.1 ± 1.7 <sup>b</sup>	4.9 ± 1.76 <sup>c</sup>
	<b>24 h</b>	2.8 ± 1.9 <sup>a</sup>	10.2 ± 5.4 <sup>b*</sup>	3.3 ± 1.0 <sup>a</sup>	3.8 ± 2.5 <sup>a</sup>
<i>Na<sup>+</sup> (mM)</i>	<b>0 h</b>	0.1 ± 0.0	0.1 ± 0.0	0.1 ± 0.0	0.1 ± 0.0
	<b>24 h</b>	0.1 ± 0.0	0.4 ± 0.0 <sup>**</sup>	0.1 ± 0.0	0.2 ± 0.0 <sup>*</sup>
<i>Cl<sup>-</sup> (mM)</i>	<b>0 h</b>	0.9 ± 0.0	0.8 ± 0.0	0.8 ± 0.0	0.9 ± 0.0
	<b>24 h</b>	0.8 ± 0.1	1.1 ± 0.1 <sup>**</sup>	0.8 ± 0.1 <sup>*</sup>	0.8 ± 0.1
<i>K<sup>+</sup> (mM)</i>	<b>0 h</b>	0.02 ± 0.00	0.02 ± 0.00	0.02 ± 0.00	0.02 ± 0.00
	<b>24 h</b>	0.03 ± 0.01 <sup>**</sup>	0.03 ± 0.00 <sup>**</sup>	0.05 ± 0.03 <sup>*</sup>	0.03 ± 0.00 <sup>**</sup>
<i>Ca<sup>2+</sup> (mM)</i>	<b>0 h</b>	0.1 ± 0.0	0.1 ± 0.0	0.1 ± 0.0	0.1 ± 0.0
	<b>24 h</b>	0.3 ± 0.0 <sup>**</sup>	0.3 ± 0.1 <sup>**</sup>	0.3 ± 0.1 <sup>**</sup>	0.3 ± 0.0 <sup>**</sup>

Data are expressed as mean ± SD (n = 8). \* and \*\* indicate significant differences between 0 h and 24 h within the same group (Paired t-test or Wilcoxon Signed Rank Test, \* P < 0.05, \*\*P < 0.001). Different letters indicate significant differences among groups at the same time (ANOVA or Kruskal Wallis, P < 0.05).

#### 4.3.3 Bioaccumulation of Ag, Ti and Fe

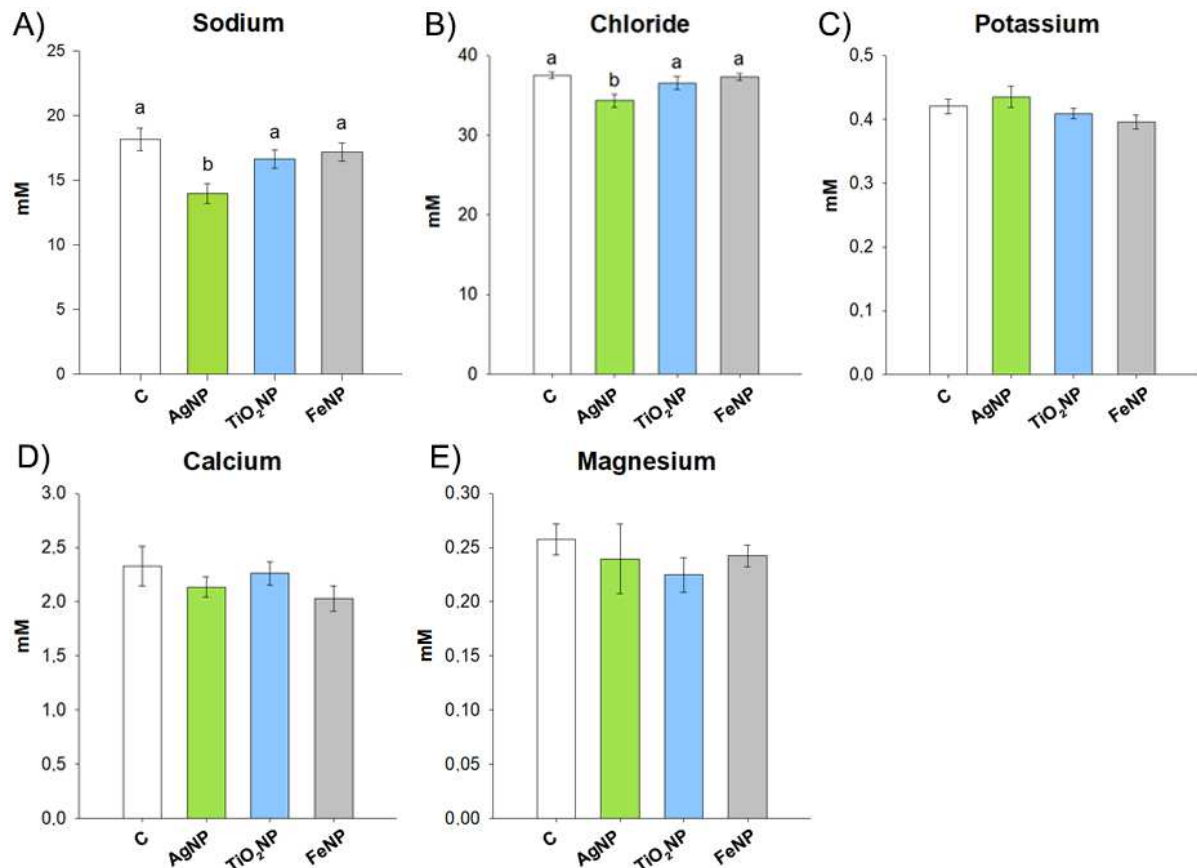
1                   Ag concentration increased in the hemolymph (Mann-Whitney, P <  
2 0.001), gills (t-test, P = 0.009), and foot (Mann-Whitney, P = 0.002) of mussels  
3 exposed to AgNP, in relation to control. Mantle and digestive gland were not affected  
4 by AgNP exposure (Figure 2A). Ti concentration increased in the hemolymph of  
5 mussels exposed to TiO<sub>2</sub>NP (Mann-Whitney, P = 0.002), but did not change in the  
6 gills, mantle, digestive gland and foot (Figure 2B). Fe concentration also increased  
7 only in the hemolymph (Mann-Whitney, P < 0.001) of mussels exposed to FeNP  
8 (Figure 2C).



**Figure 2** – Times of increase of metal concentration (Ag, Ti, Fe) in the hemolymph, gills, mantle, digestive gland, and foot of *Anodontites trapesialis* exposed to A) silver nanoparticles (AgNP), B) titanium dioxide nanoparticles (TiO<sub>2</sub>NP), and C) iron nanoparticles (FeNP), in relation to control. Data are expressed as mean ± SE (n= 7-8). Asterisks (\*) indicate differences in relation to control (P < 0.05).

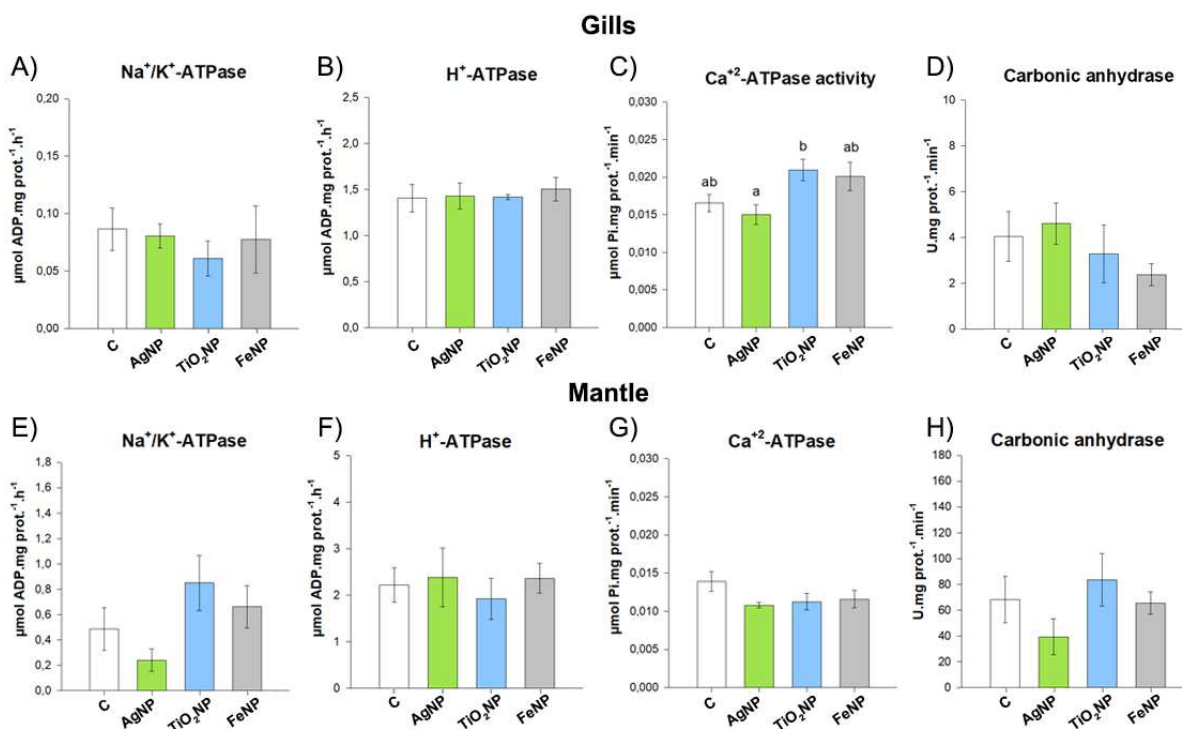
## 4.3.4 Ions in the Hemolymph and Activity of Related Enzymes

1                   Na<sup>+</sup> concentration decreased in the hemolymph of mussels exposed  
 2 to AgNP compared to control, TiO<sub>2</sub>NP, and FeNP (ANOVA, P = 0.005) (Figure 3A).  
 3 Cl<sup>-</sup> concentration also decreased in the hemolymph of mussels exposed to AgNP  
 4 compared to control, TiO<sub>2</sub>NP, and FeNP (ANOVA, P = 0.007) (Figure 3B). Na<sup>+</sup>  
 5 concentration decreased 22.9% in AgNP group compared to control (from 18.15 ±  
 6 0.89 to 13.99 ± 0.76 mM, mean ± SE, n=7-8), while Cl<sup>-</sup> concentration decreased  
 7 8.5% in AgNP group compared to control (from 37.48 ± 0.37 to 34.28 ± 0.79 mM,  
 8 mean ± SE, n=7-8). K<sup>+</sup>, Ca<sup>+2</sup>, and Mg<sup>+2</sup> concentrations were not affected by any  
 9 exposure (Figure 3C-E).



**Figure 3** – Ion concentration in the hemolymph of *Anodontites trapesialis* exposed to water (C), silver nanoparticles (AgNP), titanium dioxide nanoparticles (TiO<sub>2</sub>NP), and iron nanoparticles (FeNP). Data are expressed as mean ± SE (n= 7-8). Different letters indicate significant differences between groups (P < 0.05).

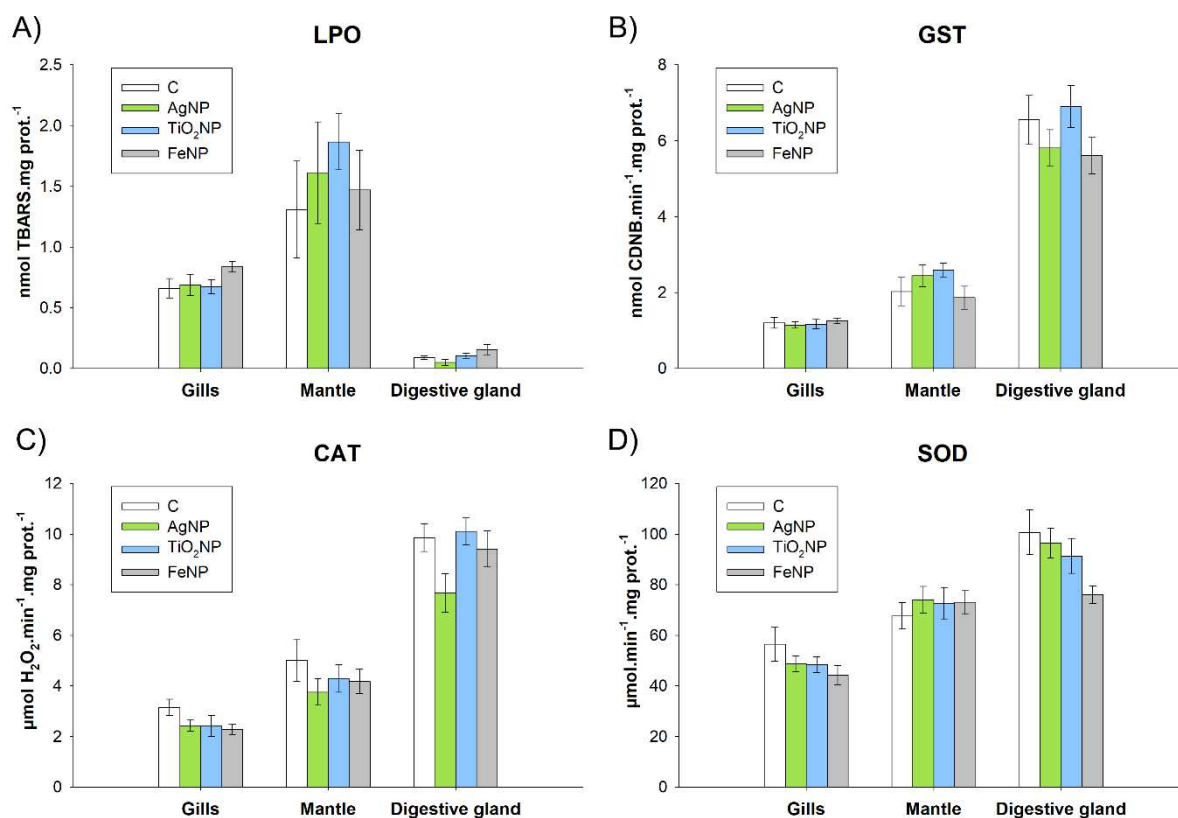
1 Activities of  $\text{Na}^+/\text{K}^+$ -ATPase,  $\text{H}^+$ -ATPase, and carbonic anhydrase in  
 2 the gills and mantle did not change among groups (Figure 4). Activity of  $\text{Ca}^{2+}$ -ATPase  
 3 increased in the gills of mussels exposed to  $\text{TiO}_2\text{NP}$  compared to AgNP (ANOVA,  $P$   
 4 = 0.023) (Figure 4C).



**Figure 4** – Activities of  $\text{Na}^+/\text{K}^+$ -ATPase,  $\text{H}^+$ -ATPase,  $\text{Ca}^{2+}$ -ATPase, and carbonic anhydrase in the gills (A-D) and mantle (E-H) of *Anodonta trapesialis* exposed to water (C), silver nanoparticles (AgNP), titanium dioxide nanoparticles ( $\text{TiO}_2\text{NP}$ ), and iron nanoparticles (FeNP). Data are expressed as mean  $\pm$  SE ( $n= 5-8$ ). Different letters indicate significant differences between groups ( $P < 0.05$ ).

#### 4.3.5 Antioxidant Defenses and Oxidative Damage

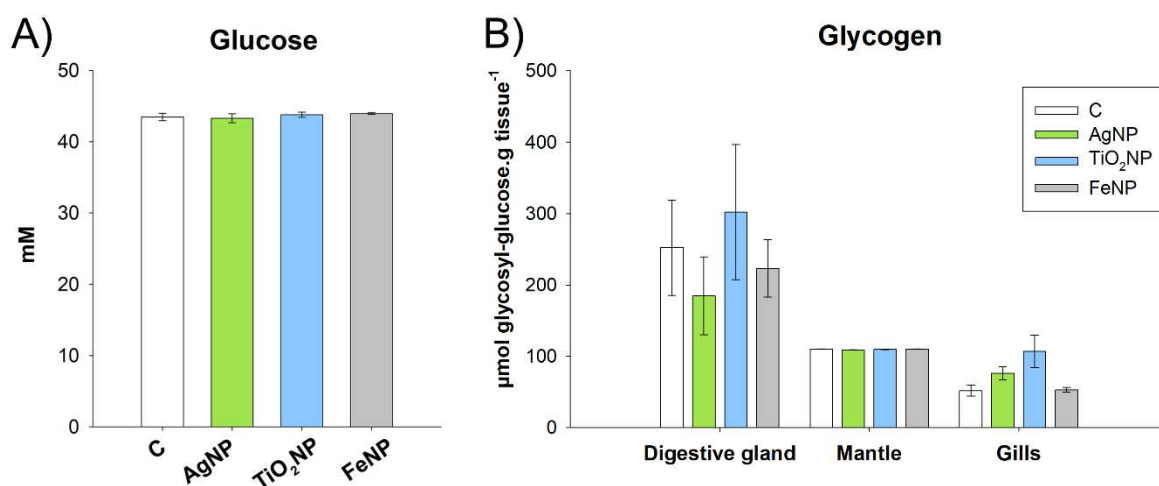
5 Activities of GST, CAT, SOD, and levels of LPO in gills, digestive  
 6 gland, and mantle were not affected by any exposure (Figure 5).



**Figure 5** – A) Lipoperoxidation (LPO) and activity of B) glutathione S-transferase (GST), C) catalase (CAT) and D) superoxide dismutase (SOD) in the gills, digestive gland, and mantle of *Anodontites trapesialis* exposed to water (C), silver nanoparticles (AgNP), titanium dioxide nanoparticles (TiO<sub>2</sub>NP), and iron nanoparticles (FeNP). Data are expressed as mean  $\pm$  SE (n=5-8).

#### 4.3.6 Glycogen and Glucose Concentrations

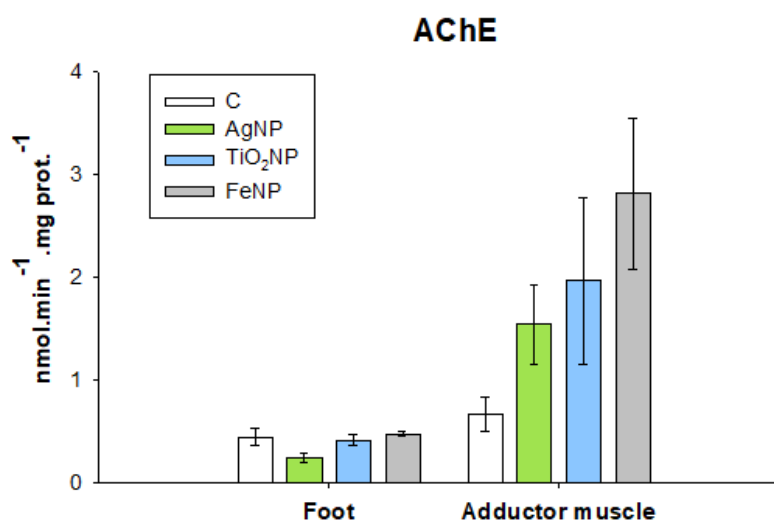
- 1 Glucose concentration in the hemolymph and glycogen concentration
- 2 in the digestive gland, mantle and gills of mussels were not affected by any exposure
- 3 (Figure 6).



**Figure 6** – A) Glucose concentration in the hemolymph and B) glycogen concentration in the digestive gland, mantle and gills of *Anodontites trapesialis* exposed to water (C), silver nanoparticles (AgNP), titanium dioxide nanoparticles (TiO<sub>2</sub>NP), and iron nanoparticles (FeNP). Data are expressed as mean  $\pm$  SE (n= 4-8).

#### 4.3.7 Acetylcholinesterase (AChE) Activity

- 1 AChE activity in foot and adductor muscle did not change among
- 2 groups (ANOVA; foot,  $P = 0.070$ ; adductor muscle,  $P = 0.085$ ) (Figure 7).



**Figure 7** – Acetylcholinesterase (AChE) activity in the foot and adductor muscle of *Anodontites trapesialis* exposed to water (C), silver nanoparticles (AgNP), titanium dioxide nanoparticles (TiO<sub>2</sub>NP), and iron nanoparticles (FeNP). Data are expressed as mean  $\pm$  SE (n= 6-8).

#### 4.4 DISCUSSION

1           The physiochemical properties of each type of nanoparticle  
2 evaluated in the present work are different. AgNP are the smallest nanoparticles,  
3 followed by FeNP and TiO<sub>2</sub>NP. In general, smaller nanoparticles are more toxic than  
4 their larger counterparts (Cazenave et al., 2019). The PDI values obtained indicate  
5 that all three types of nanoparticles have a broad size range, with TiO<sub>2</sub>NP having the  
6 narrowest size range compared to AgNP and FeNP. Zeta potential values indicate  
7 that AgNP and TiO<sub>2</sub>NP are weakly anionic, while FeNP are approximately neutral  
8 (Clogston and Patri, 2011). For biogenic NPs, the surface charge depends on the  
9 biomolecules present in the capping, therefore the proteins and amino acids should  
10 be conferring the negative charge. However, pH influences zeta potential. For  
11 instance, lowering the pH can make a negatively charged nanoparticle become  
12 neutralized or positively charged. Fish were exposed at neutral pH, which could have  
13 changed the FeNP zeta potential, since FeNP suspension had pH 5.0. In general,  
14 positively charged nanoparticles are more easily internalized by cells than neutral  
15 and negatively charged nanoparticles (Foroozandeh and Aziz, 2018), while  
16 phagocytic cells preferentially interact with negatively charged particles (Fröhlich,  
17 2012). In the case of biogenic synthesis, zeta potential values do not provide  
18 information on the stability of the nanoparticles, and the suspensions used in the  
19 present work can be considered stable (Bilesky-José et al., 2021; Casagrande et al.,  
20 2021). In addition, the suspensions of NPs from the present work also differ in metal  
21 composition, nanoparticle concentration, and the remaining fungus content. All these  
22 differences can account for their effects on organisms. However, in the present work,  
23 the main effect observed (reduced Na<sup>+</sup> and Cl<sup>-</sup> in the hemolymph of AgNP-exposed  
24 mussels) seems to be associated with the metal itself, as it will be further discussed.

25           At 0 h, the lower concentration of dissolved Ag compared to total Ag  
26 could be related to the binding of Ag to organic and inorganic ligands, such as  
27 dissolved organic matter, organic colloids, sulfide and chloride (Wood et al., 2011). It  
28 was not possible to verify if aggregation/agglomeration processes were related to the  
29 decline in the dissolved Ag quantified. After 24 h, mussels exposed to AgNP seemed  
30 to have released slightly more mucus and excretion material compared to the other  
31 groups (observational data), which could explain the increase in turbidity observed  
32 only in this group. Suspension-feeding bivalves reject particles by the mouth, labial

1 palps, and gills, and expel them by the mantle as pseudofeces (Cummings and Graf,  
2 2015). It is possible that AgNP were rejected, forming pseudofeces that were  
3 released in the exposure medium. Additionally, at 0 h, water from all the beakers  
4 were transparent, except for the water from AgNP group, which assumed a yellowish  
5 color after adding the nanoparticles. The color diminished through time and was not  
6 visible after 24 h, indicating that AgNP were eliminated from the water column.  
7 Elimination could have happened due to the absorption of NPs by animals,  
8 dissolution into Ag ions, and/or binding of NPs to organic matter. The decrease in  
9 total and dissolved Ag could also be associated with the uptake of Ag by animals.

10 Mussels exposed to AgNP accumulated Ag in the gills, hemolymph,  
11 and foot. Suspension-feeding bivalves have ciliated gills that generate the water flow  
12 that passes through the animal, directing food particles to the mouth and then to the  
13 digestive system, where food is digested by the digestive gland (Cummings and Graf,  
14 2015). In the present work, Ag absorption and accumulation in the gills may have  
15 occurred during this process. From the gills, Ag may have entered hemolymph, and  
16 then reached the foot. In the foot, Ag did not interfere with AChE activity.

17 Another difference observed on the physiochemical parameters of  
18 the exposure medium was the increase of  $\text{Na}^+$  and  $\text{Cl}^-$  concentrations in the AgNP  
19 group, after 24 h of exposure. Such increase correlates with the decrease of  $\text{Na}^+$  and  
20  $\text{Cl}^-$  concentrations in the hemolymph of the mussels exposed to AgNP compared to  
21 control,  $\text{TiO}_2\text{NP}$  and  $\text{FeNP}$  groups. This effect seems to be associated with Ag action.

22 Ag ion ( $\text{Ag}^+$ ) is known to inhibit  $\text{Na}^+/\text{K}^+$ -ATPase activity in freshwater  
23 teleosts and crustaceans, reducing  $\text{Na}^+$  and  $\text{Cl}^-$  in blood plasma of teleosts, and  $\text{Na}^+$   
24 in the hemolymph and whole-body of crustaceans (Bianchini and Wood, 2003;  
25 Grosell et al., 2002; Wood, 2012). Besides decreasing  $\text{Na}^+$  influx, Ag also increases  
26  $\text{Na}^+$  efflux in a crayfish species (Grosell et al., 2002). For freshwater and seawater  
27 bivalves, decreases in  $\text{Na}^+/\text{K}^+$ -ATPase activity have been reported after Ag exposure.  
28  $\text{Na}^+/\text{K}^+$ -ATPase activity decreased in the whole-body of the freshwater bivalve  
29 *Sphaerium corneum* exposed for 28 days to nano-Ag ( $500 \mu\text{g L}^{-1}$ ) and  $\text{AgNO}_3$  ( $318$   
30  $\mu\text{g L}^{-1}$ ) (Volker et al., 2014), and in gill cells (*in vitro*) of the marine bivalve *Mytilus*  
31 *galloprovincialis* exposed to ionic ( $0.06 - 0.5 \text{ mg L}^{-1}$ ), nano-Ag ( $1.25 - 2.5 \text{ mg L}^{-1}$ )  
32 and bulk-Ag ( $5 - 10 \text{ mg L}^{-1}$ ) for 24 h (Katsumiti et al., 2015). Furthermore, decreased  
33 activities of  $\text{Na}^+/\text{K}^+$ -ATPase,  $\text{Ca}^{+2}$ -ATPase, and  $\text{Mg}^{+2}$ -ATPase were reported in the  
34 gills, hepatopancreas, ovary, and adductor muscle of the seawater green mussel

1 (*Perna viridis*) exposed to  $\text{AgCl}_2$  ( $2 \text{ mg L}^{-1}$ ) for 96 h.

2                   However, in the present work,  $\text{Na}^+$  e  $\text{Cl}^-$  decreased in the hemolymph  
3 without changes in the evaluated enzymes ( $\text{Na}^+/\text{K}^+$ -ATPase,  $\text{H}^+$ -ATPase,  $\text{Ca}^{2+}$ -  
4 ATPase, and carbonic anhydrase), both in the gills and in the mantle, at the time  
5 assessed (24 h). Thus, the mechanism that led to the decrease in  $\text{Na}^+$  and  $\text{Cl}^-$  was  
6 not clarified, but the occurrence of an ionoregulatory disturbance could be evidenced.  
7 Additionally, the present work shows that both  $\text{Na}^+$  and  $\text{Cl}^-$  were affected by Ag in the  
8 bivalve species evaluated, which is similar to the effect observed in teleost fish.

9                   In regard to  $\text{TiO}_2\text{NP}$  exposure, Ti concentration increased in the  
10 hemolymph of mussels. Nano- $\text{TiO}_2$  can be internalized into hemocytes within a short  
11 period of exposure, as demonstrated by Couleau et al. (2012) on zebra mussels  
12 (*Dreissena polymorpha*) exposed to  $1 \text{ mg L}^{-1}$  of nano- $\text{TiO}_2$  for 24 h, and on golden  
13 mussels (*Limnoperna fortunei*) exposed to the same concentration of nano- $\text{TiO}_2$  for 2  
14 h (Girardello et al., 2016). The presence of nano- $\text{TiO}_2$  inside hemocytes was related  
15 to the inhibition of phagocytosis in these cells (Couleau et al., 2012), and to the cell  
16 damage observed later at a higher concentration ( $50 \text{ mg L}^{-1}$ , 4 h of exposure), in  
17 which larger aggregates were internalized (Girardello et al., 2016).

18                   Although nano- $\text{TiO}_2$  can be internalized into hemocytes and promote  
19 damage,  $\text{TiO}_2\text{NP}$  (from the present work) are likely composed of a very small metallic  
20 core of  $\text{TiO}_2$ , stabilized by a thick layer of molecules derived from the fungus  
21 employed in the synthesis. The metal analysis of the exposure medium indicated a  
22 concentration of total Ti consistent with the nominal concentration, but dissolved Ti  
23 was not detected at 0 h. This finding indicates that most Ti found in the exposure  
24 medium was in the bulk form, and that mussels were exposed to NPs composed  
25 mainly of the fungal molecules. Therefore, the increased concentration of Ti observed  
26 in the hemolymph can be a result of the uptake of bulk  $\text{TiO}_2$  that remained in the  
27 suspension, instead of  $\text{TiO}_2\text{NP}$ . Nevertheless, both nano and bulk forms of  $\text{TiO}_2$  led  
28 to increases in the volume and proliferation of hemocytes on the marine clam  
29 *Ruditapes philippinarum* exposed to  $10 \text{ } \mu\text{g L}^{-1}$   $\text{TiO}_2$  (bulk and nano forms) for 24 h,  
30 although additional effects were seen for nano- $\text{TiO}_2$ . In the present work, within the  
31 parameters evaluated, no effects besides Ti bioaccumulation were found on mussels  
32 exposed to  $\text{TiO}_2\text{NP}$ . Considering the above mentioned, adverse effects on  
33 hemocytes are possible and could be further investigated.

34                   The concentration of Fe measured in the exposure medium of FeNP

1 group was below the nominal concentration, and decreased after 24 h of exposure.  
2 This decrease, in part, is associated with the uptake of Fe by mussels. Similar to  
3 TiO<sub>2</sub>NP, exposure to FeNP affected only the bioaccumulation in the hemolymph of  
4 mussels, with an increase in Fe concentration. This accumulation could be transient,  
5 since the exposure of *A. trapesialis* to 5 mg Fe L<sup>-1</sup> for 96 h did not result in the  
6 increase of Fe concentration in any analyzed tissue (hemolymph, gills, mantle,  
7 digestive gland and foot) (Oliveira et al., 2018).

8           Although NPs had no effect on the parameters of oxidative stress  
9 evaluated, the activities of the antioxidant enzymes and levels of LPO in the control  
10 group varied among different tissues. The digestive gland presented a higher activity  
11 of GST, SOD and CAT enzymes, with lower LPO levels, when compared to gills and  
12 mantle. This pattern can also be seen in the work of Oliveira et al. (2018) with the  
13 same bivalve species, and indicates that the digestive gland is the main tissue  
14 involved in the detoxification process on *A. trapesialis*. Also, the digestive gland  
15 seems to be an important site for glycogen storage, given that the concentration of  
16 glycogen evaluated in the present study was highest in this organ, followed by the  
17 mantle and then the gills. It was also possible to note different activities of the  
18 enzymes related to ionoregulation. Carbonic anhydrase activity was higher in the  
19 mantle of control mussels, compared to the gills. This is in accordance with the fact  
20 that the outer mantle epithelium of bivalves is involved in shell formation (Zhao et al.,  
21 2017).

#### 4.5 CONCLUSION

22           This work is part of an integrative assessment of the toxicity of  
23 biogenic NPs synthesized for agricultural use. Biochemical and physiological  
24 parameters were evaluated on the Neotropical freshwater mussel *A. trapesialis*,  
25 considering the hypothesis that suspension-feeding bivalves would be more  
26 susceptible to NPs toxicity. *A. trapesialis* showed bioaccumulation potential, but was  
27 not highly sensitive to the NPs used in the present work. On the concentration and  
28 time of exposure tested, AgNP promoted Ag accumulation and ionoregulatory  
29 disturbances, whereas FeNP led to Fe accumulation, and TiO<sub>2</sub>NP led to Ti  
30 accumulation. It is highlighted the preferential use of TiO<sub>2</sub>NP and FeNP over AgNP.

1 In addition, the effects of these NPs should be tested with other species,  
2 concentrations, and exposure times, to further verify their safe use in agriculture.

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## 5 MANUSCRITO II

### **Biogenic metallic nanoparticles (Ag, TiO<sub>2</sub>, Fe) effects on the freshwater fish *Prochilodus lineatus*: bioaccumulation and multiple biomarkers**

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**ABSTRACT**

1 Silver (Ag), titanium dioxide (TiO<sub>2</sub>), and iron (Fe) nanoparticles (NPs) synthesized  
2 using the fungus *Trichoderma harzianum* have promising agricultural use. However,  
3 possible effects must be evaluated on aquatic organisms, which may encounter  
4 agricultural contaminants. Thus, this work aimed to evaluate the sublethal effects of  
5 the short-term exposure (24 h) to silver nanoparticles (AgNP), rutile titanium dioxide  
6 nanoparticles (TiO<sub>2</sub>NP) and iron nanoparticles (FeNP), all synthesized using *T.*  
7 *harzianum*, on the freshwater teleost *Prochilodus lineatus*, a Neotropical fish species  
8 that has shown to be sensitive to metals and nanoparticles. Juveniles were divided  
9 into four groups (n = 8/group): a control group, kept in water only; a group exposed to  
10 AgNP at the concentration of 3 x 10<sup>8</sup> NPs mL<sup>-1</sup> (35.60 µg Ag L<sup>-1</sup>); a group exposed to  
11 TiO<sub>2</sub>NP at a concentration of 1 x 10<sup>8</sup> NPs mL<sup>-1</sup> (38.94 µg Ti L<sup>-1</sup>); and a group  
12 exposed to FeNP at a concentration of 3 x 10<sup>7</sup> NPs mL<sup>-1</sup> (123.98 µg Fe L<sup>-1</sup>). After the  
13 exposure, bioaccumulation of metals and multiple biomarkers were evaluated. AgNP  
14 caused silver accumulation, histological changes in the gills (hyperplasia and  
15 hypertrophy of epithelial cells, lamellar adhesion and lamellar fusion, with increased  
16 DTC), increased plasma glucose, lactate and potassium, decreased plasma calcium,  
17 decreased circulating white blood cells count and decreased glutathione and  
18 glutathione S-transferase (GST) activity in the liver. TiO<sub>2</sub>NP caused titanium  
19 accumulation, decreased plasma calcium, decreased GST and increased liver  
20 glycogen. FeNP caused Fe accumulation in all tissues and fluids evaluated,  
21 increased circulating white blood cells count, decreased glutathione and increased  
22 lipoperoxidation in the gills, decreased GST and increased liver glycogen.  
23 Histological changes in the gills are related to the increase in plasma glucose, lactate  
24 and K<sup>+</sup>, and indicate respiratory toxicity associated with AgNP. In this group, the  
25 decrease in glutathione may be related to silver binding with sulfhydryl groups. On  
26 the other hand, the decrease in glutathione and increase in lipoperoxidation  
27 evidences oxidative stress in the gills of teleosts exposed to FeNP, and the  
28 accumulation of Fe indicates bioavailability of Fe as FeNP. All NPs lead to a  
29 decrease in GST in the liver, which can compromise biotransformation. Among  
30 tissues, AgNP and FeNP affected mainly the gills, while TiO<sub>2</sub>NP affected mainly the  
31 liver. All nanoparticles caused metal accumulation in the posterior kidney, plasma,  
32 and hemolymph, which points to the sensitivity of this tissue and fluids to  
33 accumulation of NPs. Mutagenicity (micronucleus and nuclear erythrocytic  
34 alterations) and neurotoxicity (acetylcholinesterase enzyme activity) were not  
35 observed. The results indicate that the NPs evaluated were not safe at the  
36 concentrations tested, with AgNP being the most harmful. More studies are needed  
37 for the environmentally safe use of these NPs in agriculture.

**Key-words:** Ecotoxicology. Green synthesis. Pesticides. Fish. Oxidative stress. Respiratory toxicity.

## 5.1 INTRODUCTION

1           *Sclerotinia sclerotiorum* is a cosmopolitan fungal pathogen that  
2 causes white mold disease in more than 400 plant species, including several species  
3 of economical relevance (Bolton et al., 2006). *Trichoderma harzianum* is a  
4 filamentous fungus widely used for biological control: in Brazil in 2019, the most  
5 produced and marketed microbiological products for application in agriculture and  
6 other sectors were *Trichoderma* species (IBAMA, 2020). *T. harzianum* effectively  
7 acts against *S. sclerotiorum*, but its use is limited due to its low persistence in crops  
8 (Fraceto et al., 2018). This limitation can be overcome with new application  
9 technologies, such as microencapsulation (Maruyama et al., 2020) and the synthesis  
10 of metallic nanoparticles using *T. harzianum* (Guilger et al., 2017).

11           Nanoparticles (NPs) can be synthesized by physical, chemical, and  
12 biological processes. The biological (also known as biogenic or green) synthesis,  
13 which employs microorganisms and plant extracts, presents advantages over  
14 physical and chemical methods, including a more environmentally sustainable, faster,  
15 and cost-effective production. Additionally, biogenic NPs can possess a capping of  
16 biomolecules derived from the organism employed in the synthesis. The capping  
17 provides stability and can also have bioactive compounds that enhance nanoparticle  
18 efficacy. Thus, the capping formation during biological synthesis removes additional  
19 steps required in physicochemical synthesis, such as the addition of stabilizing agents  
20 and biologically active compounds (Singh et al., 2016).

21           Silver (Ag), titanium dioxide (TiO<sub>2</sub>), and iron (Fe) NPs<sup>2</sup> synthesized  
22 using *T. harzianum* are effective in the control of white mold (Bilesky-José et al.,  
23 2021; Guilger et al., 2017; Pasquoto-Stigliani, to be published). The chemical  
24 composition of AgNP, TiO<sub>2</sub>NP, and FeNP consists in a metallic core stabilized by a  
25 capping of biomolecules derived from *T. harzianum*. AgNP synthesis occurs by the  
26 reduction of silver ions (Ag<sup>+</sup>), resulting in a metallic core composed of elemental  
27 silver (Ag<sup>0</sup>) (Guilger et al., 2017). In contrast, TiO<sub>2</sub>NP formation does not occur by  
28 redox mechanisms, but it most likely occurs by the dispersion of TiO<sub>2</sub> in *T. harzianum*  
29 enzyme content, followed by adsorption of fungal proteins on the particles surface

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<sup>2</sup> These nanoparticles are referred in the present work as AgNP, TiO<sub>2</sub>NP, and FeNP, respectively, while NPs from other studies are referred as nano-Ag, nano-TiO<sub>2</sub>, and nano-Fe, for the clear understanding of the text.

1 (data to be published, Pasquoto Stigliani et al.). Lastly, X-ray diffraction indicates that  
2 FeNP are composed of hematite ( $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>). The proposed mechanism for FeNP  
3 synthesis consists of hydrolysis of FeCl<sub>3</sub>, followed by the association of Fe<sup>3+</sup> with  
4 oxygen, forming  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>. However, it is possible that more than one type of iron  
5 nanoparticle could be formed during the synthesis, such as zero-valent iron and  
6 maghemite (Bilesky-José et al., 2021).

7           The analysis of AgNP, TiO<sub>2</sub>NP, and FeNP capping revealed  
8 functional groups of biomolecules, protein bands and the hydrolytic enzymes N-  
9 acetylglucosaminidase (NAGase),  $\beta$ -1,3-glucanase, chitinase and acid protease  
10 (AgNP, Casagrande et al., 2021; FeNP, Bilesky-José et al., 2021, TiO<sub>2</sub>NP: to be  
11 published, Pasquoto Stigliane et al.). Casagrande et al. (2021) demonstrated that the  
12 capping is crucial for AgNP action against *S. sclerotiorum*. A hypothesis for AgNP  
13 mechanism of action is the degradation of carbohydrates by hydrolytic enzymes from  
14 *T. harzianum* capping, followed by cytotoxic action of Ag (Casagrande et al., 2021).  
15 Such mechanism seems to be different for FeNP. In this case, the effects of the  
16 suspension against *S. sclerotiorum* occurs not only because of nanoparticle action,  
17 but also because after formed, FeNP promotes the development of *T. harzianum*  
18 reproductive structures such as mycelium, conidia, and conidiospores, stimulating  
19 fungal proliferation, which in turn acts against the phytopathogen (Bilesky-José et al.,  
20 2021).

21           The application of NPs on crops may result in their entrance in  
22 aquatic ecosystems, which are the final receptors of most contaminants. The effects  
23 of biogenic NPs for freshwater fish have been evaluated in some studies. The acute  
24 toxicity and sublethal effects of nano-Ag synthesized using plants and fungi were  
25 assessed mainly in *Danio rerio* (Krishnaraj et al., 2016; Ottoni et al., 2020; Rheder et  
26 al., 2018; Samrot et al., 2019; Sarkar et al., 2014), but also in *Labeo rohita* (Shobana  
27 et al., 2018), and *Oreochromis niloticus* (Girilal et al., 2015). In these studies, the  
28 median lethal concentration (LC<sub>50-96h</sub>) of nano-Ag reported was 25  $\mu\text{g L}^{-1}$  (Shobana  
29 et al., 2018), 142.2  $\mu\text{g L}^{-1}$  (Krishnaraj et al., 2016) and 400  $\mu\text{g L}^{-1}$  (Sarkar et al.,  
30 2014). The variation of toxicity found may originate from the fact that each study  
31 assessed the effects of unique NPs, with different composition and physiochemical  
32 properties, due to the different extracts employed in the biological synthesis. Along  
33 with that, different species and water physiochemical characteristics were used.

34           The Neotropical freshwater teleost *Prochilodus lineatus* is a sensitive

1 species to contaminants. In this species, as demonstrated by Ale et al. (2018a),  
2 nano-Ag (2.5 and 25  $\mu\text{g L}^{-1}$ , 5 and 15 days) modified the composition of skin mucus  
3 and stimulated the proliferation of mucus cells in the gills. In the gills, the authors also  
4 found that nano-Ag promoted Ag accumulation and oxidative stress, with decreased  
5 activity of antioxidant enzymes (superoxide dismutase, SOD, catalase, CAT,  
6 glutathione S-transferase, GST, glutathione reductase, GR, and glutathione  
7 peroxidase, GPx), decreased total antioxidant capacity (ACAP), and increased LPO  
8 (Ale et al., 2018a). In the liver, under the same exposure conditions, nano-Ag  
9 increased the activity of antioxidant enzymes, but decreased ACAP, suggesting  
10 increased ROS levels (Ale et al., 2018b). In this latter work, Ag accumulated in the  
11 liver, intestine, and brain, but the increased activity of antioxidants in these organs  
12 prevented oxidative damage. Hematological and metabolic changes were also  
13 reported by the authors (Ale et al., 2018b, 2018a).

14 Nano-TiO<sub>2</sub> (1-50 mg L<sup>-1</sup>, 2 and 14 days) affected the osmotic and  
15 ionic balance of *P. lineatus* after short-term exposure, but homeostasis was restored  
16 after sub-chronic exposure due to morphological changes in the gills (Carmo et al.,  
17 2018b). The liver and kidney were considered responsible for eliminating nano-TiO<sub>2</sub>,  
18 however, degenerative changes and necrosis were found in both organs, and  
19 oxidative damage was found in the kidney. Nano-TiO<sub>2</sub> also led to bioaccumulation of  
20 Ti and affected hematology parameters and AChE activity (Carmo et al., 2019,  
21 2018a).

22 Nano-Fe<sub>2</sub>O<sub>3</sub> (1 and 25 mg/L for 96 h) caused hematological and  
23 ionoregulatory changes in the Indian major carp (*L. rohita*), such as decreased  
24 hemoglobin, hematocrit, plasma protein, Na<sup>+</sup>, K<sup>+</sup>, and Cl<sup>-</sup>, and Na<sup>+</sup>/K<sup>+</sup>-ATPase activity  
25 in the gills, but increased white blood cell count and plasma glucose (Saravanan et  
26 al., 2015). In Mozambique tilapia (*Oreochromis mossambicus*), nano-Fe<sub>3</sub>O<sub>4</sub> (15 mg L<sup>-1</sup>  
27 for 96 h and 60 days) induced histological changes in the gills, liver, and brain.  
28 These changes were irreversible, since they were found even after 60 days of  
29 recovery in clean water (Vidya and Chitra, 2019).

30 In light of the above mentioned, the hypothesis of the present work  
31 was that the bioaccumulation and the biological effects caused by each type of  
32 nanoparticle (AgNP, TiO<sub>2</sub>NP or FeNP) would be different due to the different modes  
33 of toxic action of each metal. Thus, this work aimed to evaluate the sublethal effects  
34 of a short-term exposure to AgNP, TiO<sub>2</sub>NP, and FeNP, all synthesized using the

1 fungus *T. harzianum*, for the teleost *Prochilodus lineatus*.

## 5.2 MATERIAL AND METHODS

### 5.2.1 Biogenic Synthesis and Characterization of Nanoparticles

2 Nanoparticle synthesis is described in Guilger et al. (2017). Silver,  
3 titanium, and iron nanoparticles were synthesized using silver nitrate (1 mM), titanium  
4 dioxide rutile (1 mM) or iron (III) chloride (1 mM). After the synthesis, dynamic light  
5 scattering (DLS) and microelectrophoresis were performed to obtain the  
6 hydrodynamic diameter, polydispersity index (PDI), and zeta potential of the  
7 suspensions, using ZS90 particle analyzer (Malvern Panalytical). The concentrations  
8 (NPs mL<sup>-1</sup>) were obtained by nanoparticle tracking analysis (NTA), using NanoSight  
9 LM10 cell and NanoSight v.2.3 software (Malvern Panalytical). The pH was  
10 measured using a pH meter (HMMPB-210).

### 5.2.2 Acclimation and Exposure of Fish

11 Juveniles of *P. lineatus* (n = 32; weight: 72.9 ± 12 g; total length: 19.3  
12 ± 1.2 cm, mean ± SD) were purchased from a local fish farming and acclimated for  
13 one month in a 500 L tank containing dechlorinated tap water under continuous  
14 aeration, controlled temperature and a 14 h:10 h light/dark photoperiod.  
15 Temperature, pH, and dissolved oxygen were measured using a multi-parameter  
16 meter (HoribaU52), and corresponded to 26.36 ± 0.19 °C, 7.23 ± 0.30, and 5.85 ±  
17 0.47, respectively (mean ± SD, n = 6). Every 48 h, water from the fish tank was  
18 renewed and the fish were fed with commercial fish feed (Guabi, Brazil), which was  
19 interrupted 24 h prior to the beginning of the experiment and during the experiment.

20 Following acclimation, fish were randomly divided into four groups (n  
21 = 8 individuals/group): a control group, kept in water only; a group exposed to silver  
22 nanoparticles (AgNP) at the concentration of 3 x 10<sup>8</sup> NPs.mL<sup>-1</sup> (35.60 µg Ag L<sup>-1</sup>); a  
23 group exposed to titanium dioxide nanoparticles (TiO<sub>2</sub>NP) at the concentration of 1 x  
24 10<sup>8</sup> NPs.mL<sup>-1</sup> (38.94 µg Ti L<sup>-1</sup>); and a group exposed to iron nanoparticles (FeNP) at

1 the concentration of  $3 \times 10^7$  NPs.mL<sup>-1</sup> (123.98  $\mu\text{g Fe L}^{-1}$ ). All nanoparticles were  
2 biologically synthesized with the fungus *T. harzianum*, as previously described. The  
3 chosen concentrations for TiO<sub>2</sub>NP and FeNP exposures are those that control the  
4 target organism and therefore are intended to be applied on crops. The chosen  
5 concentration for AgNP is ten times lower than the concentration intended to be  
6 applied on crops. We assumed that the concentration of AgNP that controls the  
7 target organism ( $3 \times 10^9$  NPs mL<sup>-1</sup>, 355.96  $\mu\text{g Ag L}^{-1}$ ) would be lethal to *P. lineatus*,  
8 based on the LC<sub>50</sub>-96h of commercial nano-Ag for *P. lineatus* (53.84  $\mu\text{g L}^{-1}$ ) (Ale et  
9 al., 2018b).

10 Fish were kept individually in glass aquaria containing 10 L of the  
11 exposure medium for 24 h. Temperature, pH, and dissolved oxygen were measured  
12 at initial (0 h) and final (24 h) times of exposure using a multi-parameter meter  
13 (HoribaU52). Parameters did not differ between times and among groups, so data  
14 were pooled and corresponded to (mean  $\pm$  SD, n = 32): temperature,  $25 \pm 0.47$  °C;  
15 pH,  $7.12 \pm 0.24$ ; dissolved oxygen,  $5.16 \pm 0.73$ . Water hardness was determined by  
16 titration and corresponded to  $30.8 \pm 2.1$  CaCO<sub>3</sub> L<sup>-1</sup> (mean  $\pm$  SD, n = 8). At initial (0 h)  
17 and final (24 h) times, water samples from each aquarium were collected to  
18 determine total (not filtered) and dissolved (filtered with a 0.45  $\mu\text{m}$  mesh filter)  
19 concentrations of Ag, Ti, and Fe. Samples were acidified with HNO<sub>3</sub> 1% (final  
20 concentration) and analyzed through atomic absorption spectrophotometry coupled  
21 to a graphite furnace (GFAAS) (AAnalyst, 700, Perkin Elmer, USA), against reference  
22 standard solutions (Specsol, Brazil). The dissolved fraction comprises metal ions,  
23 complexes, nanoparticles, and other colloids. The total fraction comprises the  
24 dissolved fraction in addition to forms of metal bounded to organic matter and larger  
25 aggregates/agglomerates of nanoparticles, and excludes sedimentation.

26 Following exposure, fish were anesthetized with benzocaine (0.1 g L<sup>-1</sup>)  
27 to collect blood samples, then fish were killed by medullar sectioning to collect  
28 organs. The conducted assays are described below.

### 5.2.3 Bioaccumulation of Metals

29 Samples of gills, liver, posterior kidney, muscle, and brain were  
30 completely dried at 60°C, and then submitted to acidic digestion with suprapure nitric

1 acid (5 N) at 60°C for 48 h, according to Alves and Wood (2006). Bile samples were  
2 not dried, but submitted to acidic digestion along with the other tissues. Tissue  
3 digests and blood plasma samples were analyzed for Ag, Ti, and Fe concentrations  
4 through GFAAS.

#### 5.2.4 Biomarkers of Oxidative Stress

5 Samples of gills, liver, and brain were homogenized in a potassium  
6 phosphate buffer (100 mM, pH 7.0, 1 g:5 mL for gills, 1 g:10 mL for liver and brain),  
7 centrifuged (16000 g, 20 min, 4 °C), and the supernatants were used for the assays  
8 described below.

9 Glutathione (GSH) concentration was determined using the method  
10 by Beutler et al. (1963), which quantifies non-protein thiols. Samples were acidified  
11 with trichloroacetic acid (TCA 6%, 1:1 dilution) and centrifuged (1500 g, 5 min, 4 °C).  
12 The reaction consisted of the supernatants, potassium phosphate buffer, and 0.5 mM  
13 5,5-dithio-bis-(2-nitrobenzoic acid) (DTNB). Thiolate formation was measured at 412  
14 nm and quantified based on a GSH standard curve (5-400 mM). Glutathione S-  
15 transferase (GST) activity was determined by the conjugation of GSH with the  
16 substrate 1-chloro-2,4-dinitrobenzene (CDNB) every minute for 10 min at 340 nm  
17 (Gagné, 2014; Keen et al., 1976). Catalase (CAT) activity was determined by the  
18 decomposition of H<sub>2</sub>O<sub>2</sub> every minute at 240 nm (Beutler, 1972). Superoxide  
19 dismutase (SOD) activity was determined by the inhibition of cytochrome c reduction  
20 rate by the superoxide radical, at 550 nm (McCord and Fridovich, 1969).  
21 Lipoperoxidation (LPO) was measured by the quantification of thiobarbituric acid  
22 reactive substances (TBARS assay) against a MDA standard curve (Camejo et al.,  
23 1998). The protein concentration in each sample was quantified to normalize data  
24 (Bradford, 1976).

#### 5.2.5 Biomarkers of Hematology

25 Aliquots of blood were used for the determination of hematocrit,  
26 hemoglobin concentration, red blood cells count, hematimetric indices, and the total

1 and differential counts of white blood cells. For hematocrit (Hct, %), heparinized  
2 microcapillaries filled with blood were centrifuged (1200 g, 7 min) and read using a  
3 standardized card. Hemoglobin (Hb, g dL<sup>-1</sup>) was quantified by the  
4 cyanmethemoglobin method using a commercial kit (Labtest, Brazil) at 540 nm. For  
5 red blood cells count (RBC, x 10<sup>6</sup> μL<sup>-1</sup>), blood was diluted in a formol-citrate buffer  
6 (1:200) and analyzed using a Neubauer chamber under a light microscope. The  
7 hematimetric indices mean corpuscular hemoglobin concentration (MCHC, g dL<sup>-1</sup>),  
8 mean corpuscular hemoglobin (MCH, pg cell<sup>-1</sup>) and mean corpuscular volume (MCV,  
9 fL), were calculated as MCHC = (Hb x 100)/Hct, MCH = (Hb x 10)/RBC, MCV = (Hct  
10 x 10)/RBC. For the total and differential counts of white blood cells (WBC, x 10<sup>3</sup> μL<sup>-1</sup>),  
11 blood smears were stained with Diff-Quik kit (New Prov, Brazil). WBC was  
12 determined by counting 2000 cells and differentiating red blood cells, white blood  
13 cells and thrombocytes. For differential count of WBC, 200 cells were evaluated.  
14 Blood samples were centrifuged (1870 x g, 10 min) to obtain plasma samples, which  
15 were stored at -20°C for further analysis.

#### 5.2.6 Plasma Ions Concentrations and Enzyme Activity in Gills

16 For analysis of plasma ions, Na<sup>+</sup> and K<sup>+</sup> were quantified using a  
17 flame photometer (DM-62, Digmed, Brazil), Ca<sup>2+</sup> was quantified using flame atomizer  
18 AAS (AAAnalyst 700, PerkinElmer, USA), and Cl<sup>-</sup> was quantified by the mercury  
19 thiocyanate method using a commercial kit (Quibasa-Bioclin, Brazil) at 470 nm.

20 Gill filaments were homogenized (1 g: 5 mL) in SEID buffer (150 mM  
21 sucrose, 10 mM EDTA, 50 mM imidazole, 2.4 mM sodium deoxycholate, pH 7.5) and  
22 centrifuged (10000 g, 20 min, 4°C). Supernatants were used for determination of  
23 Na<sup>+</sup>/K<sup>+</sup>-ATPase, H<sup>+</sup>-ATPase, Ca<sup>2+</sup>-ATPase, and carbonic anhydrase activities. For  
24 Na<sup>+</sup>/K<sup>+</sup>-ATPase and H<sup>+</sup>-ATPase activities, Gibbs and Somero (1989) protocol was  
25 adapted for a microplate reader. Enzyme activity was measured by the  
26 disappearance rate of NADH, at 340 nm, in a reaction medium containing N-  
27 ethylmaleimide (NEM, H<sup>+</sup>-ATPase inhibitor), ouabain (Na<sup>+</sup>/K<sup>+</sup>-ATPase inhibitor), or  
28 without inhibitors (total ATPase activity). Ca<sup>2+</sup>-ATPase activity was determined  
29 according to Vijayavel et al. (2007), with modifications. Samples were incubated in a  
30 reactive solution (189 mM NaCl, 5 mM MgCl<sub>2</sub>, 20 mM Tris, 5 mM CaCl<sub>2</sub>, 2 mM

1 ouabain, pH 7.6) with (3 mM ATP) and without ATP, at 30°C for 30 min. The reaction  
2 was stopped by leaving the microplate on ice for 10 min. Then, inorganic phosphate  
3 (Pi) formation was estimated according to Ames (1966). Readings were performed at  
4 620 nm based on a phosphate standard curve (0.08-0.65 mM). Carbonic anhydrase  
5 activity was determined according to Vitale et al. (1999), based on the pH decay  
6 immediately after adding water saturated with CO<sub>2</sub> to the samples. The protein  
7 concentration in each sample was quantified to normalize data (Bradford, 1976).

### 5.2.7 Biomarkers of Energy Metabolism

8 Samples of liver and muscle were used for glycogen quantification,  
9 using the method described by Bidinotto et al. (1997), with a reduction in the  
10 volumes. The method consists in the precipitation of glycogen by ethanol and  
11 subsequent quantification of glucose by the phenol-sulfuric acid hydrolysis, at 480  
12 nm (Dubois et al., 1956). Plasma glucose was quantified by an enzymatic method  
13 using glucose oxidase (commercial kit, Laborclin, Brazil) at 505 nm. Plasma lactate  
14 was quantified by an enzymatic method using lactate oxidase (commercial kit,  
15 Labtest, Brazil).

### 5.2.8 Frequency of Micronuclei and Nuclear Abnormalities in Erythrocytes

16 The frequencies of micronuclei (MN) and erythrocytic nuclear  
17 abnormalities (ENA) were evaluated according to Ueda et al. (1992). Blood smears  
18 were fixed with methanol and stored at 4°C. Immediately prior to analysis, slides  
19 were stained with acridine orange (0.003%) in Sorenson's buffer (KH<sub>2</sub>PO<sub>4</sub>, NaHPO<sub>4</sub>,  
20 pH 6.8), and examined under fluorescence at 1000x magnification. For each fish,  
21 3000 erythrocytes were count. Classification of MN and ENA were based on  
22 Carrasco et al. (1990) and Carrola et al. (2014). ENA were classified as: kidney-  
23 shaped nucleus, segmented nucleus, lobed, blebbed, and notched nucleus,  
24 vacuolated nucleus, and binucleated cells. The frequencies of MN, frequencies of  
25 each nuclear abnormality, and frequencies of the sum of ENA, were calculated for  
26 each group.

### 5.2.9 Acetylcholinesterase (AChE) Activity

1                    Samples of muscle and brain were homogenized in a potassium  
2 phosphate buffer (100 mM, pH 7.5, 1 g:10 mL) and centrifugated (16000 g, 20 min,  
3 4°C). Supernatants were used for the determination of AChE activity according to  
4 Ellman et al. (1961) and adapted by Alves-Costa et al. (2007).

### 5.2.10 Histopathology of Gills

5                    Gills arches were fixed in formalin-aceto-alcohol (FAA) and included  
6 in paraffin. Sections of 5 µm were stained with hematoxylin and eosin (H&E) and  
7 analyzed under a light microscope. Damage was evaluated semi-quantitatively by the  
8 Degree of Tissue Change (DTC), according to Poleksic and Mitrovic-Tutundzic  
9 (1994). Tissue changes were classified as stage I, which do not alter the normal  
10 functioning of the tissue; stage II, which impair the normal functioning of the tissue  
11 and are reversible; and stage III, which cause irreparable damage. DTC values were  
12 calculated as follows:  $DTC = (1 \times \sum I) + (10 \times \sum II) + (100 \times \sum III)$ , where the sum of I,  
13 II, and III correspond respectively to the number of changes in stages I, II, and III.

### 5.2.11 Statistical Analysis

14                    Shapiro-Wilk and Levene's test were adopted to verify the normality  
15 and homoscedasticity of variance, respectively. Paired t-test or Wilcoxon Signed  
16 Rank Test were used to compared the concentrations of total and dissolved metals at  
17 the same time and at initial and final times of exposure. Bioaccumulation data from  
18 exposures were compared to control using t-test or Mann-Whitney test. Data from  
19 biomarkers (control x AgNP x TiO<sub>2</sub>NP x FeNP) were analyzed using One Way  
20 ANOVA or Kruskal-Wallis test, followed by Student–Newman–Keuls (SNK) or Dunn's  
21 test, respectively, when necessary. Data from biomarkers were compared among  
22 groups to determine which type of nanoparticle would be less harmful to fish and thus  
23 safer to use in agriculture. Values of  $P < 0.05$  were considered significant.

## 5.3 RESULTS

### 5.3.1 Physiochemical Characterization of Nanoparticle Suspensions

1                    AgNP were the smallest NPs, followed by FeNP and TiO<sub>2</sub>NP. The  
 2 PDI of AgNP and FeNP were similar, and the PDI of TiO<sub>2</sub>NP was the lowest,  
 3 indicating greater homogeneity of sizes for TiO<sub>2</sub>NP. The zeta potentials obtained for  
 4 AgNP and TiO<sub>2</sub>NP were negative, while the zeta potential of FeNP was positive. The  
 5 pH for AgNP and TiO<sub>2</sub>NP suspension was approximately neutral, but acid for FeNP  
 6 suspension. The concentrations obtained in NPs mL<sup>-1</sup> were used to calculate the  
 7 dilutions for the exposures (Table 1).

**Table 1** – Physiochemical characterization of silver (AgNP), titanium dioxide (TiO<sub>2</sub>NP), and iron (FeNP) nanoparticles synthesized with *Trichoderma harzianum*.

	AgNP	TiO <sub>2</sub> NP	FeNP
Diameter (nm)	50.11 ± 0.34	233.13 ± 6.38	138.17 ± 2.00
PDI	0.32 ± 0.00	0.17 ± 0.02	0.35 ± 0.00
Zeta potential (mV)	-19.47 ± 1.15	-10.42 ± 4.98	3.37 ± 0.28
pH	7.3	7.4	5.0
Concentration (NPs mL <sup>-1</sup> )	9.09 × 10 <sup>11</sup>	1.23 × 10 <sup>11</sup>	1.35 × 10 <sup>10</sup>

Data are expressed as mean ± SD (n = 3). PDI = polydispersity index.

### 5.3.2 Concentration of Metals in Exposure Medium and Tissues

8                    The concentrations of Ag and Fe measured in the water from AgNP  
 9 and FeNP exposures, respectively, are shown in Table 2. The total concentration of  
 10 Ag measured in the water from AgNP exposure immediately before adding the fish (0  
 11 h) represented a 95.0% recovery from the nominal concentration (35.60 µg L<sup>-1</sup>), and  
 12 did not differ from the dissolved (<0.45 µm) concentration measured at the same  
 13 time. After the exposure (24 h), total Ag concentration decreased 27.6%, and  
 14 dissolved Ag concentration decreased 53.6%. Total and dissolved concentrations of  
 15 Ag were quantified in the control water, but represented only 1.27% of the  
 16 concentration from AgNP exposure.

17                    The total concentration of Fe measured in the water from FeNP at 0  
 18 h represented a 75.0% recovery from the nominal concentration (123.98 µg L<sup>-1</sup>),

1 while the dissolved fraction of Fe at 0 h represented a 39.0% recovery from the  
 2 nominal concentration. After 24 h of exposure, total Fe concentration decreased  
 3 23.6%, and dissolved Fe concentration decreased 53.7%. Total and dissolved  
 4 concentrations Fe were quantified in the control water and represented 15.6% and  
 5 18.2% of the total and dissolved concentrations measured in the of FeNP exposure,  
 6 respectively.

7 The quantification of Ti was not possible due to technical reasons.

**Table 2** – Concentration of metals ( $\mu\text{g L}^{-1}$ ) in the water from control (C), silver nanoparticles exposure (AgNP), and iron nanoparticles exposure (FeNP), at initial and final times of exposure.

	0 h	24 h	0 h	24 h
	<b>Control</b>		<b>AgNP</b>	
<b>Ag total</b>	0.43 $\pm$ 0.04	0.05 $\pm$ 0.02*	33.81 $\pm$ 1.69	24.48 $\pm$ 2.36 <sup>a*</sup>
<b>Ag dissolved</b>	0.48 $\pm$ 0.04	0.05 $\pm$ 0.03*	34.19 $\pm$ 2.21	15.86 $\pm$ 3.18 <sup>b*</sup>
	<b>Control</b>		<b>FeNP</b>	
<b>Fe total</b>	14.46 $\pm$ 1.26 <sup>a</sup>	12.73 $\pm$ 0.53 <sup>a*</sup>	92.92 $\pm$ 12.38 <sup>a</sup>	71.03 $\pm$ 11.56 <sup>a*</sup>
<b>Fe dissolved</b>	8.81 $\pm$ 1.04 <sup>b</sup>	8.51 $\pm$ 2.41 <sup>b</sup>	48.34 $\pm$ 5.84 <sup>b</sup>	22.38 $\pm$ 10.28 <sup>b*</sup>

Data are expressed as mean  $\pm$  SD (n = 8). Detection limit of Ag: 0.005  $\mu\text{g L}^{-1}$ , Fe: 0.06  $\mu\text{g L}^{-1}$ .

Asterisk (\*) indicates significant difference between 0 h and 24 h within the same row and group.

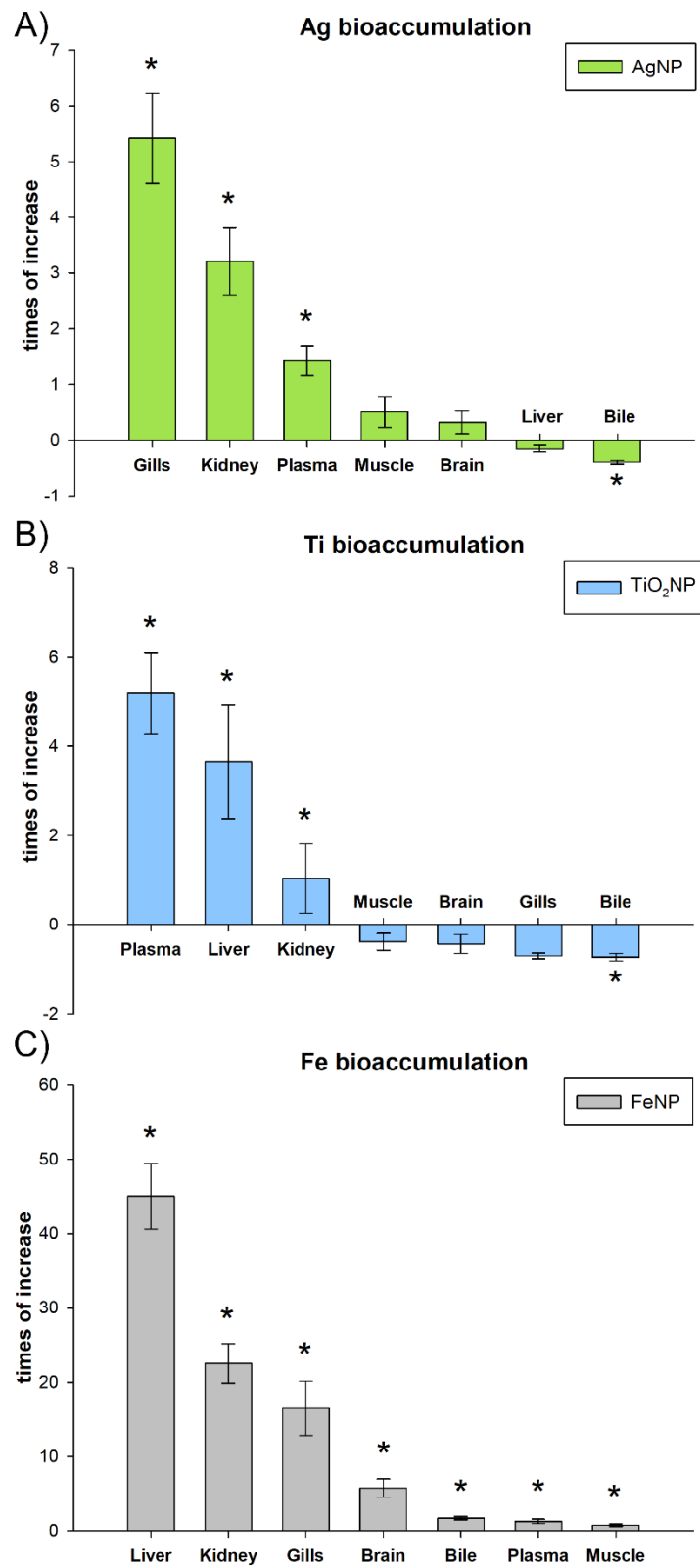
Different letters indicate significant difference between total and dissolved within the same time.

Statistics applied were paired t-test or Wilcoxon Signed Rank Test (P < 0.05).

### 5.3.3 Bioaccumulation of Metals

8 Ag concentration increased in the gills (Mann-Whitney, P < 0.001),  
 9 posterior kidney (t-test, P < 0.001), and plasma (t-test, P < 0.001), but decreased in  
 10 the bile (t-test, P = 0.009), of fish exposed to AgNP (Fig. 1A). Ti concentration  
 11 increased in the plasma (t-test, P < 0.001), liver (Mann-Whitney, P = 0.002), and  
 12 posterior kidney (Mann-Whitney, P = 0.013), but decreased in the bile (Mann-  
 13 Whitney, P = 0.038), of fish exposed to TiO<sub>2</sub>NP (Fig. 1B). Overall, there was a net  
 14 increase of Ag and Ti concentrations in fish exposed to AgNP and TiO<sub>2</sub>NP,  
 15 respectively. Fe concentration increased in all tissues (and blood plasma) analyzed:  
 16 liver, posterior kidney, gills, brain, bile, plasma (all Mann-Whitney, P < 0.001), and  
 17 muscle (t-test, P = 0.001) (Figure 1C). The increases in Fe concentrations were the  
 18 highest among metals (49.6-fold higher in the liver of FeNP-exposed fish), followed  
 19 by Ag and Ti, which increased more than 6-fold in the gills of AgNP-exposed fish and

1 plasma of TiO<sub>2</sub>NP-exposed fish, respectively.



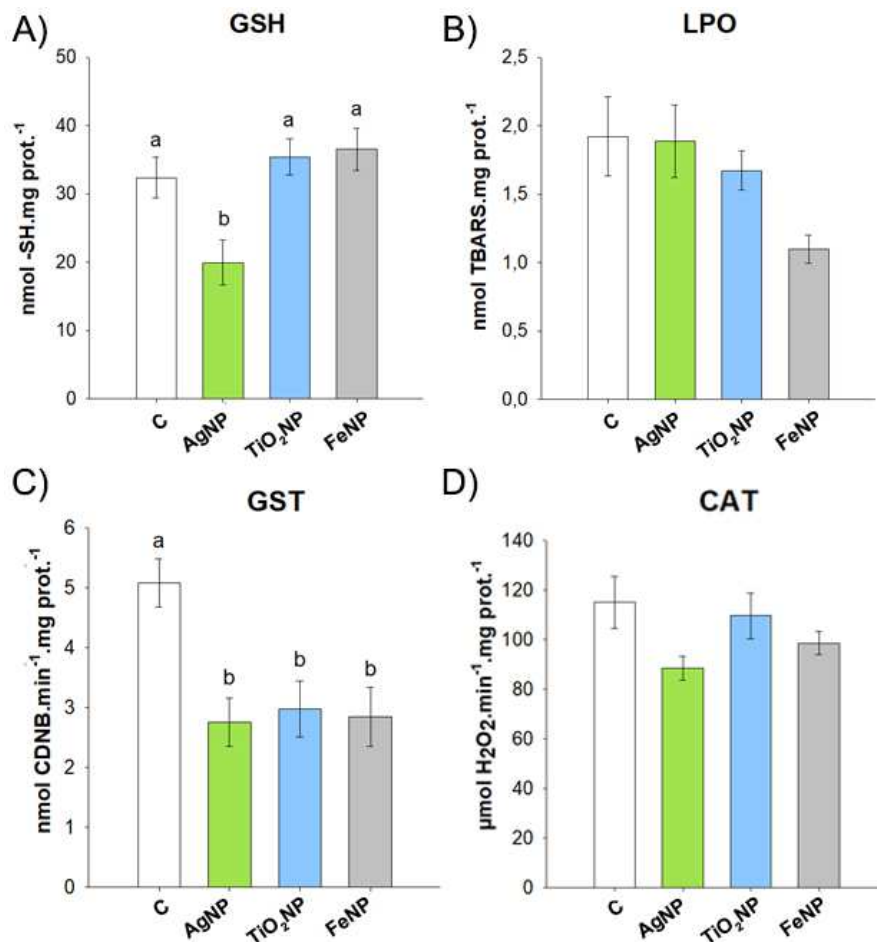
**Fig. 1** – Times of increase in metal concentrations (Ag, Ti, and Fe) in multiple tissues and plasma of *Prochilodus lineatus* from A) silver nanoparticles exposure (AgNP), B) titanium

dioxide nanoparticles exposure (TiO<sub>2</sub>NP), and C) iron nanoparticles exposure (FeNP), in relation to the control. Data are expressed as mean  $\pm$  SE (n=6-8). Asterisks (\*) indicate significant differences in relation to control (P < 0.05, t-test or Mann-Whitney).

### 5.3.4 Biomarkers of Oxidative Stress

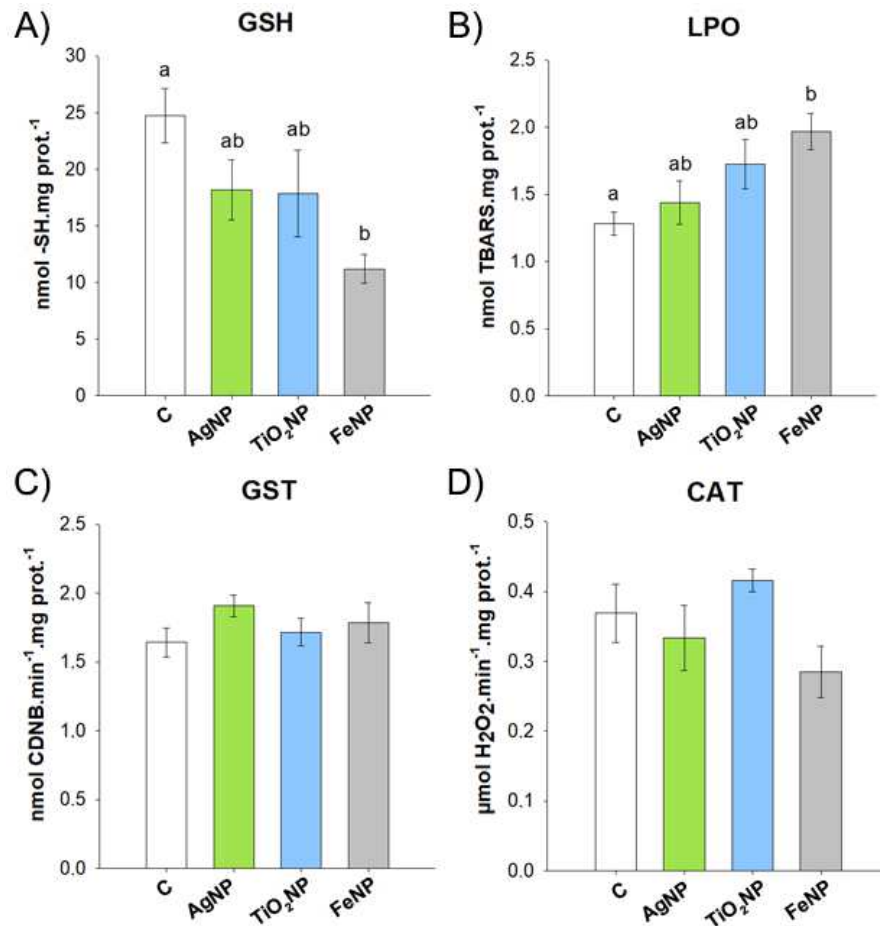
1 In the liver, GSH concentration decreased in AgNP exposure  
 2 compared to control, TiO<sub>2</sub>NP, and FeNP (ANOVA, P = 0.003) (Fig. 2A), and GST  
 3 activity decreased in all exposures compared to control (ANOVA, P = 0.002) (Fig.  
 4 2C).

5



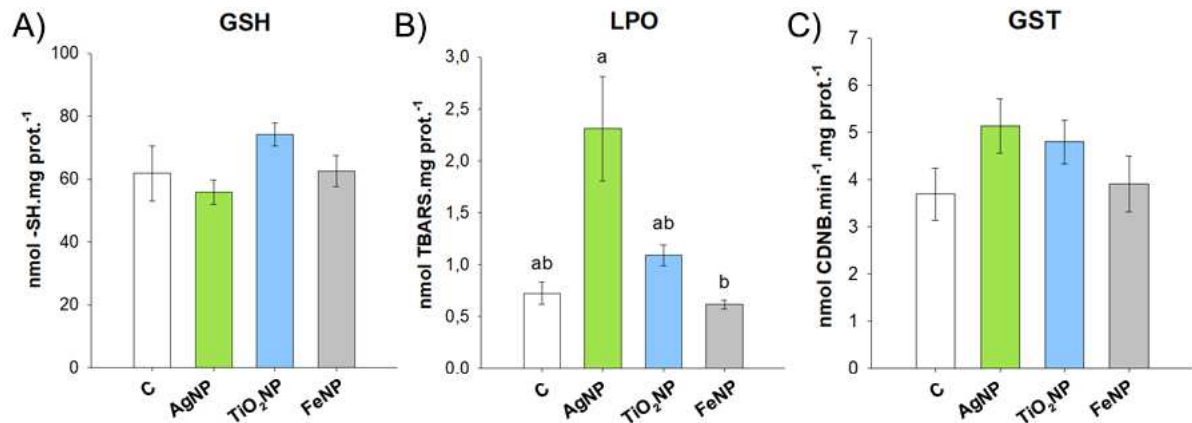
**Fig. 2** – Biomarkers of oxidative stress in the liver of *Prochilodus lineatus* from control (C), silver nanoparticles exposure (AgNP), titanium dioxide nanoparticles exposure (TiO<sub>2</sub>NP), and iron nanoparticles exposure (FeNP). Data are expressed as mean  $\pm$  SE (n=7-8). Different letters indicate significant differences between groups (P < 0.05, ANOVA). GSH = glutathione, LPO = lipoperoxidation, GST = glutathione S-transferase, CAT = catalase.

1 In the gills, FeNP exposure decreased the concentration of GSH  
 2 (ANOVA,  $P = 0.011$ ) and increased LPO (ANOVA,  $P = 0.018$ ), compared to control  
 3 (Fig. 3A,B).



**Fig. 3** – Biomarkers of oxidative stress in the gills of *Prochilodus lineatus* from control (C), silver nanoparticles exposure (AgNP), titanium dioxide nanoparticles exposure (TiO<sub>2</sub>NP), and iron nanoparticles exposure (FeNP). Data are expressed as mean  $\pm$  SE (n=6-8). Different letters indicate significant differences between groups ( $P < 0.05$ , ANOVA). GSH = glutathione, LPO = lipoperoxidation, GST = glutathione S-transferase, CAT = catalase.

4 In the brain, none of the exposures affected GSH and GST, but LPO  
 5 increased in fish exposed to AgNP compared to FeNP (Fig. 4).



**Fig. 4** – Biomarkers of oxidative stress in the brain of *Prochilodus lineatus* from control (C), silver nanoparticles exposure (AgNP), titanium dioxide nanoparticles exposure (TiO<sub>2</sub>NP), and iron nanoparticles exposure (FeNP). Data are expressed as mean  $\pm$  SE (n=6-8). Different letters indicate significant differences between groups (P < 0.05, ANOVA or Kruskal-Wallis). GSH = glutathione, LPO = lipoperoxidation, GST = glutathione S-transferase.

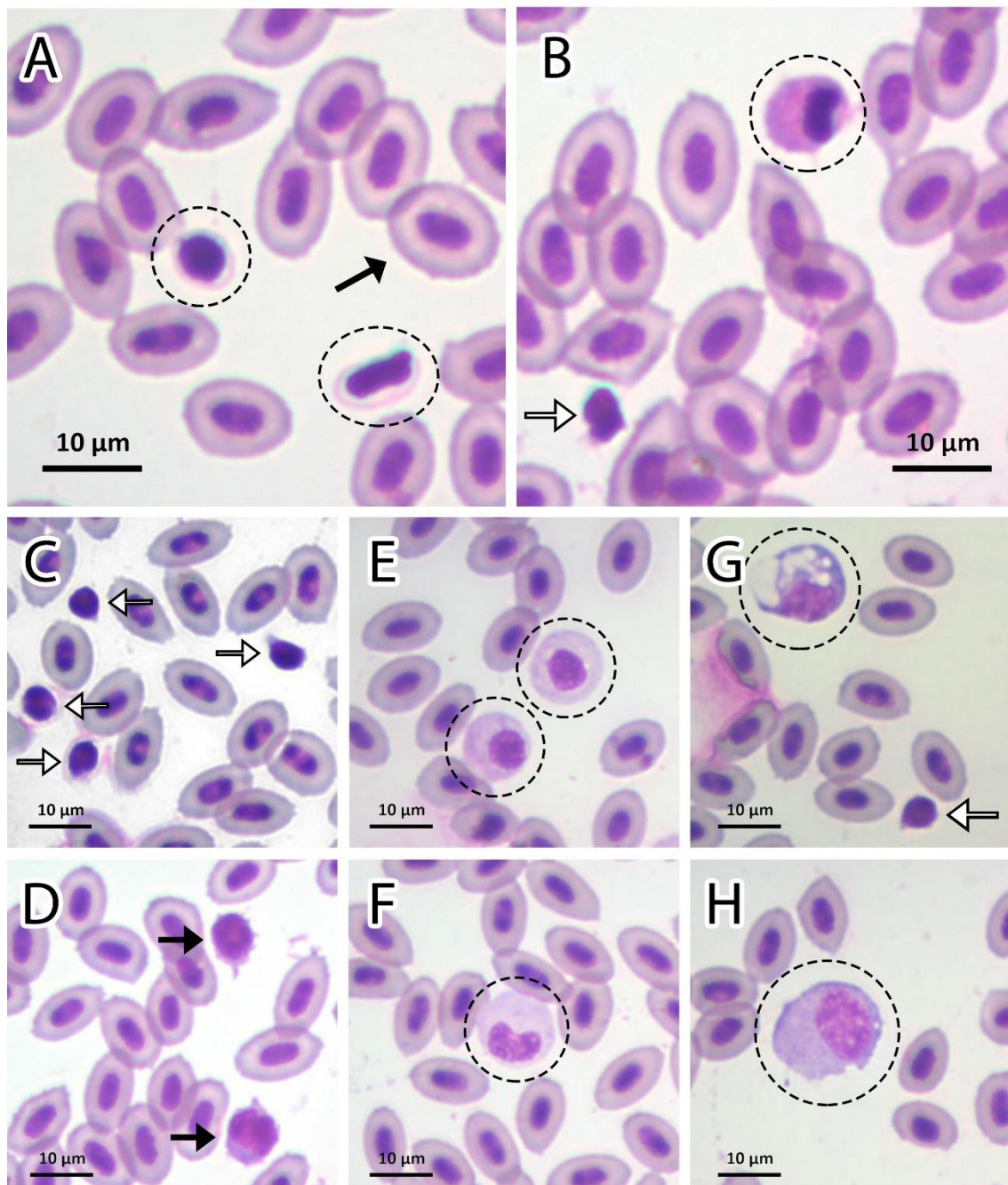
### 5.3.5 Biomarkers of Hematology

1                    There was a tendency of increase in MCV after AgNP exposure  
 2 (ANOVA, P = 0.052). On the other hand, AgNP decreased WBC count compared to  
 3 control, TiO<sub>2</sub>NP, and FeNP, but FeNP increased WBC count compared to control  
 4 (ANOVA, P < 0.001) (Table 3). The relative frequency of monocytes was higher in  
 5 fish exposed to AgNP compared to TiO<sub>2</sub>NP (Kruskal-Wallis, P < 0.05) (Table 3).  
 6 Figure 5 shows RBC, WBC, and thrombocytes. WBC were differentiated in  
 7 lymphocytes, neutrophils, monocytes, and eosinophils.

**Table 3** – Biomarkers of hematology in *Prochilodus lineatus* from control (C), silver nanoparticles exposure (AgNP), titanium dioxide nanoparticles exposure (TiO<sub>2</sub>NP), and iron nanoparticles exposure (FeNP).

	<b>C</b>	<b>AgNP</b>	<b>TiO<sub>2</sub>NP</b>	<b>FeNP</b>
<b>Hemoglobin (g dL<sup>-1</sup>)</b>	8.19 ± 0.60	8.61 ± 0.43	8.45 ± 0.40	9.30 ± 0.46
<b>Hematocrit (%)</b>	37.00 ± 0.97	33.75 ± 1.43	32.87 ± 1.09	36.50 ± 1.77
<b>RBC (10<sup>6</sup> μL<sup>-1</sup>)</b>	1.74 ± 0.14	1.44 ± 0.16	1.52 ± 0.07	1.81 ± 0.09
<b>MCHC (g dL<sup>-1</sup>)</b>	22.03 ± 1.15	24.98 ± 1.47	25.95 ± 1.45	25.12 ± 0.35
<b>MCH (pg cell<sup>-1</sup>)</b>	48.27 ± 3.80	61.98 ± 1.56	56.56 ± 4.11	51.95 ± 2.97
<b>MCV (fL)</b>	207.61 ± 9.64	245.29 ± 16.17	203.07 ± 8.68	203.01 ± 10.63
<b>WBC (10<sup>3</sup> μL<sup>-1</sup>)</b>	<b>30.52 ± 5.03<sup>a</sup></b>	<b>13.36 ± 1.53<sup>b</sup></b>	<b>41.60 ± 5.31<sup>ac</sup></b>	<b>51.25 ± 3.79<sup>c</sup></b>
<b>Lymphocytes (%)</b>	89.50 ± 1.09	58.13 ± 11.90	93.43 ± 0.35	90.19 ± 1.45
<b>Neutrophils (%)</b>	5.25 ± 1.18	32.00 ± 10.90	4.43 ± 0.54	5.25 ± 1.57
<b>Monocytes (%)</b>	<b>5.38 ± 0.92<sup>ab</sup></b>	<b>7.69 ± 2.35<sup>a</sup></b>	<b>2.25 ± 0.51<sup>b</sup></b>	<b>3.81 ± 0.61<sup>ab</sup></b>
<b>Eosinophils (%)</b>	0.25 ± 0.13	0.57 ± 0.21	0.00 ± 0.00	0.06 ± 0.06

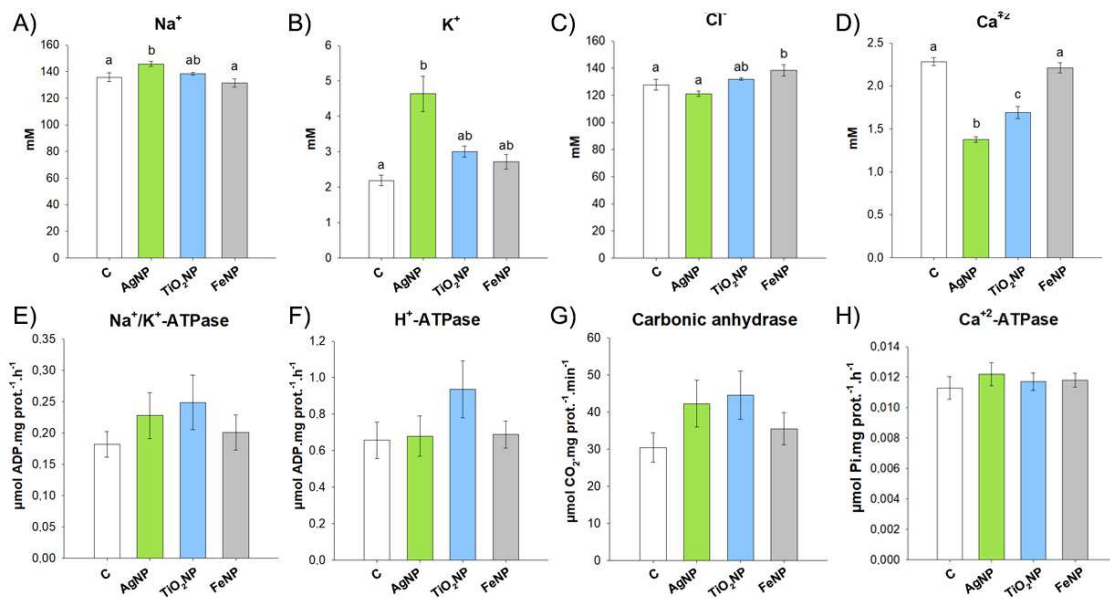
RBC = red blood cell count, MCHC = mean corpuscular hemoglobin concentration, MCH = mean corpuscular hemoglobin, MCV = mean corpuscular volume, WBC = white blood cell count. Data are expressed as mean ± SE (n=6-8). Different letters indicate significant differences between groups (P < 0.05, ANOVA or Kruskal-Wallis).



**Fig. 5** – Red blood cells, white blood cells, and thrombocytes found in the peripheral blood of *Prochilodus lineatus*. A – Red blood cell (black arrow) and thrombocytes (within the circles). B – Eosinophil (within the circle) and small lymphocyte (white arrow). C – Small lymphocytes (white arrows). D – Large lymphocytes (black arrows). E, F – Neutrophils (within the circles). G, H – Monocytes (within the circles) and small lymphocyte (white arrow). Diff-Quik stain. Scale bar: 10 µm.

### 5.3.6 Plasma Ions Concentrations and Enzyme Activity in Gills

1 Plasma  $\text{Na}^+$  concentration increased in AgNP exposure compared to  
 2 control and FeNP (ANOVA,  $P = 0.006$ ) (Fig. 6A).  $\text{K}^+$  concentration increased in AgNP  
 3 exposure compared to control (Kruskal-Wallis,  $P < 0.001$ ) (Fig. 6B). On the other  
 4 hand,  $\text{Ca}^{+2}$  concentration decreased in AgNP exposure compared to control,  $\text{TiO}_2\text{NP}$ ,  
 5 and FeNP exposures, and  $\text{Ca}^{+2}$  concentration decreased in  $\text{TiO}_2\text{NP}$  compared to  
 6 control and FeNP (ANOVA,  $P < 0.001$ ) (Fig. 6C).  $\text{Cl}^-$  increased in FeNP compared to  
 7 control and AgNP (ANOVA,  $P = 0.005$ ) (Fig. 6D).  $\text{Na}^+/\text{K}^+$ -ATPase,  $\text{H}^+$ -ATPase,  $\text{Ca}^{+2}$ -  
 8 ATPase, and carbonic anhydrase activities in the gills were not affected (Fig. 6E-H).

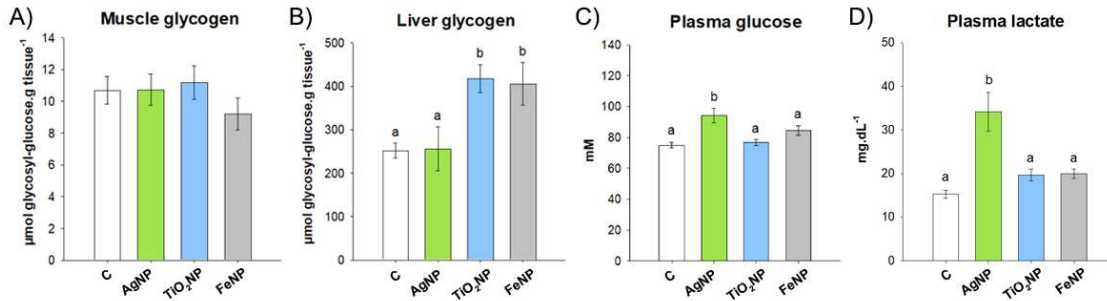


**Fig. 6** – Plasma ions and enzymes activity in the gills of *Prochilodus lineatus* from control (C), silver nanoparticles exposure (AgNP), titanium dioxide nanoparticles exposure ( $\text{TiO}_2\text{NP}$ ), and iron nanoparticles exposure (FeNP). Data are expressed as mean  $\pm$  SE ( $n=5-8$ ). Different letters indicate significant differences between groups ( $P < 0.05$ , ANOVA or Kruskal-Wallis).

### 5.3.7 Biomarkers of Energy Metabolism

9 Hepatic glycogen increased in  $\text{TiO}_2\text{NP}$  exposure compared to control  
 10 and AgNP exposure, and also increased in FeNP exposure compared to control and  
 11 to AgNP (ANOVA,  $P = 0.005$ ) (Fig. 7B). AgNP increased plasma glucose compared

1 to control, TiO<sub>2</sub>NP and FeNP (ANOVA,  $P < 0.001$ ) (Fig. 7C). AgNP increased plasma  
 2 lactate compared to control, TiO<sub>2</sub>NP and FeNP (Mann-Whitney,  $P < 0.001$ ) (Fig. 7D).

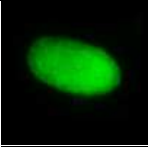
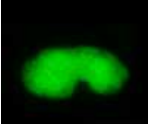
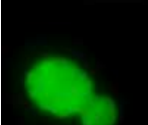
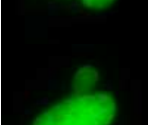
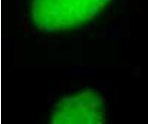
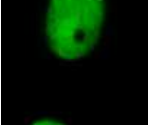


**Fig. 7** – Biomarkers of energy metabolism in *Prochilodus lineatus* from control (C), silver nanoparticles exposure (AgNP), titanium dioxide nanoparticles exposure (TiO<sub>2</sub>NP), and iron nanoparticles exposure (FeNP). Data are expressed as mean ± SE (n=7-8). Different letters indicate significant differences between groups ( $P < 0.05$ , ANOVA or Kruskal-Wallis).

### 5.3.8 Frequency of Micronuclei and Nuclear Abnormalities in Erythrocytes

3 Four erythrocytes with micronucleus were found in the entire  
 4 experiment, one in each group. Regarding nuclear abnormalities, the following were  
 5 found: kidney-shaped nucleus, segmented nucleus, lobed, blebbed, and notched  
 6 nucleus, vacuolated nucleus, and binucleated cells. However, there were no  
 7 significant differences in the frequency of the sum of nuclear abnormalities among  
 8 groups, neither when each abnormality was tested separately (Table 4).

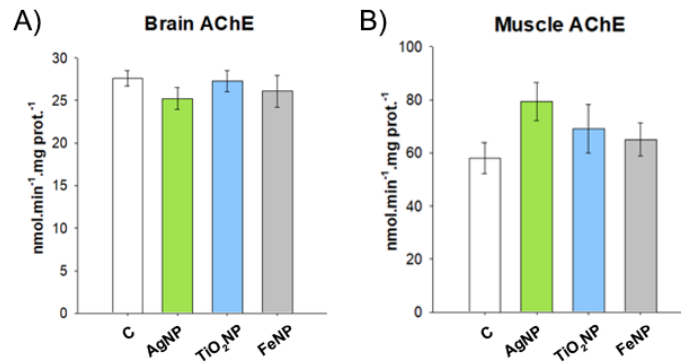
**Table 4** – Erythrocytic nuclear abnormalities (ENA, expressed per 1000 erythrocytes - %) of *Prochilodus lineatus* from control (C), silver nanoparticles exposure (AgNP), titanium dioxide nanoparticles exposure (TiO<sub>2</sub>NP), and iron nanoparticles exposure (FeNP).

	CTR	AgNP	TiO <sub>2</sub> NP	FeNP
	-	-	-	-
	0.95 ± 0.09	0.71 ± 0.29	0.33 ± 0.10	0.52 ± 0.20
	0.52 ± 0.20	1.38 ± 0.56	0.67 ± 0.27	0.96 ± 0.33
	0.52 ± 0.22	0.96 ± 0.40	0.52 ± 0.19	0.29 ± 0.14
	0.38 ± 0.18	0.25 ± 0.14	0.75 ± 0.34	0.05 ± 0.04
	0.10 ± 0.06	0.05 ± 0.04	0	0.08 ± 0.05
<b>Total ENA</b>	2.48 ± 0.43	3.92 ± 1.31	3.33 ± 1.31	2.54 ± 0.76

Data are expressed as mean ± SE (n=7-8, Kruskal-Wallis).

### 5.3.9 Acetylcholinesterase (AChE) Activity

- 1 None of the exposures affected AChE activity in brain and muscle
- 2 (Fig. 8).



**Fig. 8** – Acetylcholinesterase (AChE) activity in the A) brain and B) muscle of *Prochilodus lineatus* from control (C), silver nanoparticles exposure (AgNP), titanium dioxide nanoparticles exposure (TiO<sub>2</sub>NP), and iron nanoparticles exposure (FeNP). Data are expressed as mean ± SE (n=7-8, ANOVA).

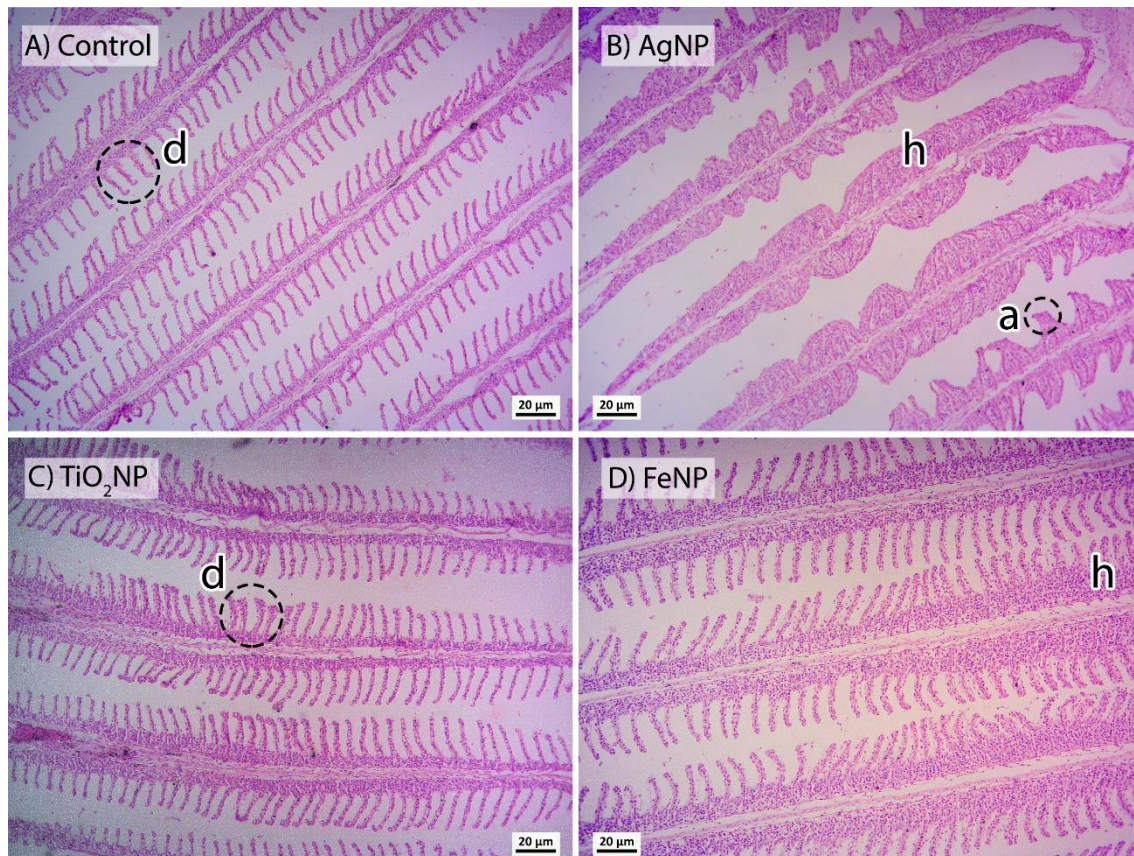
### 5.3.10 Histopathology of Gills

1                    Table 5 shows the mean frequency of each histological change in the  
 2 gills of each group, and DTC values for each group. Figure 9 shows the overall  
 3 aspect of gills from each group. In general, sections of gills from control and TiO<sub>2</sub>NP  
 4 exposure presented a non-pathological architecture, with rare or few alterations. Gill  
 5 sections from AgNP presented conspicuous epithelial cell hyperplasia, which could  
 6 be seen in almost all filaments in most animals (not all). In some cases, epithelial cell  
 7 hyperplasia was accompanied by hypertrophy of the same cells and lamellar  
 8 adhesion. These changes resulted in a higher DTC value for AgNP compared to  
 9 control and TiO<sub>2</sub>NP (ANOVA, P = 0.004). Gills sections from FeNP presented higher  
 10 frequency of epithelial cell hyperplasia than control and TiO<sub>2</sub>NP, but it did not result in  
 11 a significant higher DTC value compared to other groups.

**Table 5** – Histological changes and Degree of Tissue Change (DTC) found in the gills of *Prochilodus lineatus* from control (C), silver nanoparticles exposure (AgNP), titanium dioxide nanoparticles exposure (TiO<sub>2</sub>NP), and iron nanoparticles exposure (FeNP).

	Stage	C	AgNP	TiO <sub>2</sub> NP	FeNP
Epithelial cell hypertrophy	I	0+	+	0+	0+
Epithelial lifting	I	+	+	+	+
Epithelial cell hyperplasia	I	0+	+++	0+	+
Lamellar adhesion/fusion of lamellae tips	I	0	+	0	0
Incomplete lamelar fusion	I	0+	+	0+	+
Complete lamelar fusion	I	0+	++	0+	+
Lamellar disarray	I	+	0+	+	+
Vascular congestion	I	0+	0+	0+	0+
Aneurysm	II	0+	0+	0	0+
Presence of parasites	I	0	0+	0+	0+
<b>DTC</b>		<b>5.43 ± 1.02<sup>a</sup></b>	<b>14.13 ± 2.07<sup>b</sup></b>	<b>5.50 ± 0.73<sup>a</sup></b>	<b>10.00 ± 1.93<sup>ab</sup></b>

Note: 0 = absent; 0+ = rare; + = fairly frequent; ++ = frequent; +++ = very frequent. DTC values are expressed as mean ± SE (n=7-8). Different letters indicate significant differences between groups (P < 0.05, ANOVA). Statistics were only applied to DTC values.



**Fig. 5** – Histological sections of gills of *Prochilodus lineatus* from A) control, B) silver nanoparticles exposure (AgNP), C) titanium dioxide nanoparticles exposure (TiO<sub>2</sub>NP), and D) iron nanoparticles exposure (FeNP). Sections from control, TiO<sub>2</sub>NP, and FeNP present normal architecture, although some changes can be seen, such as lamellar disarray (d) and epithelial cell hyperplasia (h). Section from AgNP show extensive epithelial cell hyperplasia (h) and lamellar adhesions (a). Scale bar = 20 µm. H&E.

#### 5.4 DISCUSSION

1           The unique properties of each type of nanoparticle evaluated are  
 2 responsible for the different effects observed in fish. The most notable difference is  
 3 the metal composition. In fact, as it will be further discussed, several effects seem to  
 4 be a result of the specific metal exposure. However, each type of nanoparticle also  
 5 presents different physiochemical properties (Manuscript 1). Furthermore, the  
 6 concentration of nanoparticles in each exposure is different, as well as the survival  
 7 and development of *T. harzianum* in the suspensions.

8           The bioaccumulation of Ag, Ti, and Fe indicates that the biogenic  
 9 synthesis employed did not prevent metal uptake. The most sensitive tissues (and

1 plasma) to metal accumulation were the kidney and blood plasma, which  
2 accumulated metals after all exposures. Thus, these tissues are indicated for future  
3 bioaccumulation analysis after exposure to the nanoparticles used in the present  
4 work. Additionally, plasma analysis has the advantage of not requiring the animal to  
5 be killed. In relation to metal accumulation in the kidney, if nanoparticles reached the  
6 organ (and not solely other forms of the metal), their excretion may be impaired,  
7 because NPs are in general larger than the glomerular filter (Handy et al., 2008). This  
8 could indeed contribute to metal accumulation, in addition to other intrinsic  
9 characteristics of the organ, such as the high blood flow. However, elimination of NPs  
10 may occur in the kidney through melanomacrophage (MM) action (Carmo et al.,  
11 2018a). The potential for the kidney to be a target organ is shown in some other  
12 studies. For example, in the Indian major carp *L. rohita* exposed for 35 days to 2.5  
13 and 5  $\mu\text{g L}^{-1}$  nano-Ag obtained using *Piper nigrum* leaf extract, Ag accumulation  
14 followed the order: kidney > liver > gill (Shobana et al., 2018). In *P. lineatus* exposed  
15 to nano-TiO<sub>2</sub>, the kidney showed cellular and nuclear hypertrophy, focal tubule  
16 degeneration, necrosis, and melanomacrophage (MM) proliferation (Carmo et al.,  
17 2018a).

18           In the present work, Ag concentration increased in gills, plasma, and  
19 posterior kidney of fish exposed to AgNP, but decreased in fish bile. Such increases  
20 surpassed the decrease in bile, resulting in a net increase of Ag. This distribution  
21 pattern indicates Ag uptake by gills and distribution to the kidney via blood  
22 circulation. On the other hand, Ti concentration increased in the plasma, liver, and  
23 posterior kidney of fish exposed to TiO<sub>2</sub>NP, and decreased in the bile of fish. Also in  
24 this case, there was a net Ti increase in the animal. It was unexpected to obtain an  
25 increase in metal concentration in internal organs, but not in the gills, after a 24 h  
26 exposure. However, Ti accumulation in the liver corroborates the sublethal effects  
27 found mostly in this tissue after TiO<sub>2</sub>NP exposure. Furthermore, a different pattern of  
28 bioaccumulation was found for *P. lineatus* exposed to TiO<sub>2</sub>NP for 14 days: all  
29 concentrations tested (1, 5, 10, and 50  $\text{mg L}^{-1}$ ) led to increased Ti concentration in  
30 the gills, but only the highest concentrations promoted accumulation in other organs  
31 (10 and 50  $\text{mg L}^{-1}$  in brain and muscle, 50  $\text{mg L}^{-1}$  in the liver), and the concentrations  
32 of 5 and 50  $\text{mg L}^{-1}$  resulted in Ti accumulation in the kidney (Carmo et al., 2019,  
33 2018a). In the recently cited work, the morphological changes found in the gills were  
34 less severe than those found in the liver and kidney of fish, which showed

1 degenerative changes and necrosis (Carmo et al., 2019, 2018a).

2 AgNP and TiO<sub>2</sub>NP exposures led to decreases in Ag and Ti,  
3 respectively, in the fish bile. This finding indicates that both exposures modified metal  
4 distribution in tissues. The mechanism underlying this result is not known, but is  
5 possible that liver function is compromised, affecting bile production and/or secretion.  
6 Besides the morphological changes cited in the last paragraph, the liver of *P. lineatus*  
7 exposed to 0.1, 1, and 10 µg L<sup>-1</sup> TiO<sub>2</sub>NP for 5 days displayed increased frequency of  
8 necrosis, leukocyte infiltration, basophilic foci, and vascular congestion, and  
9 decreased frequency of cholestasis. These histological changes may compromise  
10 liver functions, including bile production (Miranda et al., 2016).

11 Regarding Fe bioaccumulation, *P. lineatus* exposed to 5 mg L<sup>-1</sup> Fe,  
12 as FeSO<sub>4</sub>.7H<sub>2</sub>O, for 96 h did not accumulate Fe in any analyzed tissue (muscle,  
13 blood, brain, gills, bile, liver, posterior kidney, and anterior kidney) (Oliveira et al.,  
14 2018). In the present work, fish from the FeNP group were exposed to 123.98 µg L<sup>-1</sup>  
15 Fe - a much lower concentration than the previously cited work - and accumulated Fe  
16 in all tissues analyzed (gills, liver, posterior kidney, brain, muscle, plasma, and bile),  
17 with remarkable increases compared to control. Although it would be necessary to  
18 compare these results within the same exposure conditions (equal time and  
19 concentration), the increases in Fe concentration found in the present work, with a  
20 low concentration of exposure, may indicate that FeNP enhance Fe bioavailability,  
21 compared to Fe salts. In fact, nano-Fe can be used in fish aquaculture as a  
22 supplement for iron deficiency (Thangapandiyan et al., 2020).

23 FeNP exposure decreased GSH and increased LPO in fish gills. Fe  
24 acts as a catalyst in the Fenton reaction, an oxidation process that generates  
25 hydroxyl radical from hydrogen peroxide. Hydroxyl radical is a highly reactive  
26 molecule that along with other ROS can cause oxidative damage to cell constituents  
27 (Lushchak, 2016). To a certain extent, GSH prevents oxidative damage from metals  
28 because it chelates metal cations and also eliminates ROS. The depletion of GSH  
29 observed in the present study should be primarily related to its role as ROS  
30 scavenger. Also, GSH binds to Fe<sup>+2</sup> (Berndt and Lillig, 2017), which could also  
31 decrease GSH concentration. Nevertheless, fish antioxidant system was not capable  
32 of preventing oxidative damage, as indicated by the increased LPO. GSH depletion  
33 and LPO increase in the gills of fish indicates the occurrence of oxidative stress  
34 induced by FeNP exposure.

1                   GSH was also reduced in the liver of fish exposed to AgNP. Ag binds  
2 to biomolecules such as glutathione and metallothionein (Wood, 2012). In the liver of  
3 AgNP-exposed fish, GST activity was also decreased. Despite decreases in GSH  
4 and GST, peroxidation of lipid membranes did not occur.

5                   All nanoparticles (AgNP, TiO<sub>2</sub>NP, and FeNP) led to reduced GST  
6 activity of the same intensity in the liver of fish for all exposures. The only common  
7 properties among the three nanoparticles are their nanoparticulate form and the  
8 capping of fungal molecules. However, it was not possible to infer how these  
9 properties would affect GST activity. The glutathione S-transferases (GSTs) are a  
10 family of enzymes that participate in phase II reactions of biotransformation but also  
11 in antioxidant defense (Nikinmaa, 2014). Decreased activity of GST can negatively  
12 affect both processes.

13                   The mechanism of acute Ag toxicity described for freshwater fish  
14 derives from ionic silver (Ag<sup>+</sup>) action, and consists in the decrease in Na<sup>+</sup> and Cl<sup>-</sup>  
15 influx due to the inhibition of Na<sup>+</sup>/K<sup>+</sup>-ATPase and carbonic anhydrase activities in the  
16 fish gill. Following the reduction in plasma [Na<sup>+</sup>] and [Cl<sup>-</sup>], blood volume decreases,  
17 and there is an increase of hematocrit, hemoglobin, and plasma protein and glucose  
18 (Wood, 2012). However, this mechanism was not evidenced in the present work.

19                   In the present work, fish exposed to AgNP showed Ag accumulation  
20 in the gills accompanied by histological changes in this organ, namely hyperplasia  
21 and hypertrophy of epithelial cells and lamellar adhesion, resulting in incomplete or  
22 complete fusion of the lamellae and increased DTC. Several studies report  
23 hyperplasia of gill epithelial cells after exposure to AgNP or AgNO<sub>3</sub> (Abarghoei et al.,  
24 2016; Mohsenpour et al., 2020; Xiang et al., 2020). The mechanism supporting this  
25 phenomenon may be the upregulation of collagen type I alpha expression (Xiang et  
26 al., 2020).

27                   Hyperplasia causes a decrease in the contact surface area of the  
28 gills, which decreases the passive diffusion of ions from the animal to the water but  
29 also impairs the uptake of O<sub>2</sub> and excretion of CO<sub>2</sub> and ammonia. Both the  
30 impairment in the uptake of O<sub>2</sub> and the excretion of CO<sub>2</sub> can cause acidosis. In the  
31 first case, it increases the anaerobic metabolism (metabolic acidosis), and in the  
32 second case, it increases CO<sub>2</sub> partial pressure (pCO<sub>2</sub>) in the blood (respiratory  
33 acidosis). In the present work, there was an increase in anaerobic metabolism,  
34 evidenced by the increase in plasma lactate. The increase in anaerobic metabolism

1 and plasma lactate may also originate, in part, from the stress response. Although it  
2 was not evidenced, it is possible that  $p\text{CO}_2$  also increased in the blood.

3           Acidosis can be accompanied by hyperkalemia, owing to the  
4 exchange of  $\text{H}^+$  and  $\text{K}^+$  in the muscle (Graham, 1983). Webb and Wood (1998)  
5 observed a continual loss of  $\text{K}^+$  to the water without changes in plasma  $[\text{K}^+]$  in  
6 rainbow trout exposed to  $\text{AgNO}_3$ . The authors attributed  $[\text{K}^+]$  loss to the occurrence of  
7 metabolic acidosis in fish (interpreted with a different cause from the one in the  
8 present work), suggesting that fish were losing  $\text{K}^+$  from tissues to plasma to water. In  
9 the present work, as plasma  $[\text{K}^+]$  doubled in fish exposed to AgNP compared to  
10 control, it is possible that  $\text{K}^+$  excretion is compromised. In fact,  $[\text{K}^+]$  loss through gills  
11 must be decreased because of the reduced surface area, and the posterior kidney  
12 function can be impaired given the accumulation of Ag in this organ.

13           Hyperkalemia also occurred in *P. lineatus* exposed for 24 h to  $10 \mu\text{g}$   
14  $\text{L}^{-1}$   $\text{AgNO}_3$  or biogenic AgNP, and was sustained after 96 h exposure to  $\text{AgNO}_3$  (data  
15 to be published, Ferroni et al.). Hypoxia, acidosis, and hyperkalemia compromises  
16 heart function. In these conditions, rainbow trout is capable of maintaining myocardial  
17 function due to adrenergic stimulation (Hanson et al., 2006). However, we do not  
18 know whether this ability can be maintained in a condition of contaminant exposure  
19 (in this case, AgNP), where several physiological parameters are affected. For  
20 example, exposure to AgNP also led to hypocalcemia, which again affects heart  
21 function.

22           Based on the above mentioned, decreased gill contact surface area  
23 and increased plasma lactate and  $\text{K}^+$  concentrations suggest respiratory toxicity  
24 associated with AgNP exposure in *P. lineatus*.

25           Although plasma  $[\text{Na}^+]$  increased in fish exposed to AgNP and  
26 plasma  $[\text{Cl}^-]$  increased in fish exposed to FeNP, both increases were small, and the  
27 absolute values remained within the normal range for *P. lineatus*. On the other hand,  
28 plasma  $[\text{Ca}^{+2}]$  decreases in fish exposed to AgNP and  $\text{TiO}_2\text{NP}$  were more severe.  
29 Hypocalcemia causes hyperexcitability of neuronal membranes, which can lead to  
30 tetanic muscle contraction, depending on how low calcium is. Conversely,  
31 hyperkalemia (observed in fish exposed to AgNP) decreases neuronal excitability,  
32 indicating that several factors can be influencing neuronal membrane excitability in  
33 AgNP-exposed fish. Hypocalcemia can also affect heart function, since myocytes are  
34 excitable cells.

1           The increase in plasma glucose in fish exposed to AgNP can indicate  
2 the mobilization of energy reserves that occurs during anaerobic metabolism.  
3 However, it should favor a decrease in hepatic glycogen stores, but there was no  
4 change in glycogen neither in the liver nor in the muscle of fish exposed to AgNP.  
5 Conversely, hepatic glycogen increased in fish exposed to TiO<sub>2</sub>NP and FeNP. Toxic  
6 exposures can result in both accumulation or depletion of glycogen in the fish liver  
7 (Wolf and Wolfe, 2005). Fish were fasting for 48 h, because feeding was interrupted  
8 24 h prior to the beginning of the experiment and lasted to the end of the experiment  
9 (24 h exposure). Increasing glycogen stores in a fasting condition indicates a  
10 disruption of normal glucose metabolism, which can be a result of either stimulated or  
11 inhibited metabolic pathways. If glycogen stores cannot be consumed during a longer  
12 period of time, effects such as poor growth may appear (Peplow and Edmonds,  
13 2005).

14           Furthermore, the increase in plasma glucose in fish exposed to  
15 AgNP can be indicative of a stress response. In addition to plasma glucose and  
16 cortisol, WBC profile is useful for assessing stress in vertebrates. Cortisol increases  
17 neutrophil numbers while decreasing lymphocyte numbers, resulting in a  
18 neutrophil:lymphocyte (N:L) increased ratio (Davis et al., 2008). The reduced  
19 lymphocyte number can lead to leukopenia, i.e., low WBC count (Clauss et al., 2008).  
20 In the present work, AgNP exposure resulted in leukopenia, and although a shift  
21 towards an increase in neutrophils (%) and a decrease in lymphocytes (%) appeared  
22 in medium values, these changes were not significant. Thus, AgNP exposure did not  
23 affect WBC differential count at the time tested, but the leukopenia observed may  
24 indicate a stress response. However, the opposite effect also has been reported, with  
25 increased plasma cortisol and WBC count in fish exposed to stressors (e.g., nano-Ag  
26 exposure, Shaluei et al., 2013). Additional studies also reported increased WBC  
27 number in fish after nano-Ag exposure (Ale et al., 2018b; Imani et al., 2015), but the  
28 exposure to biogenic nano-Ag synthesized with starch also decreased WBC number  
29 (Mansour et al., 2021).

30           On the other hand, WBC count increased in fish exposed to FeNP.  
31 Increased WBC numbers were also observed in the Indian major carp (*L. rohita*)  
32 exposed to 1 and 25 mg L<sup>-1</sup> nano-Fe<sub>2</sub>O<sub>3</sub> for 96 h (Saravanan et al., 2015), but  
33 decreased WBC numbers were found in higher concentrations and longer times of  
34 exposure, with *L. rohita* (Remya et al., 2015) and common carp, *Cyprinus carpio*

1 (Khoei, 2021). Interestingly, in the last cited work, the same concentration of  $\text{FeCl}_3$   
2 had no effect on WBC, while exposure to  $\text{FeSO}_4$  increased WBC number in *L. rohita*  
3 (Singh et al., 2019). Additionally, no effects on WBC were seen in *O. mykiss* exposed  
4 to 1, 10, and 25  $\text{mg L}^{-1}$   $\alpha$ - and  $\gamma$ - $\text{Fe}_2\text{O}_3$  NPs for 4 and 10 days (Gürkan et al., 2021).

5 In the present work, WBC increase in fish exposed to FeNP may be  
6 a sign of inflammation, which could be triggered by ROS and tissue damage  
7 (Cazenave et al., 2019). In fact, oxidative damage was evidenced in the gills of fish  
8 exposed to FeNP, and additional organs can be affected, since Fe accumulated in all  
9 tissues analyzed. Another trigger of inflammation could be *T. harzianum* structures,  
10 which are more present in FeNP suspension. Although WBC decrease in fish  
11 exposed to AgNP may indicate that the ability of fish to fight infections is  
12 compromised, and WBC increase in fish exposed to FeNP may indicate an  
13 inflammatory response, the use of additional biomarkers of immunotoxicity, such as  
14 WBC functionality, lysozyme activity, and cytokine expression, would provide a better  
15 understanding of fish immune status in both exposures (Torrealba et al., 2019).

16 Nanoparticles did not affect AChE activity in brain and muscle.  
17 However, metal nanoparticles can affect AChE under certain conditions. For  
18 instance, environmental realistic concentrations as low as 10  $\mu\text{g L}^{-1}$   $\text{TiO}_2\text{NP}$  are  
19 capable of inducing decreases in muscle AChE activity in *P. lineatus* exposed for 5  
20 days (Miranda et al., 2016). The presence of the capping in  $\text{TiO}_2\text{NP}$  may have  
21 protected *P. lineatus* from this effect.

## 5.5 CONCLUSION

22 The present work combined analysis of bioaccumulation with multiple  
23 biomarkers to understand the physiological process underlying the toxicity of metallic  
24 nanoparticles (AgNP,  $\text{TiO}_2\text{NP}$ , FeNP) synthesized using *T. harzianum* to the  
25 freshwater teleost *Prochilodus lineatus*. NPs promoted metal accumulation in tissues  
26 and affected hematology, plasma ions concentrations, histology of gills, energy  
27 metabolism, detoxification and redox homeostasis. Among the tissues, the gills were  
28 the main target organ for AgNP and FeNP toxicity, while the liver was the main target  
29 organ for  $\text{TiO}_2\text{NP}$ .

30 Taken together, the results indicate the NPs evaluated were not safe

1 at the concentrations tested. AgNP were responsible for most effects, and therefore  
2 can be considered more harmful, within the conditions tested, than TiO<sub>2</sub>NP and  
3 FeNP. It is important to note that the concentration of each nanoparticle for  
4 application in agriculture is different, so the concentrations originally chosen for the  
5 present work would be those intended to be applied on crops. However, as we  
6 assumed that fish mortality would happen after AgNP exposure at the proper  
7 concentrations, fish were exposed to AgNP at a concentration ten times lower than  
8 the predicted for use in agriculture. Even so, AgNP elicited more effects than TiO<sub>2</sub>NP  
9 and FeNP, which makes them potentially more harmful.

10 For the safe use of nanoparticles in agriculture, more studies are  
11 needed. Considering that *P. lineatus* was sensitive to NPs, its use as a biological  
12 model would be suitable for future evaluations, such as determining the no observed  
13 effect concentration (NOEC).

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## 6 CONSIDERAÇÕES FINAIS

1 A aplicação da Nanotecnologia para a agricultura sustentável é  
2 promissora, mas os nanomateriais são contaminantes emergentes cujos efeitos e  
3 impactos para o ambiente ainda estão sendo compreendidos. Nesse contexto, esta  
4 dissertação buscou compreender os efeitos de nanopartículas metálicas de síntese  
5 biológica para duas espécies nativas de animais dulcícolas. A avaliação dos efeitos  
6 dessas nanopartículas se faz necessária devido ao seu potencial uso agrícola, mas  
7 também porque os efeitos de nanopartículas metálicas de síntese biológica ainda  
8 são pouco conhecidos, ainda que se saiba que sua composição e atividade se  
9 distinguem de nanopartículas de síntese física e química.

10 Todas as nanopartículas causaram bioacumulação de metais em  
11 ambas as espécies. Esse resultado está de acordo com a hipótese 4, mas contradiz  
12 as hipóteses 5 e 6. O presente trabalho discutiu a bioacumulação do metal em si  
13 (incluindo íons, nanopartículas e complexos), não sendo possível determinar se o  
14 metal foi absorvido pelos animais como nanopartícula, ou se houve transformações  
15 previamente e após a absorção. No entanto, a bioacumulação de ferro em todos os  
16 tecidos avaliados de *P. lineatus* sugere que as nanopartículas foram absorvidas,  
17 pois o ferro em outras formas químicas (por exemplo, quando adicionado como sal)  
18 não se mostra tão biodisponível.

19 Assim, a bioacumulação de ferro em todos os tecidos avaliados de  
20 *P. lineatus* foi o resultado mais marcante, tornando evidente a biodisponibilidade das  
21 FeNP. A resolução CONAMA 357/05 estabelece o valor máximo de 0,3 mg L<sup>-1</sup> de Fe  
22 dissolvido para corpos de água doce de classe 1, os quais podem se destinar à  
23 proteção das comunidades aquáticas. Assumindo que essa concentração de ferro  
24 esteja adequada para a proteção dos ecossistemas aquáticos, de acordo com  
25 pesquisas em que se avaliou o ferro em outras formas químicas que não  
26 nanopartículas, o presente trabalho mostra que concentrações mais baixas de ferro  
27 dissolvido podem ser tóxicas, quando na forma de nanopartícula. Assim, a toxicidade  
28 de nanopartículas se distingue daquela dos metais em outras formas químicas. É  
29 importante ressaltar que os efeitos tóxicos provocados pelas FeNP no presente  
30 trabalho ocorreram em condições de laboratório, e seu comportamento pode mudar  
31 no ambiente aquático, em que a concentração de matéria orgânica, por exemplo, é  
32 maior. No entanto, os resultados de acúmulo de ferro e de seus efeitos tóxicos, tais

1 como danos oxidativos, em concentrações de ferro mais baixas do que as presentes  
2 na resolução CONAMA, sugerem a necessidade de mais estudos com  
3 nanopartículas metálicas, para que seja possível rever a legislação.

4 Quando observados os fluidos e tecidos em que houve acúmulo, o  
5 acúmulo de metal (Ag, Ti e Fe) na hemolinfa dos bivalves e no plasma dos  
6 teleósteos foi comum a todas as nanopartículas. É possível que as características  
7 fisicoquímicas das nanopartículas utilizadas neste trabalho prolonguem o seu tempo  
8 na circulação dos animais, como ocorre com algumas nanopartículas com  
9 aplicações na biomedicina.

10 Dentre as nanopartículas, as AgNP foram responsáveis pela maioria  
11 dos efeitos provocados em ambas as espécies. No entanto, a toxicidade provocada  
12 pela prata foi de caráter osmorregulatório em bivalves, mas respiratório em  
13 teleósteos. Além disso, a concentração de exposição para os teleósteos foi dez  
14 vezes menor do que para os bivalves, o que sugere que *P. lineatus* seja mais  
15 sensível às AgNP do que *A. trapesialis*. Apesar dos bivalves serem citados como  
16 organismos-alvo para a toxicidade de nanopartículas, a espécie utilizada neste  
17 trabalho se mostrou menos sensível às nanopartículas, em relação ao teleósteo  
18 juvenil utilizado, o que contradiz a hipótese 3.

19 A hipótese 2 supunha que os efeitos provocados por cada tipo de  
20 nanopartícula (AgNP, TiO<sub>2</sub>NP ou FeNP) apresentariam similaridades e discrepâncias  
21 entre si, sendo as discrepâncias relacionadas à toxicidade do metal que compõe a  
22 nanopartícula. De fato, similaridades foram observadas, sendo elas: a) o acúmulo do  
23 respectivo metal na hemolinfa de bivalves e no plasma e rim posterior de teleósteos,  
24 como já mencionado; b) a diminuição de mesma intensidade na atividade da  
25 glutaciona S-transferase no fígado de *P. lineatus*, após todas as exposições; e c) o  
26 aumento de glicogênio no fígado de *P. lineatus* após exposição às TiO<sub>2</sub>NP e FeNP.  
27 Em relação às discrepâncias, algumas se relacionaram com a toxicidade do metal, a  
28 saber: a) a diminuição de sódio e cloreto na hemolinfa de *A. trapesialis* após  
29 exposição às AgNP, efeito conhecido da prata; e b) danos oxidativos nas brânquias  
30 de *P. lineatus* após exposição às FeNP, sendo o ferro conhecidamente pró-oxidante  
31 em concentrações acima das essenciais.

32 Por fim, foi possível distinguir as AgNP como as nanopartículas mais  
33 prejudiciais, em detrimento das TiO<sub>2</sub>NP e FeNP, *in vivo*, em condições de  
34 laboratório, para espécies nativas.

## 7 ANEXO



## COMISSÃO DE ÉTICA NO USO DE ANIMAIS

OF. CIRC. CEUA N° 05/020

Londrina, 05 de fevereiro de 2020.

Prezado (a) professor (a),

Certificamos a aprovação do projeto de pesquisa intitulado: "Efeitos subletais de nanopartículas biológicas de dióxido de titânio, de ferro e de prata no teleosteo neotropical *Prochilodus lineatus*" protocolo CEUA n°463.2020.75 sob a responsabilidade de Cláudia Bueno dos Reis Martinez que envolve a produção, manutenção e/ou utilização de animais pertencentes ao filo Chordata, subfilo Vertebrata (exceto o homem) para fins de pesquisa científica (ou ensino), encontra-se de acordo com os preceitos da Lei n° 11.794, de 8 de outubro de 2008, do Decreto n° 6.899, de 15 de julho de 2009, e com as normas editadas pelo Conselho Nacional de Controle da Experimentação Animal (CONCEA), e foi **aprovado** pela Comissão de Ética no Uso de Animais da Universidade Estadual de Londrina (CEUA/Uel) em reunião do dia **04/02/2020**.

Este projeto tem por objetivo avaliar os efeitos subletais da exposição aguda a nanopartículas biológicas de dióxido de titânio, de ferro e de prata no teleosteo *Prochilodus lineatus*. **Grau de invasividade: 2.**

Finalidade	( ) Ensino (x) Pesquisa científica
Vigência da autorização	05/02/2020 a 06/02/2021
Espécie/ linhagem/ raça	Peixe/ <i>Prochilodus lineatus</i> (Curimba)
N° de animais	32
Peso/ Idade	10 g/ juvenis
Sexo	Machos ou fêmeas
Origem	Piscicultura Venites, localizada em Toleto (PR)
Amostras a serem coletadas	Sangue, brânquias, fígado, trato de gastrointestinal, bile, rim posterior, músculo e cérebro

Cumpra-se orientar que caso pretendam-se quaisquer alterações no protocolo experimental aprovado, deve-se submeter o novo protocolo à apreciação da CEUA/Uel anteriormente à execução das modificações.

Em cumprimento às exigências do CONCEA, em até 30 dias da finalização do projeto de pesquisa ou extensão, conforme vigência expressa neste ofício, encaminhar relatório da descrição de uso de animais para [ceua@uel.br](mailto:ceua@uel.br), conforme modelo disponível no site da CEUA/Uel (<http://www.uel.br/comites/ceua/pages/relatorio-de-projetos.php>).

Coloco-me à disposição para quaisquer esclarecimentos que se fizerem necessários. Sem mais para o momento, subscrevo-me, cordialmente.

Profª Drª Maria Fernanda Rodrigues Graciano  
Coordenadora da CEUA/Uel

Profª Drª Maria Fernanda  
Rodrigues Graciano  
Coordenadora da Comissão de  
Ética no Uso de Animais  
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Ilmo.(a) Sr.(a)  
Prof. (a) Dr (a). Cláudia Bueno dos Reis Martinez  
Responsável pelo projeto  
C/C para Depto. de Ciências Fisiológicas/CCB  
C/C para a Direção do Centro de Ciências Biológicas